



中国气象局地球系统数值预报中心  
CMA EARTH SYSTEM MODELING AND PREDICTION CENTRE

# A Review of the 10 Years' Development of the CMA Global/Regional Ensemble Prediction System

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# Outline

- **History**
- Methodology and performance
- Products and application
- Plans

# 1. Development History of CMA's Global/Regional Ensemble Forecasting(1)

## CMA operational model

**GRAPES (Global/Regional Assimilation PrEdiction System).**

### **A Unified NWP system**

*a common dynamic core with different configurations of physics for Global and Regional applications*

### **Four main components**

*Variational DAS*

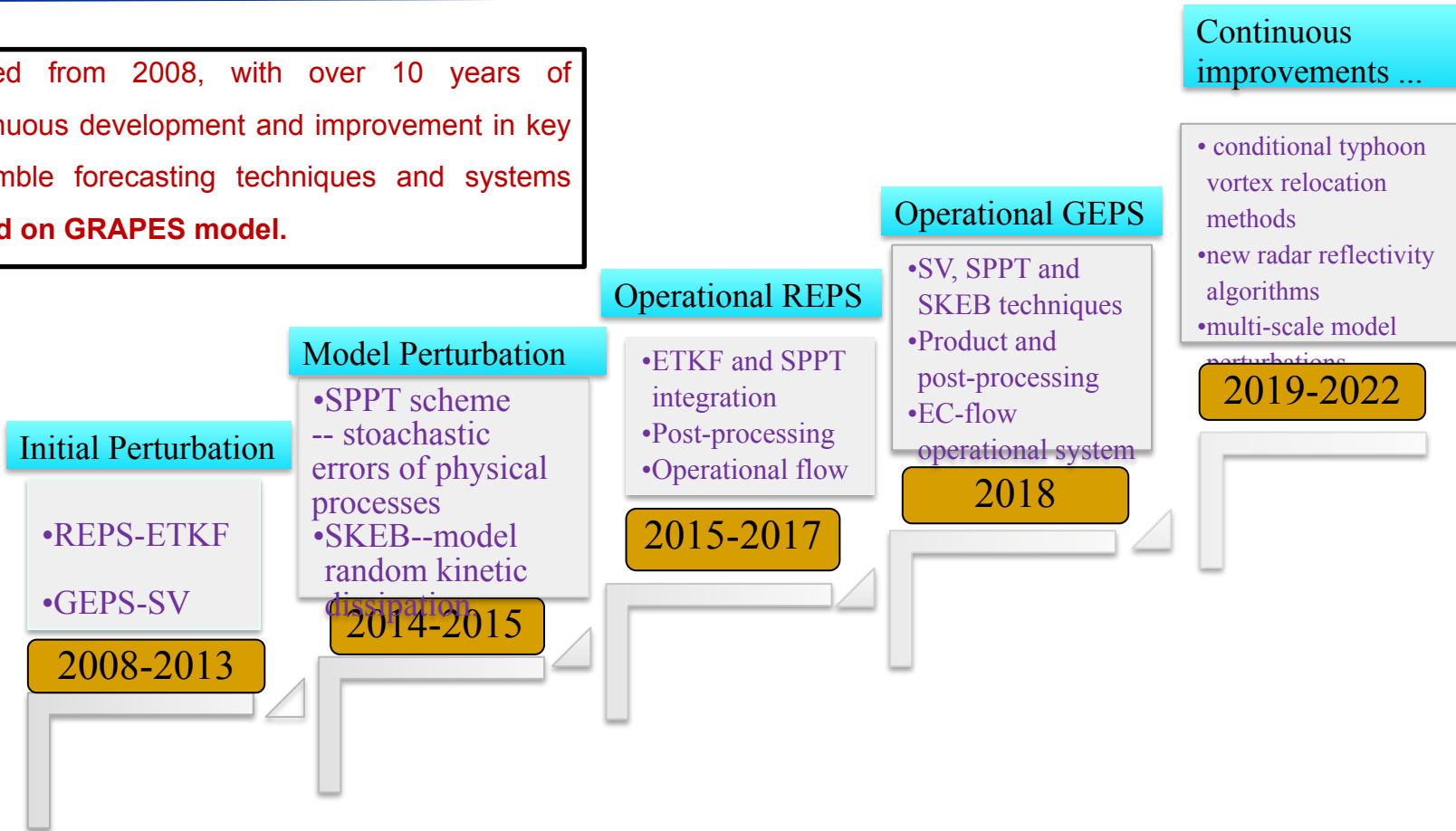
*Unified dynamic core*

*Physical parameterization schemes*

*Parallel computing*

# 1. Development History of CMA's Global/Regional Ensemble Forecasting(2)

Started from 2008, with over 10 years of continuous development and improvement in key ensemble forecasting techniques and systems based on GRAPES model.



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# The Four Key Technologies in CMA's Ensemble Forecasting

- 01** Techniques for global large-scale system -singular vector initial perturbations
- 02** Techniques for regional mesoscale system – ETKF-based multiscale blended initial perturbations
- 03** Sub-grid physical process random error - SPPT and SKEB model perturbations
- 04** Systematic bias correction and extreme information extraction

# (1) Designment of Singular Vector Initial Perturbation

## addressing fast-growing initial errors in Global Large-Scale Systems

### • Designment of SV calculation

#### scheme

The GRAPES Global Singular Vectors are calculated with total energy norm

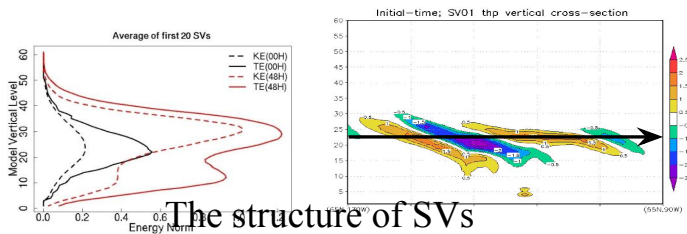
- GRAPES SVs are calculated with the Euclidean vector  $\hat{X}_i(t_0)$  through  $TLM(L)$  and  $ADM(L^T)$ :

$$\left( E^{-\frac{1}{2}} L^T P^T E P L E^{-\frac{1}{2}} \right) \hat{X}_i(t_0) = \lambda_i^2 \hat{X}_i(t_0)$$

- Total energy norm E is defined as variables of TLM

$$\iiint_V \left( \frac{\rho_r \cos \varphi}{2} (u')^2 + \frac{\rho_r \cos \varphi}{2} (v')^2 + \frac{\rho_r \cos \varphi C_p T_r}{(\theta_r)^2} ((\theta')')^2 + \frac{\rho_r \cos \varphi C_p T_r}{(\pi_r)^2} ((\pi')')^2 \right) dV$$

- The typical structures of SV at initial and final time



The structure of SVs

### SV

#### structures

- the energy maximum of SVs is located in the middle troposphere,
- the upward energy transfer to higher troposphere and downward energy transfer toward lower troposphere,
- energy of SVs is westward tilt with height

### • Generation of 3-D initial perturbation

Generate 3-D initial perturbations with Gaussian sampling and rescaling technique

- Define rescaling factor based on the analysis error

$$\beta_i = \gamma / \overline{f_i}$$

- Design perturbation with Gaussian sampling and linear combination

$$P_i = \sum \alpha_{i,j}^N \cdot \beta_j \cdot X_j$$

$\alpha$  denotes the random coefficients according to the Gaussian distribution

- Generating initial perturbations with INI-SV and EVO-SV

$$Pert_i = (1 - a) P_i(d, 0) + a E P_i(d - 2, +2d) + b T C P_i(d, 0)$$

Extratropic  
INI-SVs

Extratropic  
EVO-SVs

Tropical cyclones  
- SVs

## (2) Developed a set of ETKF-based REPS initial perturbation methods

### Account the error characteristics of observation errors and typhoon.

#### Ensemble Transform Kalman Filter Initial Perturbation Method (ETKF)

**Principle:** Rapidly estimates analysis error  $X_a$  from forecast variance  $X_f$  and observation error variance  $R$ .

$$X_i^a = X_i^f T_i C^T \Pi_i$$

$$T = C(\Gamma + I)^{-1/2} C^T$$

$$\Pi_n = \Pi_{n-1} \sqrt{\frac{\text{tr}(\mathbf{d}_n \mathbf{d}_n^T) - \text{tr}(\mathbf{R})}{\text{tr}(\mathbf{S}_n)}}$$

$\mathbf{R}$ : observation error variance

$\mathbf{d}_n$ : innovation vectors

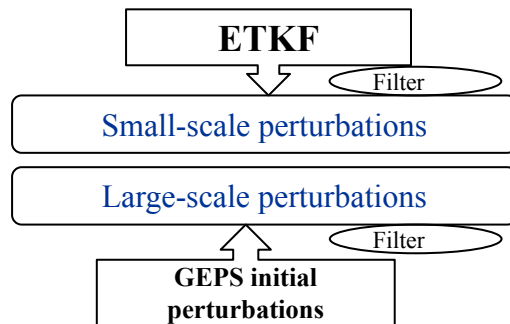
$\mathbf{Z}^f$ : ensemble perturbation in observation

$\mathbf{S}_n$ : forecast variance in observation

#### Multi-Scale Blended Initial Perturbation Method (MSB)

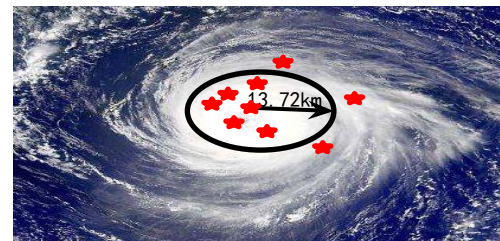
**Advantage:** Mixing initial errors from both global large-scale and regional meso to small-scale systems.

$$IP_{MSB} = IP_{GEPS-LS} + IP_{ETKF-SS}$$



#### Conditional Typhoon Vortex Relocation Technique in Ensemble Forecasting (CTVR)

**Advantage:** Effectively captures the location uncertainties of typhoon vortex center.



Key issues:

1. Determining the threshold for relocating the typhoon vortex center among ensemble members.
2. Mathematical process for separating the typhoon vortex.
3. Mathematical process for relocating the typhoon



### (3) SPPT and SKEB Model Perturbation Techniques for Global and Regional EPS- Representing the growth of stochastic errors in sub-grid physical processes

Stochastic Physical Tendency  
Perturbation Technique (SPPT)

$$\delta X_p = \Psi(\lambda, \phi, t) \delta X$$

Stochastic Kinetic Energy  
Compensation Technique (SKEB)

$$F_\psi = \frac{\alpha \Delta x}{\Delta t} \Psi(\lambda, \phi, t) \sqrt{\Delta t D(\lambda, \phi, \eta, t)}$$

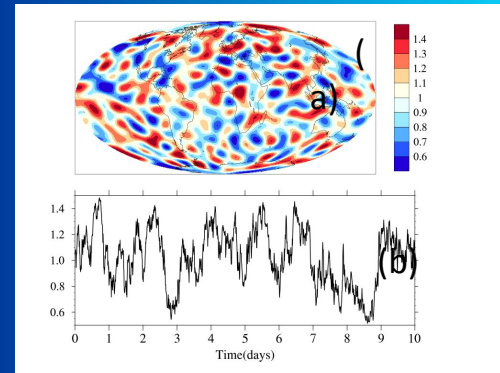
A space-time correlation random function  $\Psi(\lambda, \phi, t)$

expansion of first-order  
auto-regressive process a(t)  
by spherical harmonic  
function.

$$\left\{ \begin{aligned} \alpha_{l,m}(t + \Delta t) &= e^{-\Delta t/\tau} \alpha_{l,m}(t) + \sqrt{\frac{4\pi\sigma^2(1 - e^{-2\Delta t/\tau})}{L(L+2)}} R_{l,m}(t) \\ \psi(\lambda, \phi, t) &= \mu + \sum_{l=1}^L \sum_{m=-l}^l \alpha_{l,m}(t) Y_{l,m}(\lambda, \phi) \\ \Psi(\lambda, \phi, t) &= \mu + \left\{ 2 - \frac{1 - \exp\left[\beta \left(\frac{\psi - \mu}{\Psi_{max} - \mu}\right)^2\right]}{1 - \exp(\beta)} \right\} (\psi - \mu) \end{aligned} \right.$$

Represents the forecast uncertainty arising  
from model random errors.

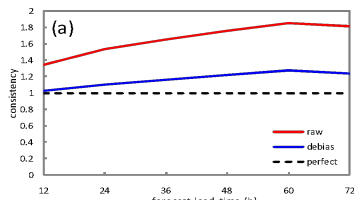
$\Psi(\lambda, \phi, t)$   
time scale correlations  
spatial scale correlations  
perturbation control functions



The horizontal distribution(up)  
and time series of the random  
number value at an arbitrary  
model grid(bottom)

### Focusing on the improvement of Extreme Weather Forecasting

#### Dynamic Correction Method by adding a bias tendency term during model integration to reduce model mean bias

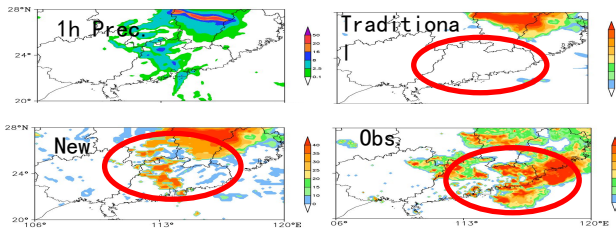


扣除系统性偏差的对比

Through linear regression, the linear bias rate is calculated. The linear bias part is then deducted during each step of the integration tendency calculation, enhancing the skill of probabilistic forecasting.

$$\text{forecasting} = \int_{t=0}^t \{A(e_j, t) + P(e_j, t) - B_l(e_j)\} dt$$

#### New Algorithm for Radar Reflectivity of Sub-grid Convective Precipitation:



2019年4月18日00Z预报个例 (16h-27h预报及实况比对)

The estimation of cumulus convective

precipitation is increased by subtracting the evaporation rate of the descending airflow

from the cumulus convective precipitation rate.

Based on the radar-estimated precipitation Z-R relationship, the radar echo is re-estimated for

$$\text{eac} Z_{\text{new}} = Z_{\text{cu}} + Z_{\text{micro}}$$

#### Three Types of Extreme Weather Products:



Anomaly Probabilistic Forecasts (APF) for 500hPa geopotential height and 850hPa temperature and wind speed based on decaying average bias correction method.

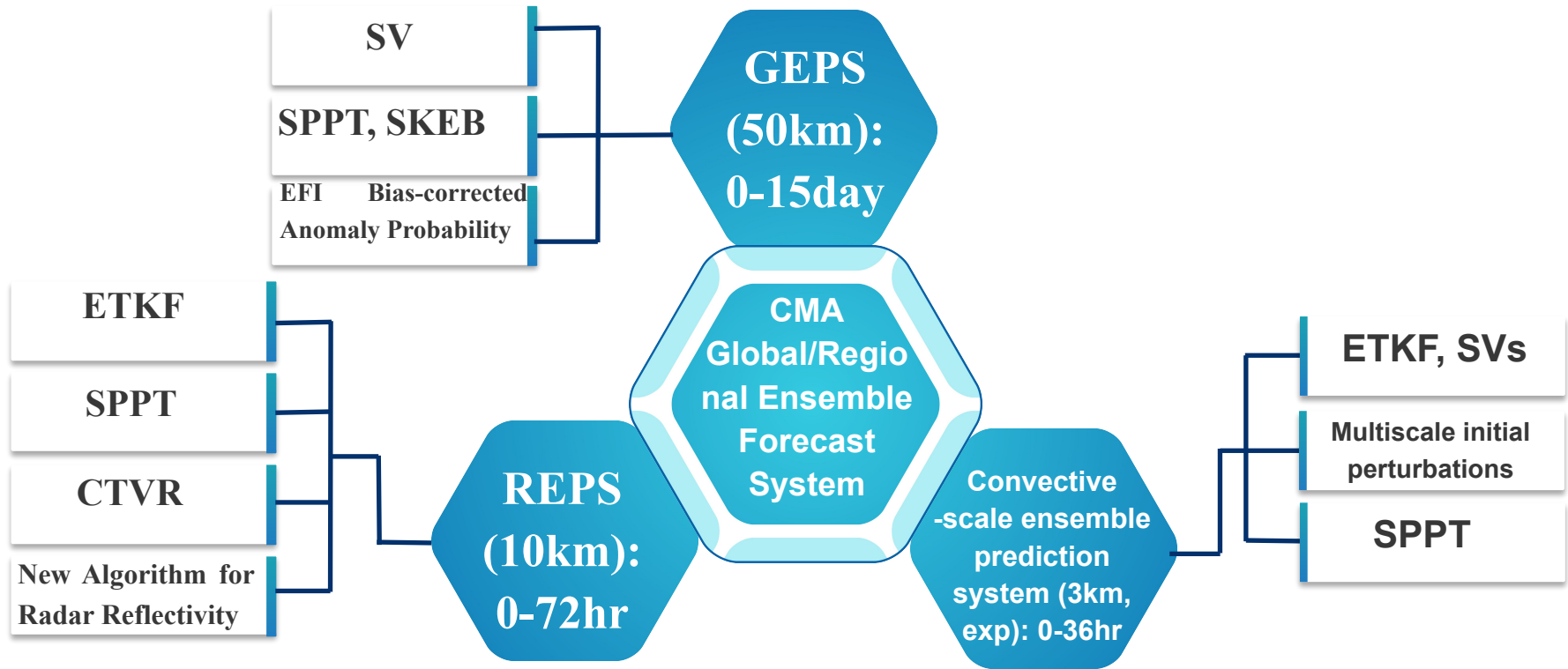


Extreme Forecast Index (EFI) for surface elements (2m temperature, 10m wind speed and precipitation with model climate produced by 2 year GRAPES-GFS model 10d forecast data).



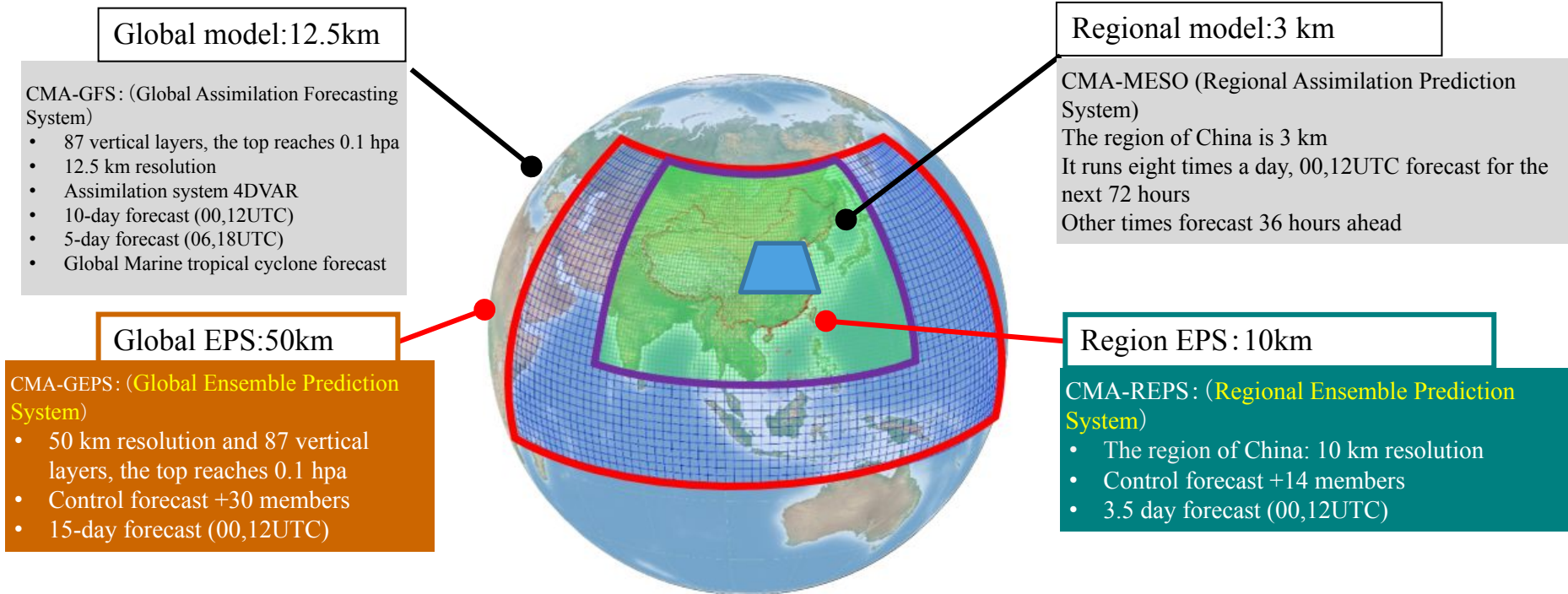
Probabilistic Forecasts for 2m Temperature (T2m PF) above or below a threshold with station topography calibration method

# The application of key EPS approaches in CMA Global/Regional EPS

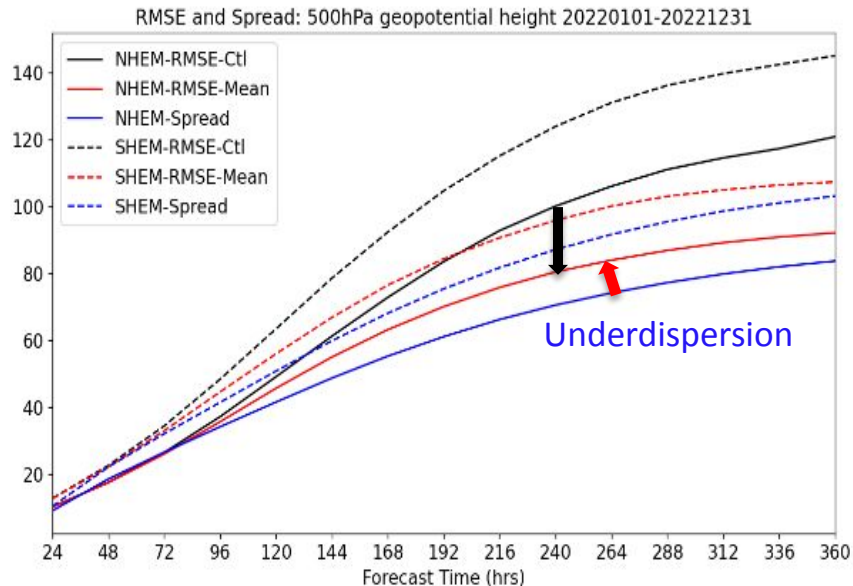
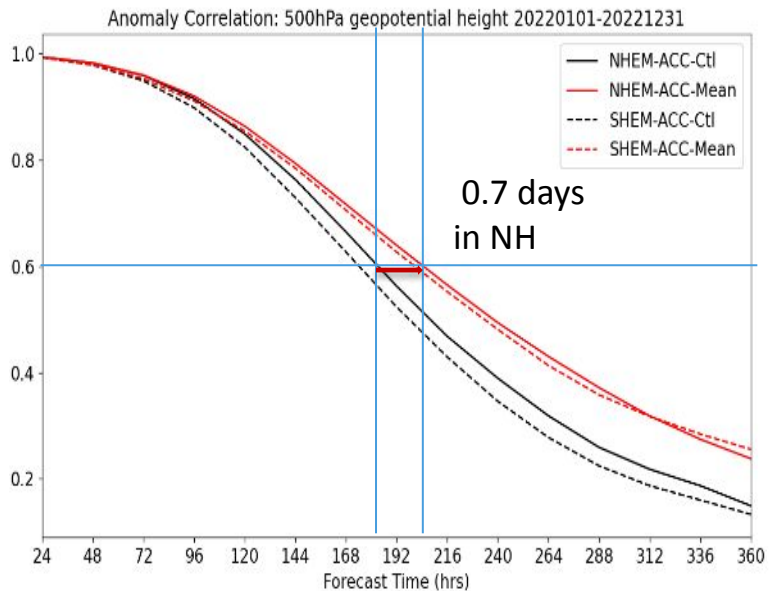


During the recent 10 years, GRAPES-based EPS experienced one to grow out of nothing and a unified multiscale ensemble prediction system spanning 0-15 days has been developed.

# CMA Operational ensemble prediction system in 2023



# CMA GEPS Performance in 2022



- ACC of **ensemble mean** is larger than that of **Cntl**.
- GEPS has 0.7 day gain in NH from 7.8 days to 8.5 days
- GEPS has 0.9 day gain in SH from 7.3 days to 8.4 days

- The RMSE of NH decrease from about **100 gpm** of **Cntl** to **80gpm of Ensembl mean**.
- Some underdispersions from the relationship between **RMSE(solid red line)** and **Spread( solid blue line)**



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# CMA global/regional ensemble forecast products



Type	Global ensemble elements (0-15 days)
Ensemble spread and RMSE	500 Hpa geopotential altitude, 850 hpa temperature, 700 hpa relative humidity, 850 Hpa relative humidity, mean sea level pressure, 2 m temperature, 10 m total wind speed, 24 hours accumulated precipitation (total 8 types)
Rank probability	24 hours accumulated precipitation, 10 m full wind speed (2 types)
Stamp map	24 hours accumulated precipitation(1 type)
The maximum of ensemble member	24 hours accumulated precipitation(1 type)
The mode of ensemble member	24 hours accumulated precipitation(1 type)
Single point box diagram	Ground elements with 6h interval(1 type)
Typhoon attack probability and track	Typhoon attack probability, ensemble member typhoon track(2 types)
Extreme weather prediction index	24 hours accumulated precipitation, 2 m temperature ,10 m full wind speed (3 types)
Probability of 2m temperature correction by terrain	2 m temperature(1 type)
Bias correction anomaly probability of circulation situation	Daily anomaly probability of 500hPa height and 850hP temperature, 3-day moving average anomaly probability, 5-day moving average anomaly probability, and 10-day moving average anomaly probability(8 types)
Noodle (spgt) plot	500 hpa geopotential(1 types)
Total product category	29 types

Type	The elements of Chinese Region elements (0-3 days)
Ensemble spread and RMSE	Mean sea level pressure, 2 m temperature, combined radar reflectivity, convective effective potential energy, convective suppression, optimal uplift index, 0-1/0-3/0-6 km vertical wind cut, sinking convective effective potential energy, hail index, K index, 3/6/12/24 hours accumulated precipitation and 10 m total wind speed (total 17 types)
Rank probability	Combined radar reflectivity, convective effective potential energy, convective rejection, optimal uplift index, 0-1/0-3/0-6 km vertical wind cut, sinking convective effective potential energy, hail index, K index, 3/6/12/24 hours accumulated precipitation and 10 m total wind speed (total 15)(15 types)
Stamp map	3/6/12/24 hours accumulated precipitation, combined radar reflectivity (total 5 types)
The maximum of ensemble member	3/6/12/24 hours accumulated precipitation (4 types)
The mode of ensemble member	3/6/12/24 hours accumulated precipitation (4 types)
Single point box diagram	Ground elements with 1h interval(1 type)
Typhoon track	Landing typhoon track and landfall (30 type)
Smoke plume map	Typhoon landing Time and minimum Pressure, Typhoon Landing time and maximum wind speed (2 types)
Total product category	78 types

29 types GEPS and 78types REPS products are distributing to national, regional and provincial weather offices.

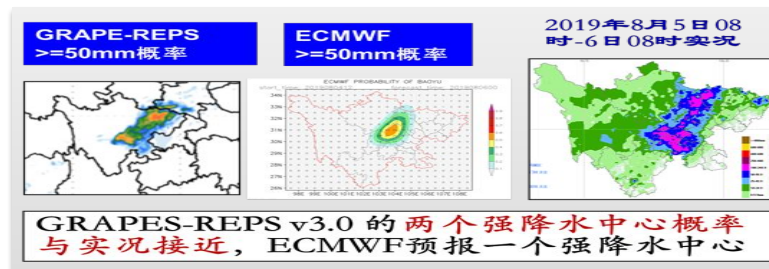
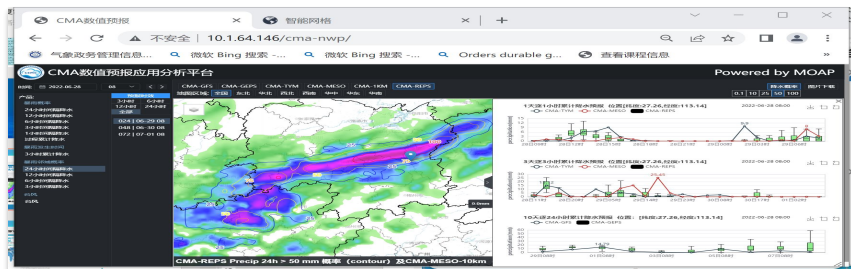




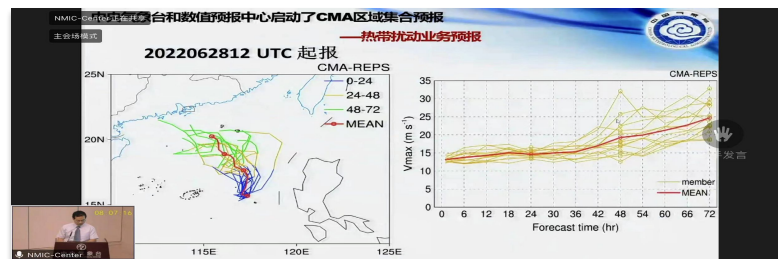
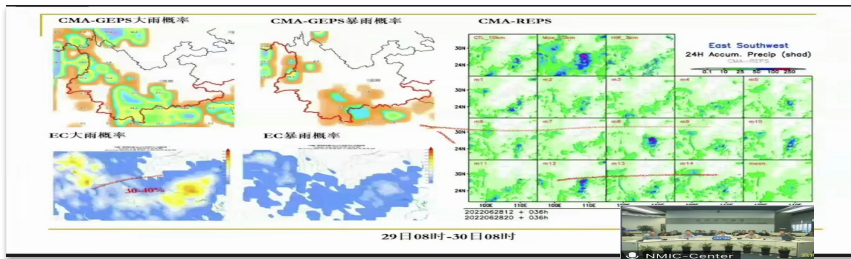
# Application (2) :

Global/regional ensemble forecast products are used in daily weather forecast and services

Weather business Intranet collection forecast product display Sichuan 2019.8.5 rainstorm process application

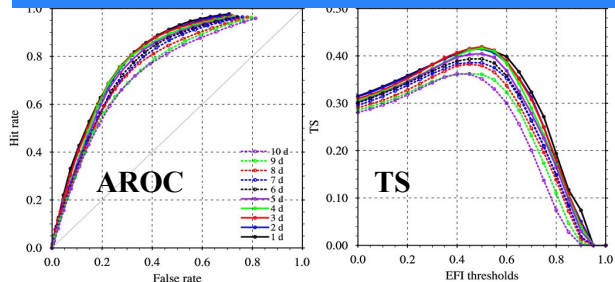


Forecasters used CMA ensemble forecast products in National Weather Forecast Discussion



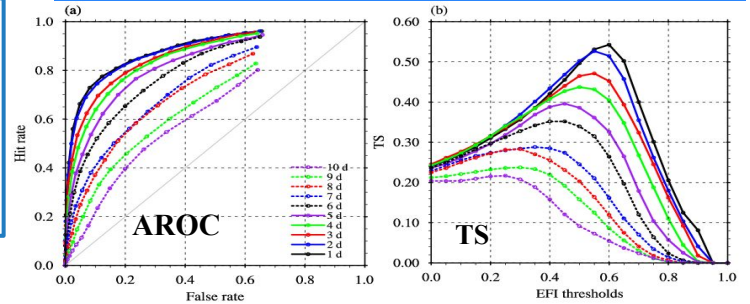
# The performance of EFI of T2m temperature forecast for extreme heat wave in China and Southern Europe in the summer of 2022

## The middle and lower reaches of the Yangtze River

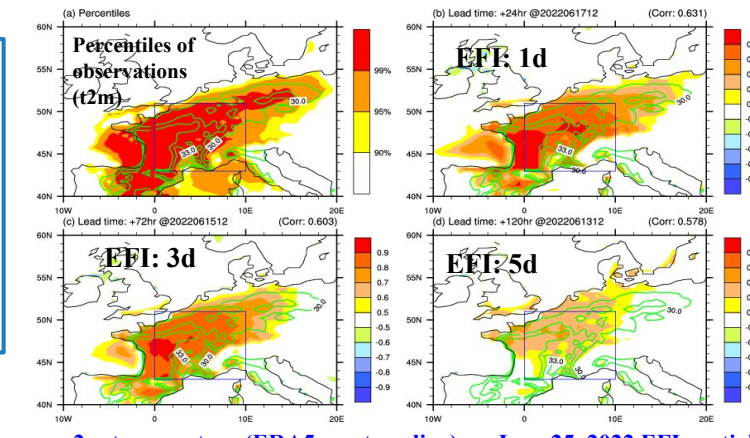
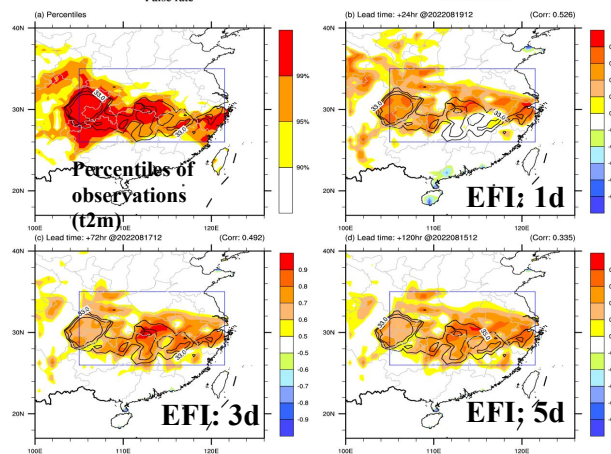


AROC and TS scores show the skillful forecast

## Southwest Europe



EFI at 1, 3 and 5 day leading time are similar to obs.



- 2m temperature (ERA5, contour line) on August 20, 2022 and EFI spatial distribution (shaded)

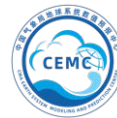
- 2m temperature (ERA5, contour line) on June 25, 2022 EFI spatial distribution (Shaded)

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# Plans



## For operational system before 2025

- To upgrade global medium-range EPS system from 50km to 25km resolution.
- To establish a Convective-Allowing EPS system over China with 3km resolution and 10–15 members.

## For Initial perturbation scheme

- To develop a unified Global/Regional multi-scale SVs together with observation perturbations.



# Thank You

Acknowledge to the Ensemble Forecasting Team from CEMC for preparing the materials.