

# Improvement of Forest Canopy Characterization Based on NoahMP and Its Impact on Land Air Exchange Processes

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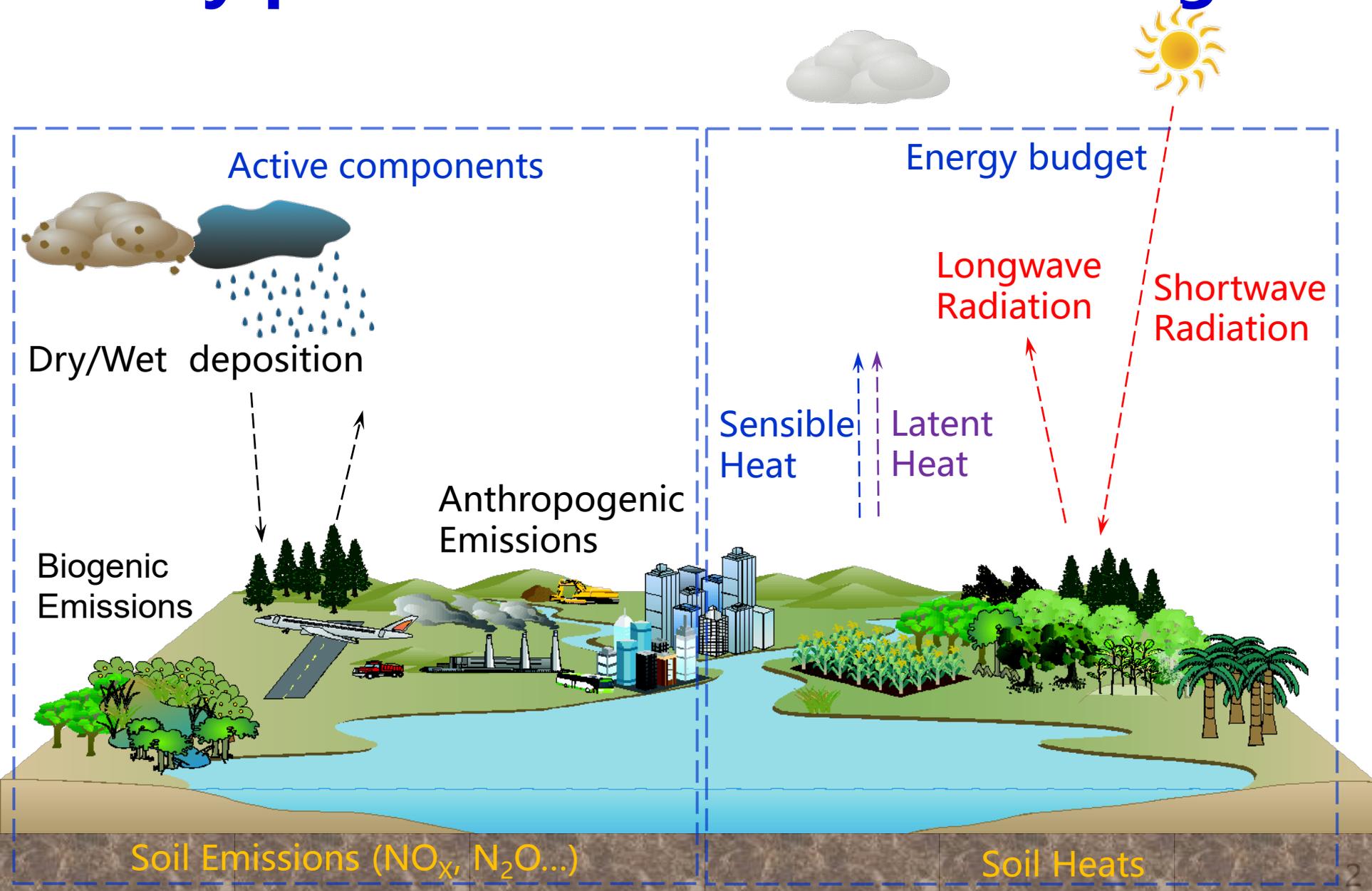
Jinan University, Guangzhou, China

Collaborators: Guotong Wu, Wenxin Lin, Xinyuan Kang, Xuemei Wang

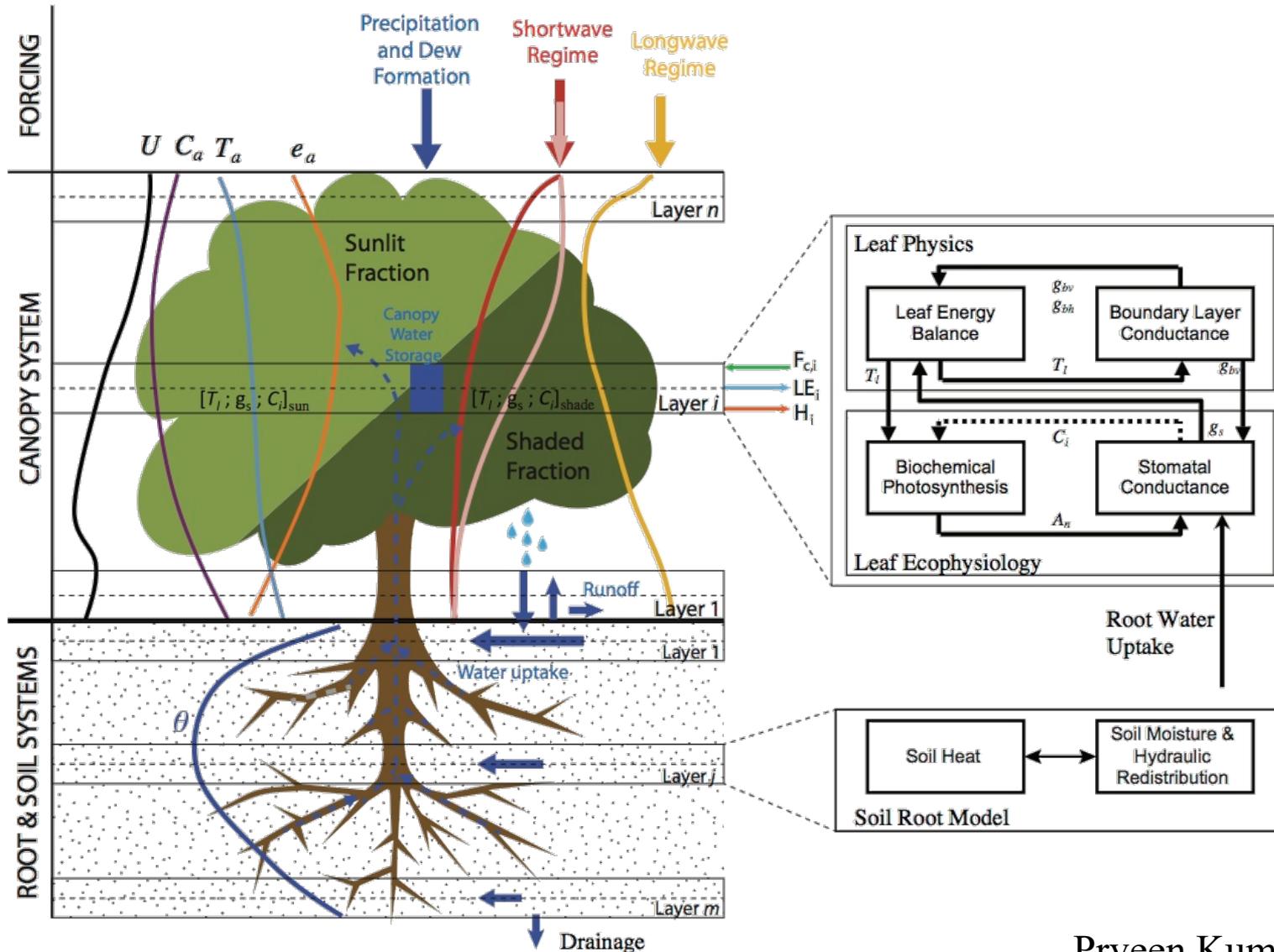
Noah-MP workshop, 4 Jun 2024



# Key processes: land-air exchange



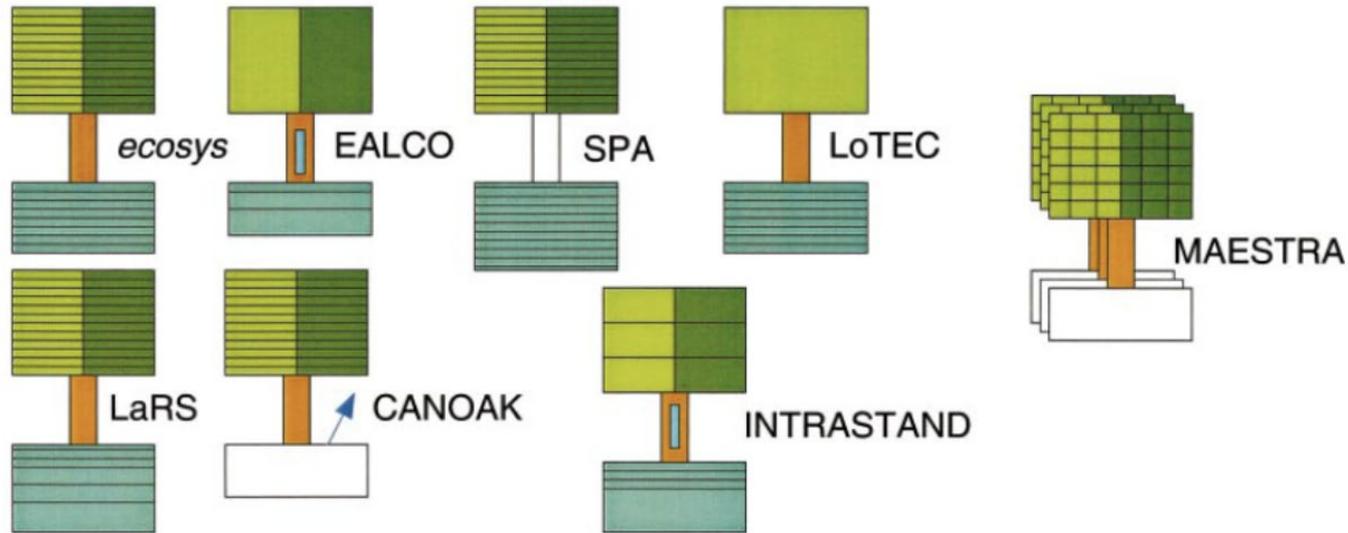
# Key interface: canopy



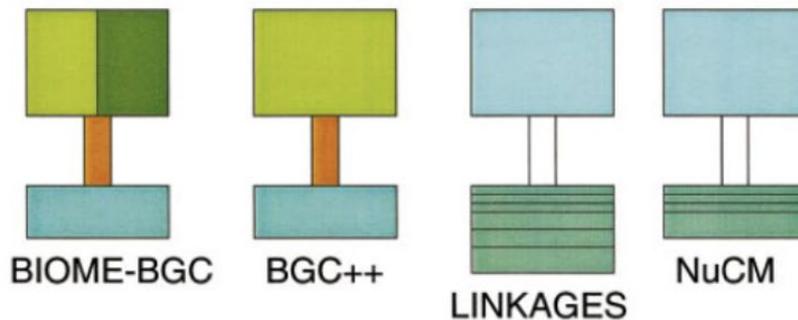
Prveen Kumar, 2013

# Vegetation morphology in different models

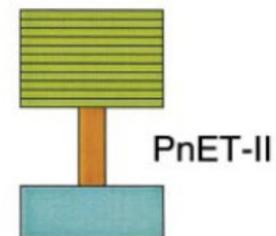
## Hourly Models



## Daily Models

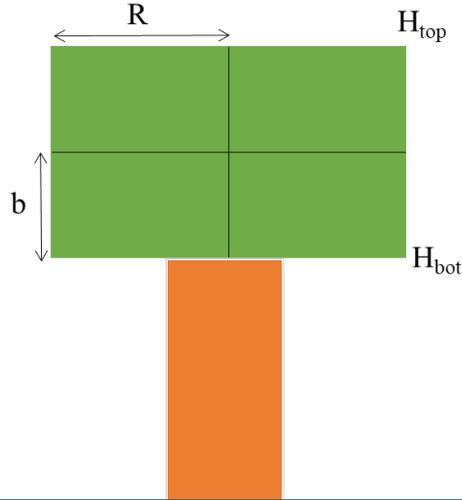


## Monthly Model



Hanson et al, 2004; D. Baldocchi., 2016

# Parameters of Canopy in Noah-MP



Phenology

Radiation distribution

Stomata processes

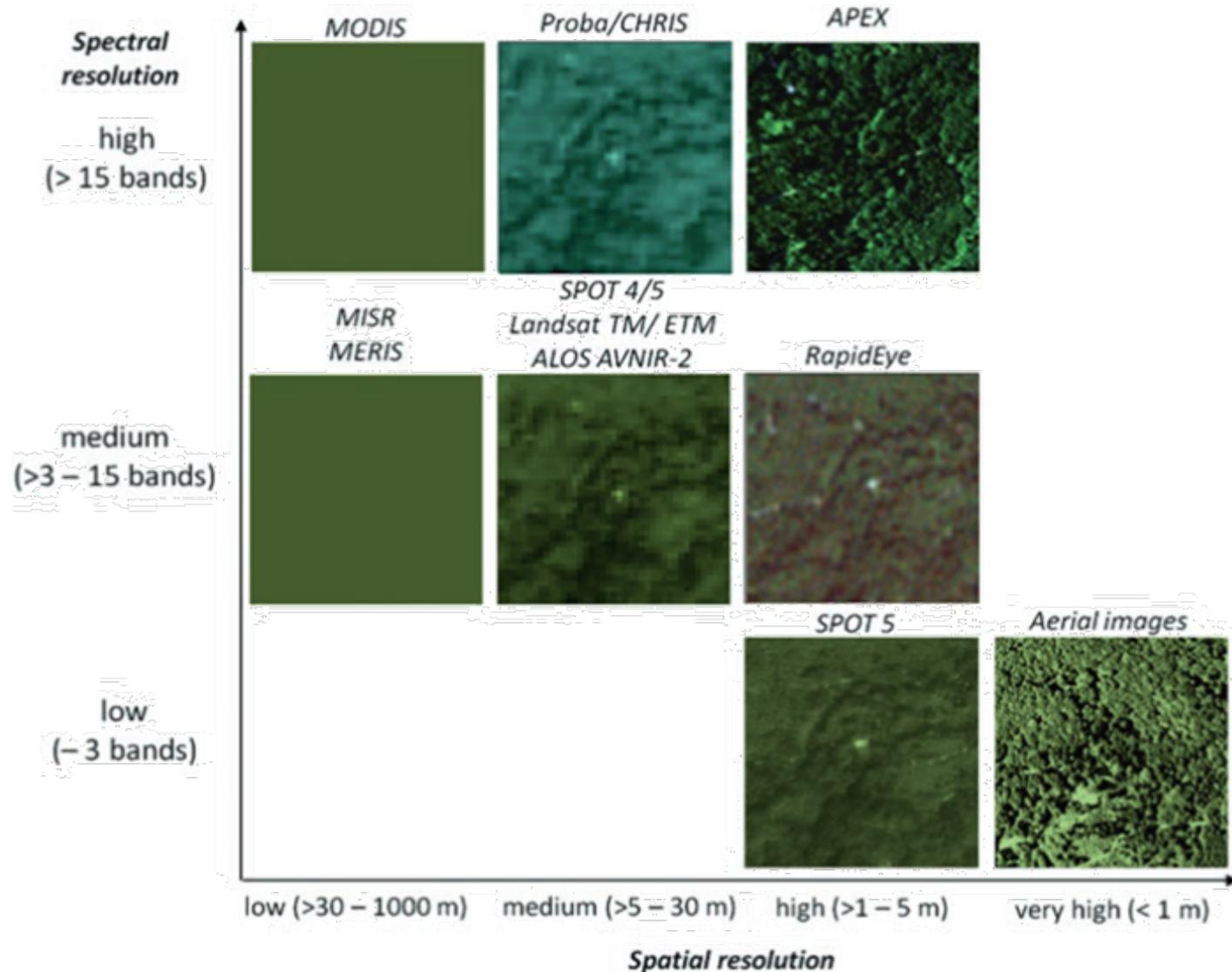
Turbulent .....

The canopy structure is represented by a simple geometric shape

MPTABLE.TBL

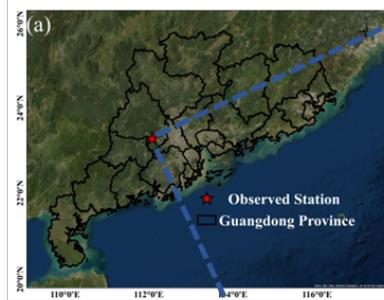
NAME	Used/Defined in Subroutine	Short description [units]
CH2OP	CANWATER	Maximum water intercepted by canopy [mm]
DLEAF	RAGRB	Leaf dimension [m]
ZOMVT	ENERGY	Momentum roughness length [m]
HVT	PHENOLOGY / TWOSTREAM	Canopy top [m]
HVB	PHENOLOGY / TWOSTREAM	Canopy bottom [m]
DEN	TWOSTREAM	Tree density [number/m <sup>2</sup> ]
RC	TWOSTREAM	Crown radius [m]
... ..		
FOLNMX	CO2FLUX / STOMATA	Maximum foliage nitrogen factor (see FOLN setting in code) [unitless]
WDPOOL	CO2FLUX	Wood pool factor used to determine relative wood presence [unitless]
WRRAT	CO2FLUX	Wood to non-wood ratio [kg/kg]
MRP	CO2FLUX	Microbial respiration in fast soil carbon pool at 10°C [umol/m <sup>2</sup> /s]
SAIM	PHENOLOGY	Monthly stem area index when prescribed [m <sup>2</sup> /m <sup>2</sup> ]
LAIM	PHENOLOGY	Monthly leaf area index when prescribed [m <sup>2</sup> /m <sup>2</sup> ]
SLAREA	BVOCFLUX	Stem-to-leaf area density [unitless]
EPS	BVOCFLUX	Emission capacity for up to 5 different BVOC fluxes at 30°C [ug C/g foliar mass/hour]

# The canopy data by earth observation



# How to get canopy parameters in our study forest?

## Sample site, Subtropical forests in Guangdong, China



### Vegetation Types of CN-DIN

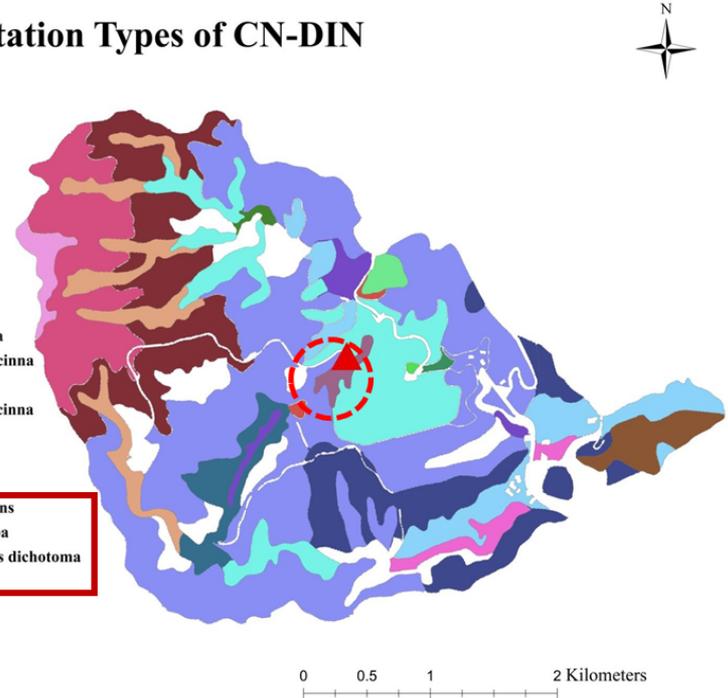
#### Legend

▲ Flux Tower

Vegetation Type

NAME

- Bamboo forest
- Camellia semserata
- Canarium album, Ormosia fordiana, Caryota ochlandra
- Castanea henryi, Cryilocarya chinensis, Cryilocarya concinna
- Castanea henryi, Cryilocarya concinna
- Castanea henryi, Erythrophleum fordii, Cryilocarya concinna
- Citrus forest
- Engechartia fenzelii, Machilus breuflora
- Ficus nervosa, Ficus variegata, Caryota ochlandra
- Pinus massoniana, Baeckea frutescens, Eriachne pallescens
- Pinus massoniana, Eucalyptus amplifolia, Schima superba
- Pinus massoniana, Rhodomyrtus tomentosa, Dicranopteris dichotoma
- Pinus massoniana, Schima superba, Castanea henryi
- Rhododendron sp., Baeckea frutescens, Eulalia speciosa
- Rhododendron sp., Miscanthus floridulus
- Rhodomyrtus tomentosa, Eurya chinensis, Itea chinensis
- Tea orchard
- Tianhu reservoir

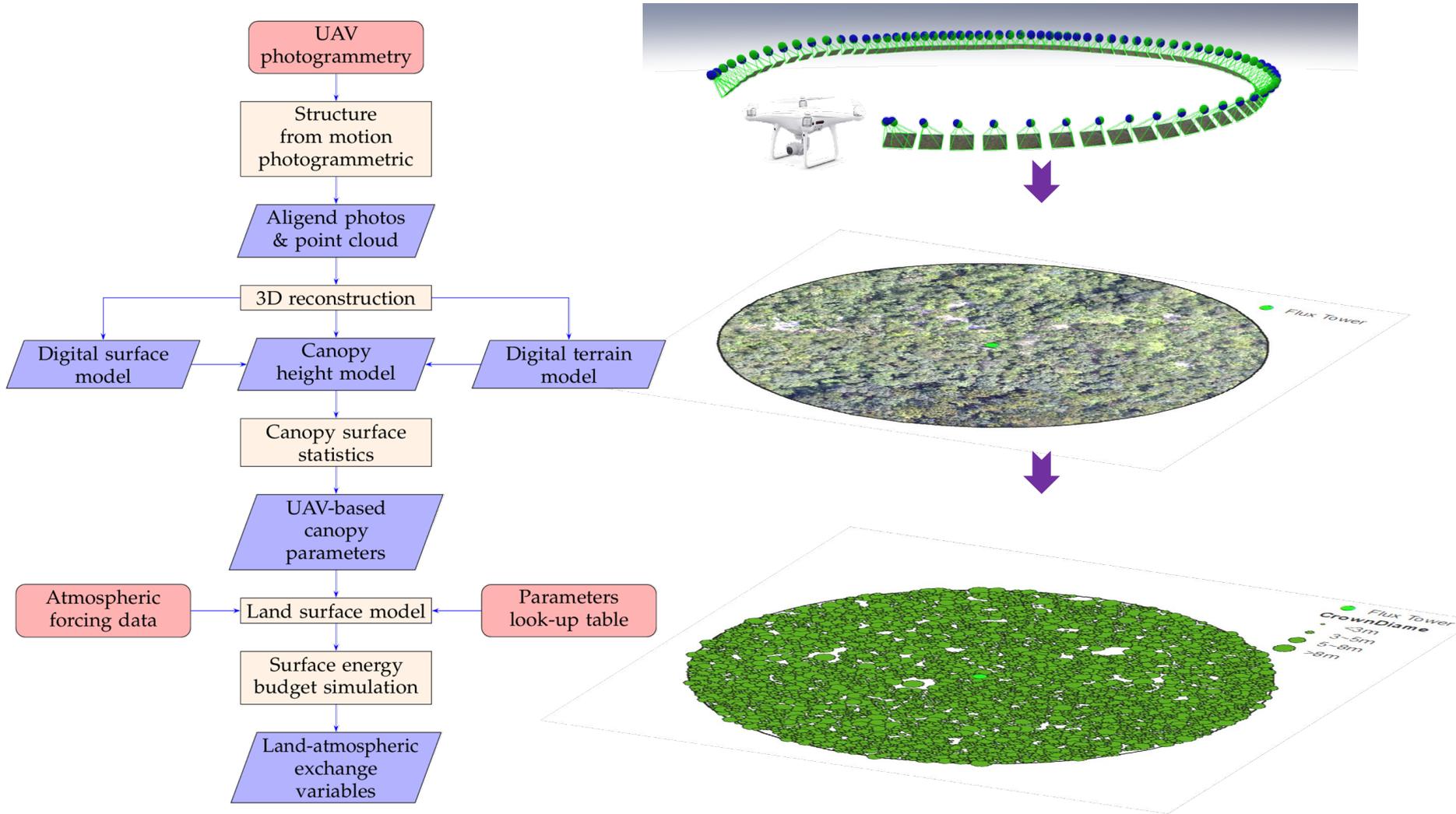


- The main tree species are *Pinus massoniana*.

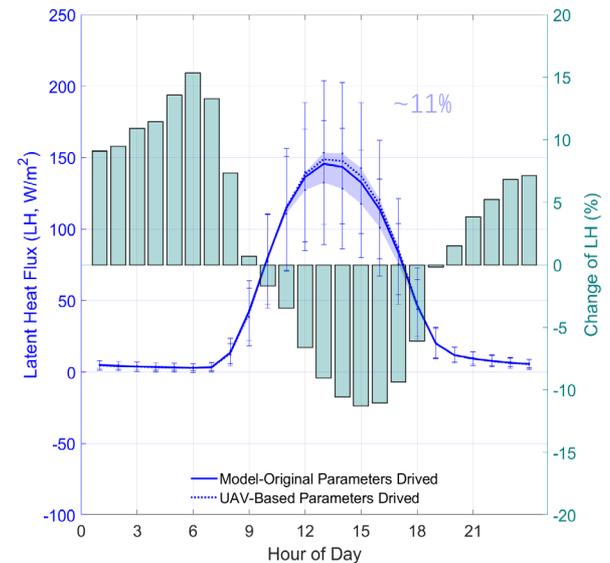
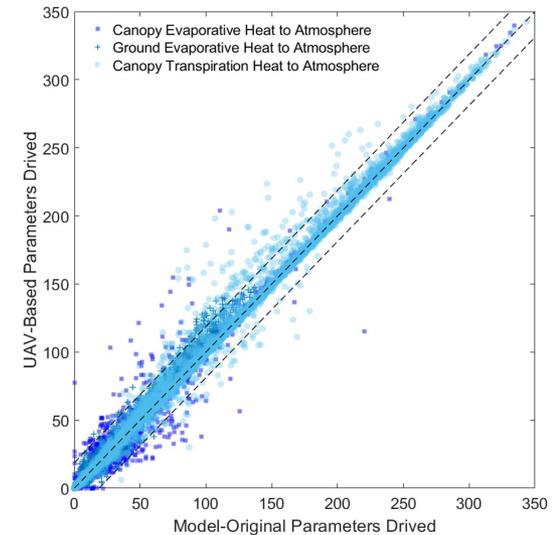
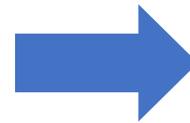
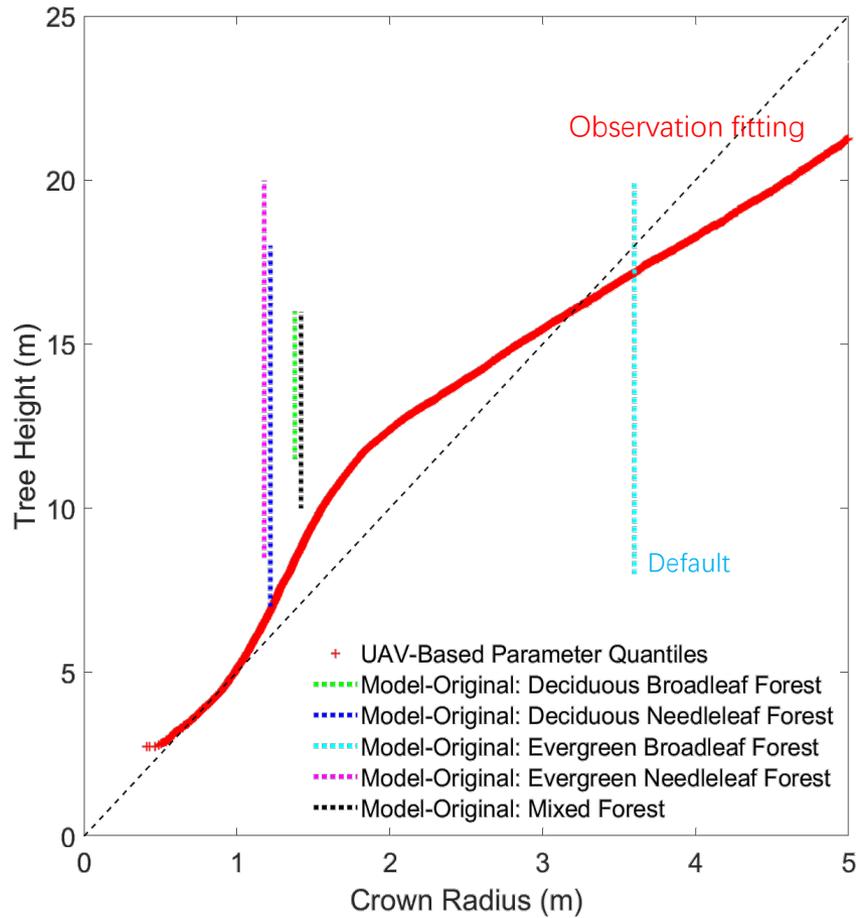
FluxTower



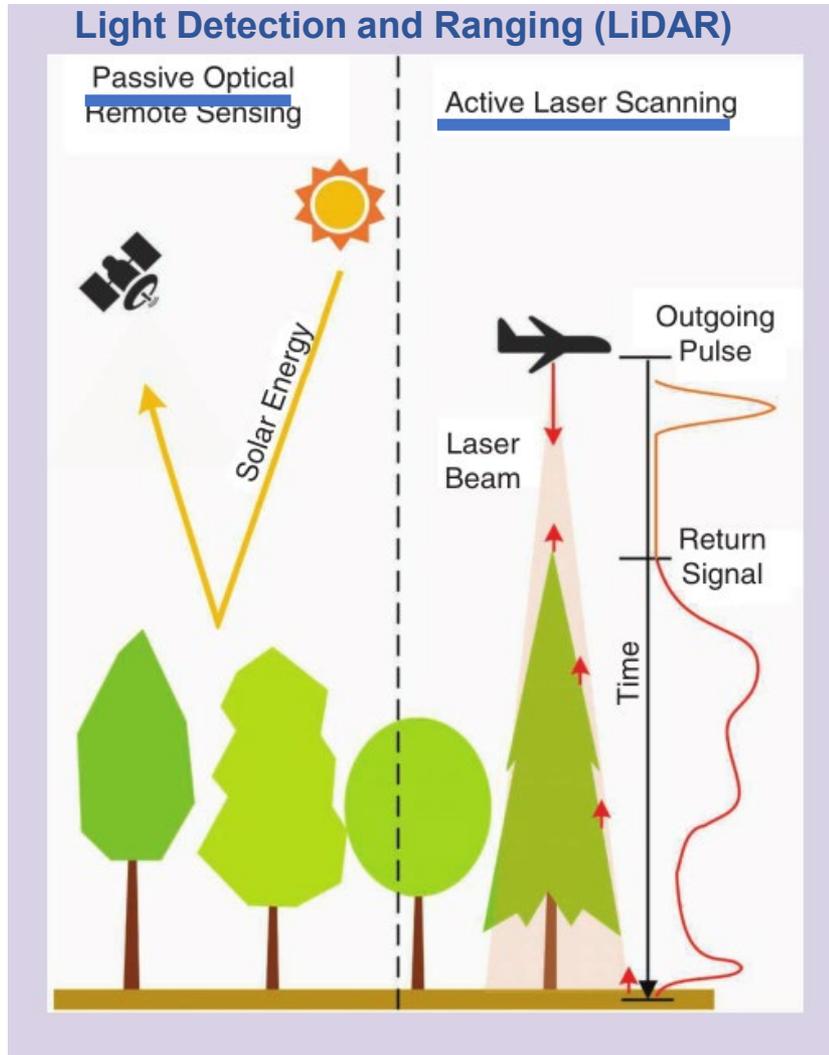
# Using UAV-based Photogrammetry



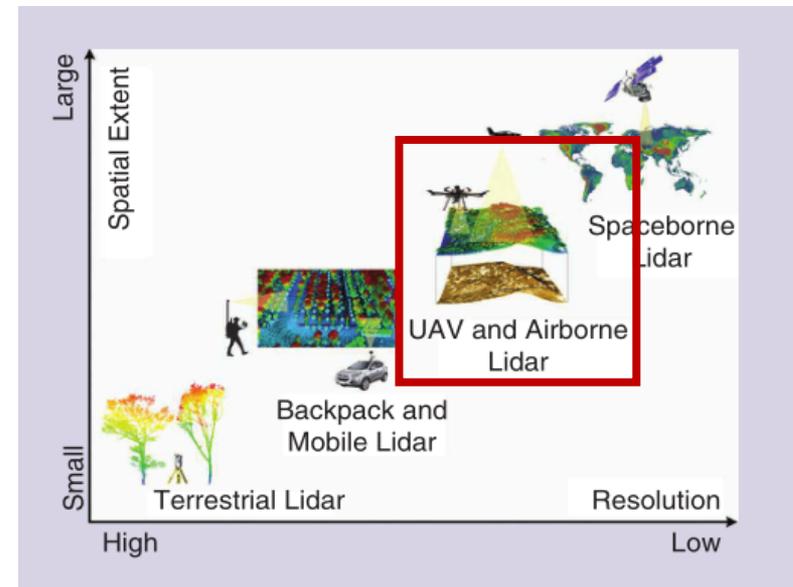
# Correction of canopy parameters and its effects



# How to get more accuracy canopy parameters?



- **UAV and Airborne LiDAR:** Multiple echoes based on different altitudes for canopy.
- High accuracy
- Less affected by occlusions and shadows, can penetrate the canopy
- High flexibility
- Large range of acquired data and acquire three-dimensional information.

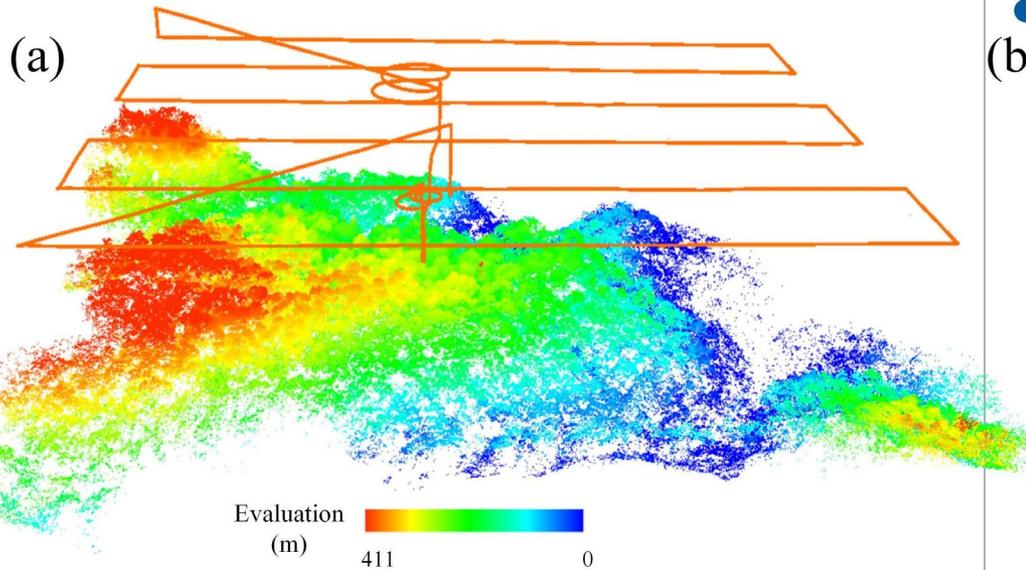


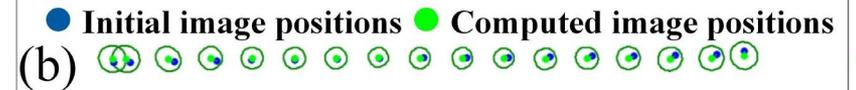
# UAVs and LiDAR setup



- Active remote sensing: unmanned **airborne lidar**
- Passive optical remote sensing: **visible light** drones

- **Heading overlap: 80.0%**
- **Scanning angle: 37° and 70°**

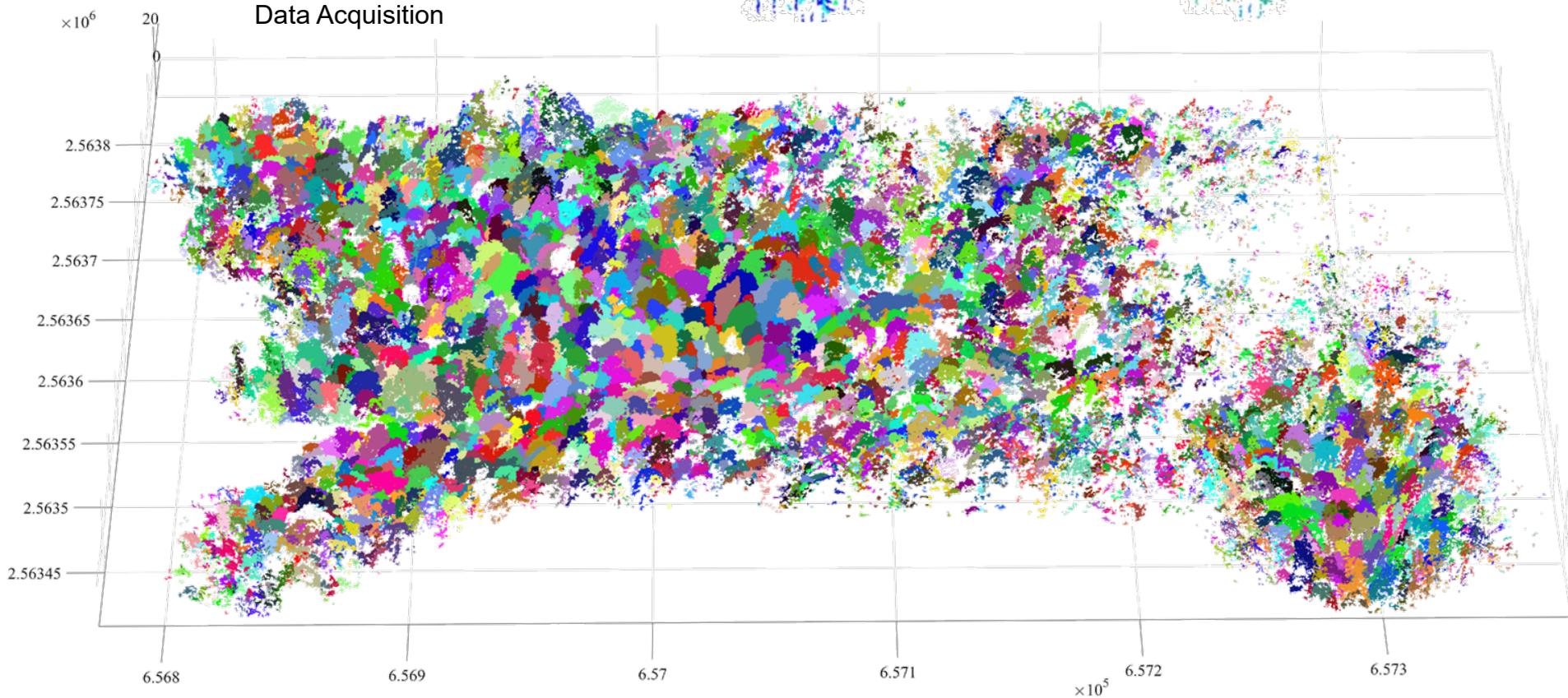
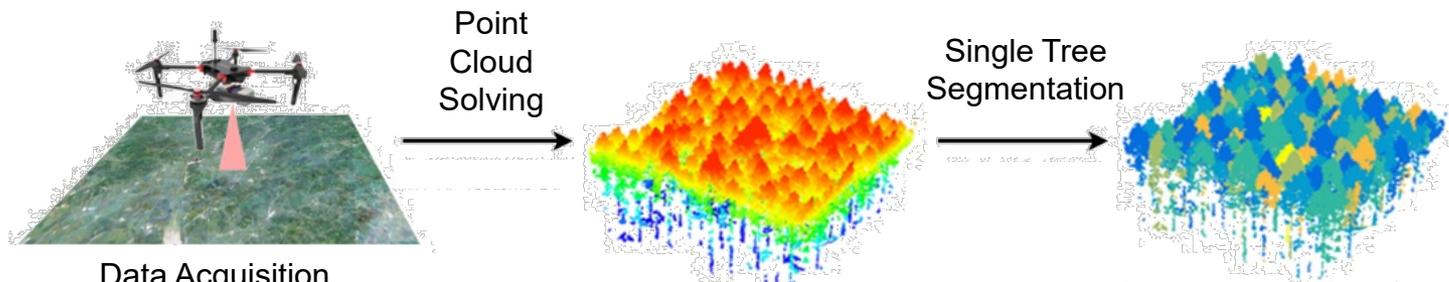


- (b)
- **Initial image positions** (blue circles)
  - **Computed image positions** (green circles)
- 

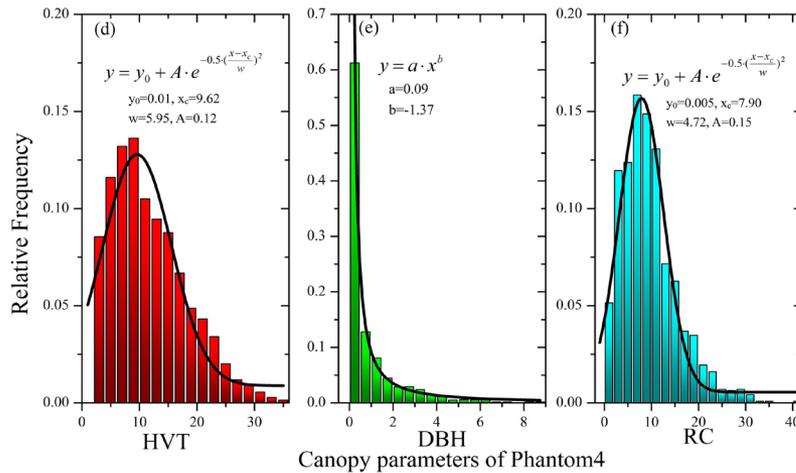
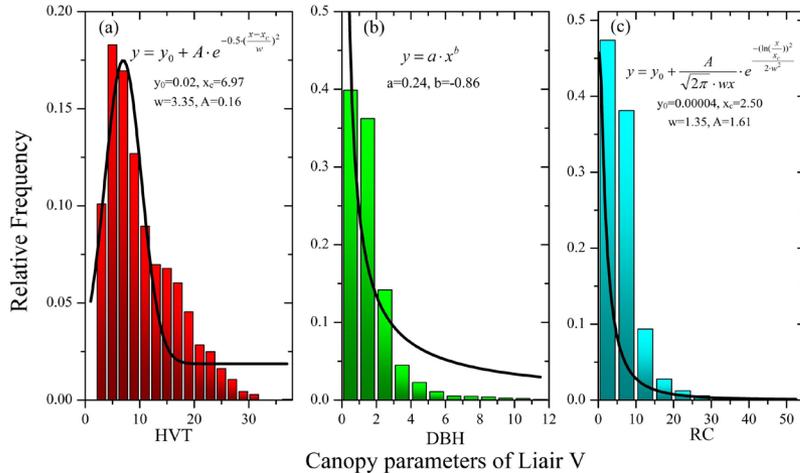
- **Flight altitude: 100 metres** from the landing site
- **Flight speed: 4.3 m/s**
- **Average sampling distance: 7.24 cm**

- **Relative geographic accuracy**  
X: 95.0% Y: 100.0% Z: 100.0%

# Single Tree Segmentation of Point Cloud in Sample Land Surveying



# Characteristics of field canopy structure



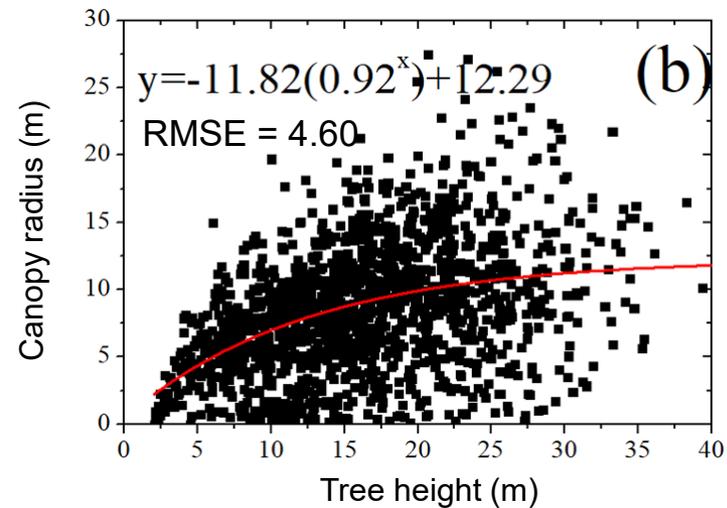
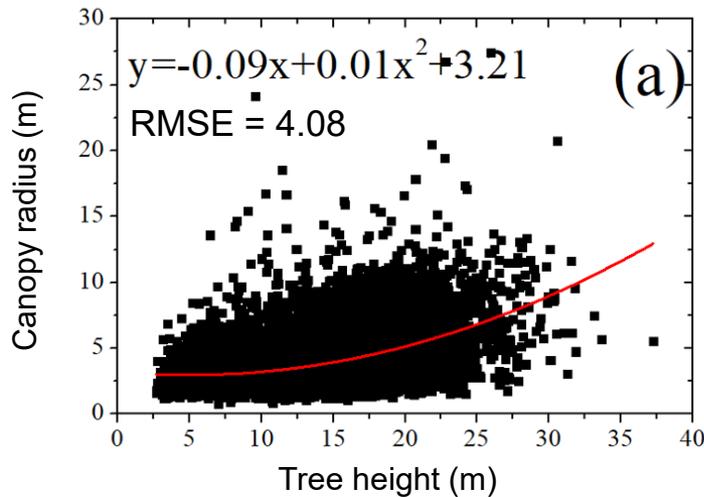
Average values of the canopy structure

	LPR	VPR	Observed (Reference)
Average tree height (HVT, m)	16.35 ± 2.19	16.02 ± 7.07	7.0~16.7
The diameter at breast height (DBH, cm)	2.22 ± 1.92	1.48 ± 1.60	5.1~21.9
Canopy radius (RC, m)	3.92 ± 1.78	4.80 ± 2.35	3.0~16.0
Leaf area index (LAI)	4.28 ± 2.38	0.48 ± 0.43	6.5 ± 0.7
Canopy cover	0.81 ± 0.18	0.48 ± 0.32	>0.8
Gap fraction	0.19 ± 0.18	0.52 ± 0.42	0.1~0.2

**LPR: LiDAR Photogrammetry Results**  
**VPR: Visible-light Photogrammetry Results**

- Passive optical remote sensing has major limitations in establishing a link with vegetation.

# Correction of canopy parameters by using fitting function

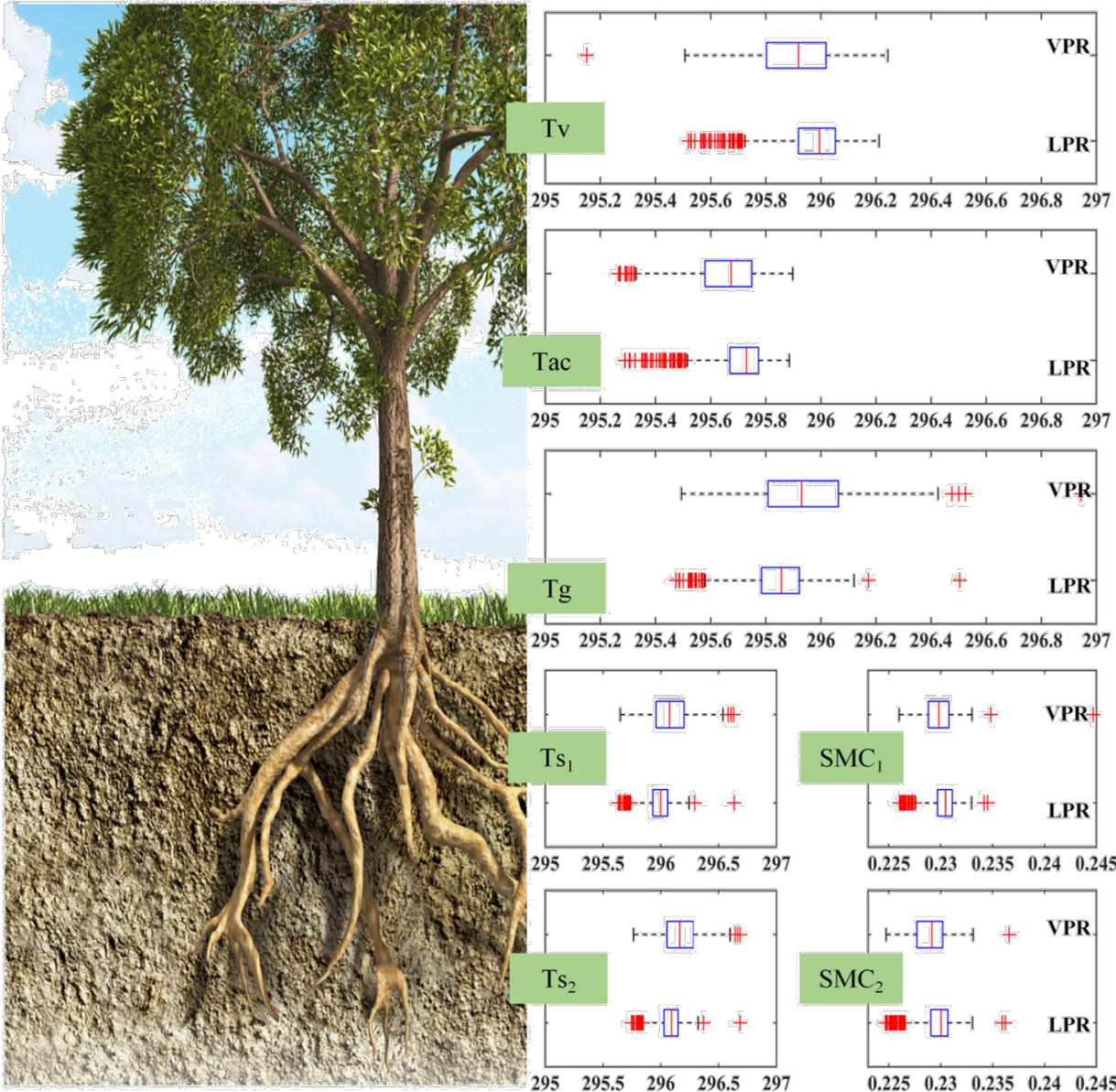


- Relationship between **average tree height (HVT)** and **Canopy radius (RC)** driven by (a) LPR and (b) VPR.
- Red lines indicate linear fits.

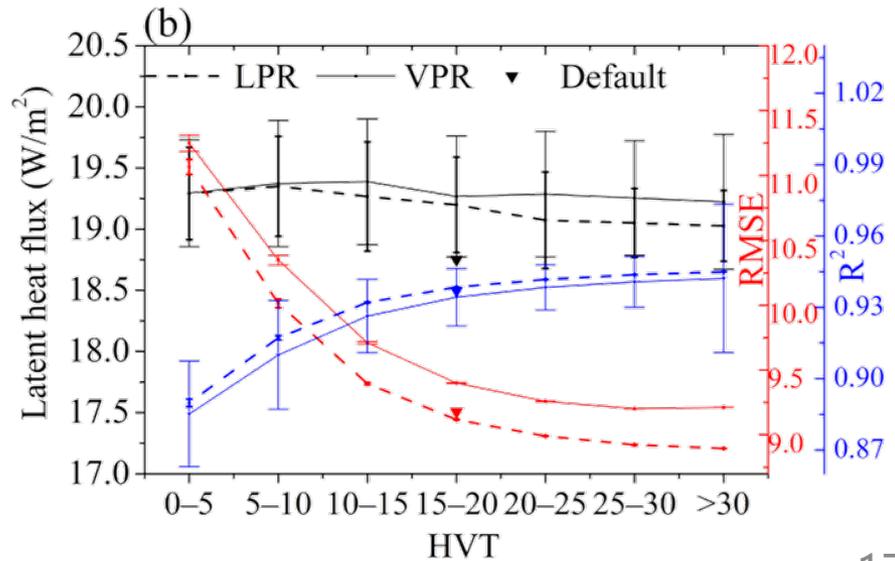
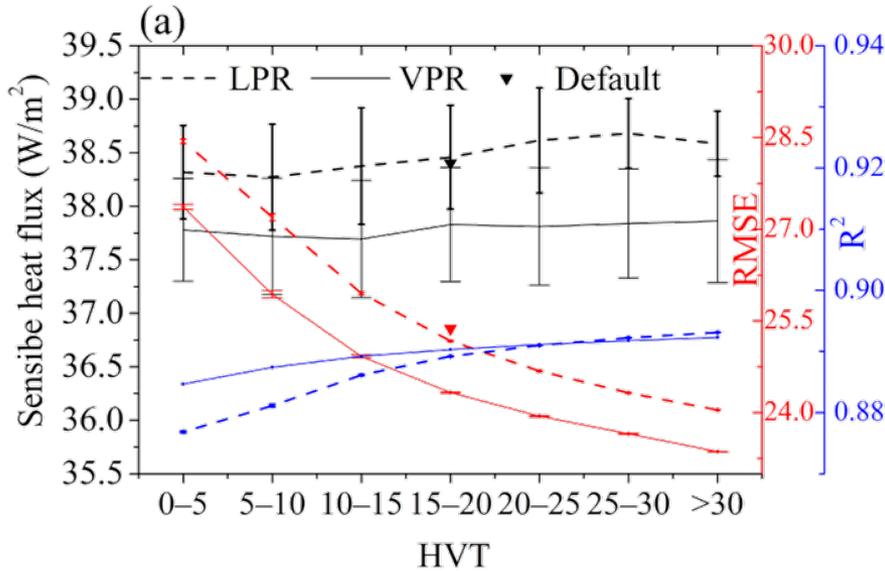
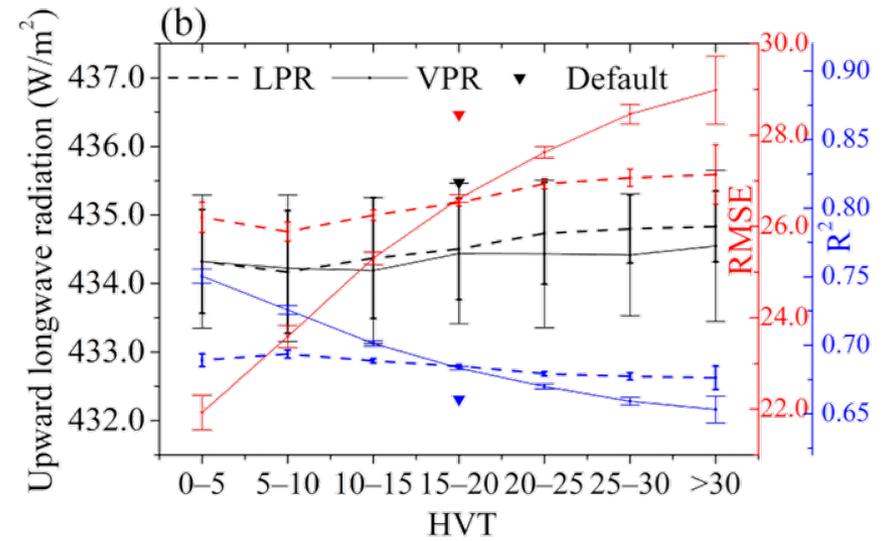
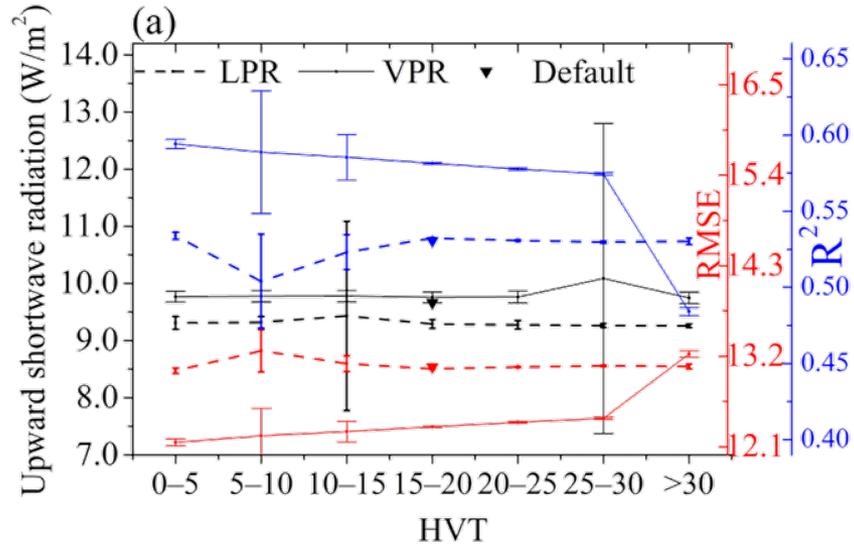
HVT and RC functions for Noah-MP inputs

Variables	Default	LPR	VPR
HVT	16.0	HVT from LPR	HVT from VPR
RC	1.4	$-0.09 \times \text{HVT} + 0.01 \times \text{HVT}^2 + 3.21$	$-11.82 \times 0.92^{\text{HVT}} + 12.29$

# Results of the simulated canopy temperature and humidity profiles



# Diagnosis of the radiation and heat fluxes

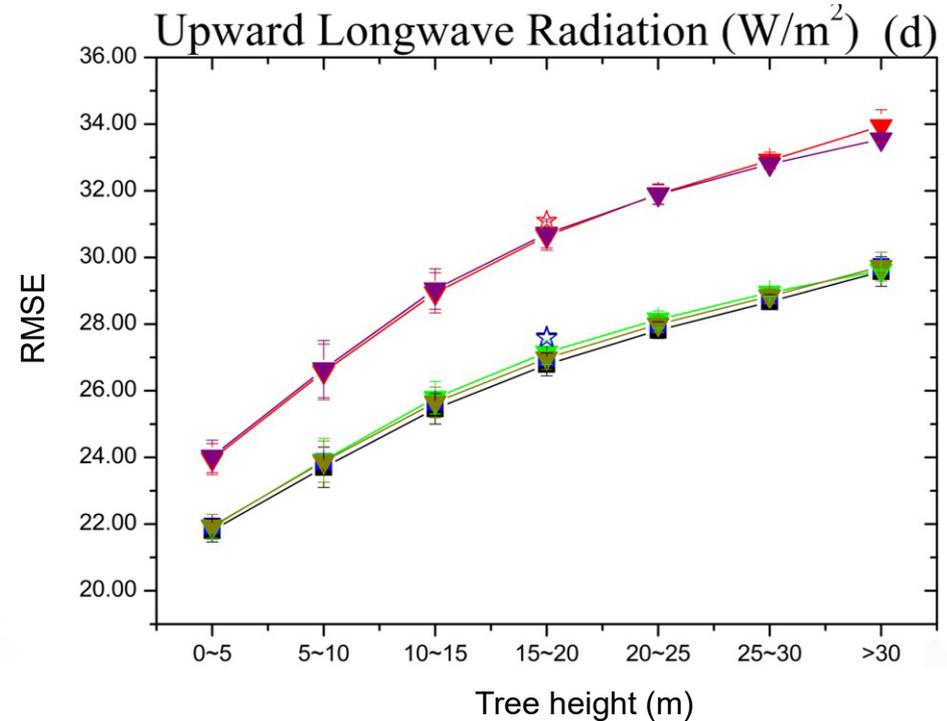
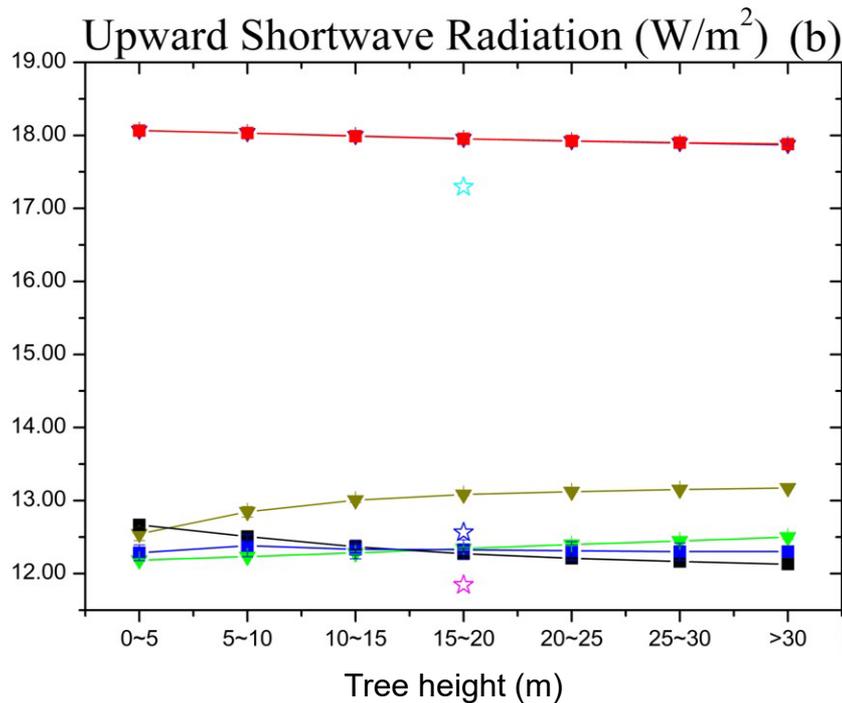


# Parameters vs OPT\_RAD option choice

Experimental name	Vegetation canopy parameters	Vegetation canopy structure programme options
D_1	Default	Three-dimensional (3D) canopy morphology
D_2		Non-vegetated gap
D_3		Coverage-based
LPR_1	LPR	Three-dimensional (3D) canopy morphology
LPR_2		Non-vegetated gap
LPR_3		Coverage-based
VPR_1	VPR	Three-dimensional (3D) canopy morphology
VPR_2		Non-vegetated gap
VPR_3		Coverage-based

# Canopy 3D Morphology with LiDAR Photogrammetry

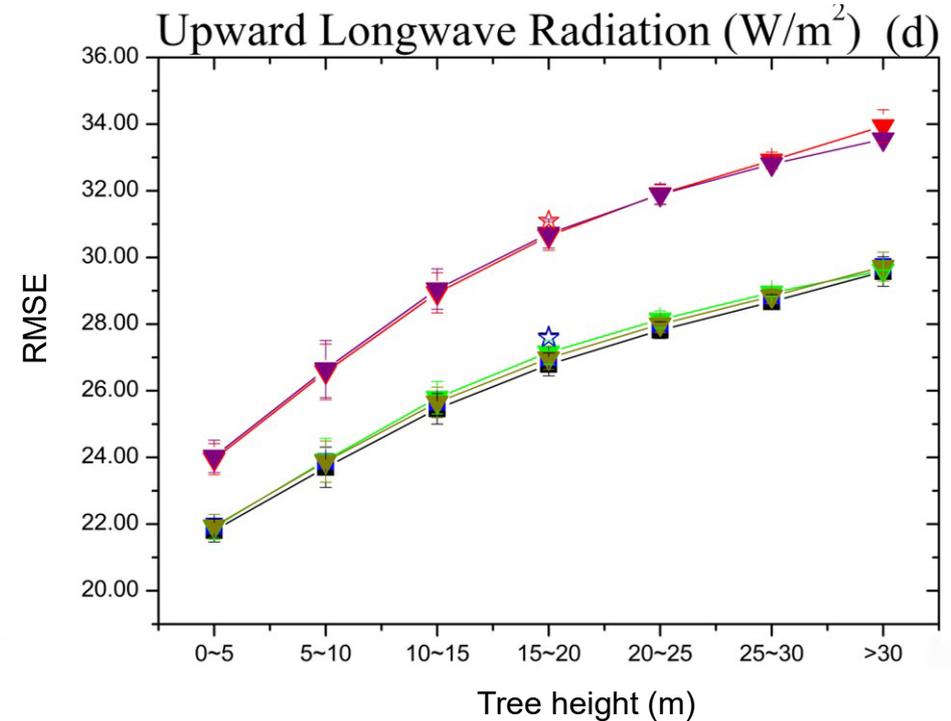
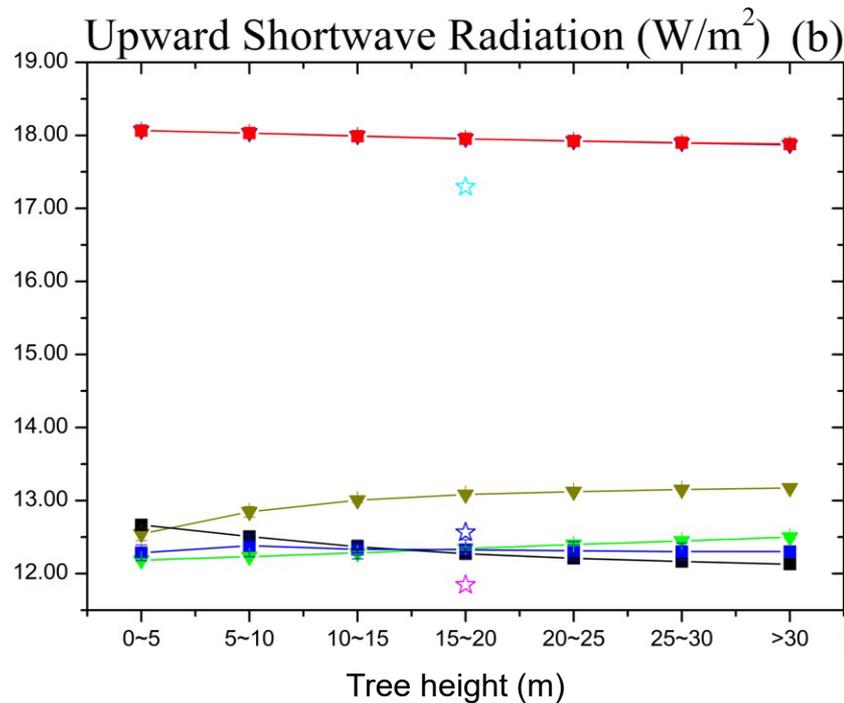
## Parameter inputs have Lower RMSE for radiation



- The upward shortwave radiation and upward longwave radiation RMSEs simulated by the LPR are reduced by **9.5%** and **3.6%** compared to the VPR.

# Canopy 3D Morphology with LiDAR Photogrammetry

## Parameter inputs have Lower RMSE for latent heat flux



- The upward shortwave radiation and upward longwave radiation RMSEs simulated by the LPR are reduced by **9.5%** and **3.6%** compared to the VPR.

# Current Mechanism

## Considering canopy gaps

$P_c$ : total canopy gap probability

$$P_c = \min \left\{ \begin{array}{l} 1 - F_{veg} \\ P_{bc} + P_{wc} \end{array} \right.$$

between-crown gap probability

$$K_{open} = 0.05$$

$K_{open}$ : gap fraction

$F_{veg}$ : veg fraction

within-Crown  
Gap  
Probability

## No canopy gaps

$$P_c = 0$$

$$K_{open} = 0$$

$$P_{bc} = e^{\frac{-\rho_t \times \pi \times r^2}{\cos(\theta')^2}}$$

$$\rho_t = -\frac{\log(\max(1.0 - F_{veg}, 0.01))}{\pi \times r^2}$$

$$\theta' = \tan^{-1} \left[ \frac{H_{top} - H_{bot}}{2r} \times \tan \theta \right]$$

$r$ : tree crown radius

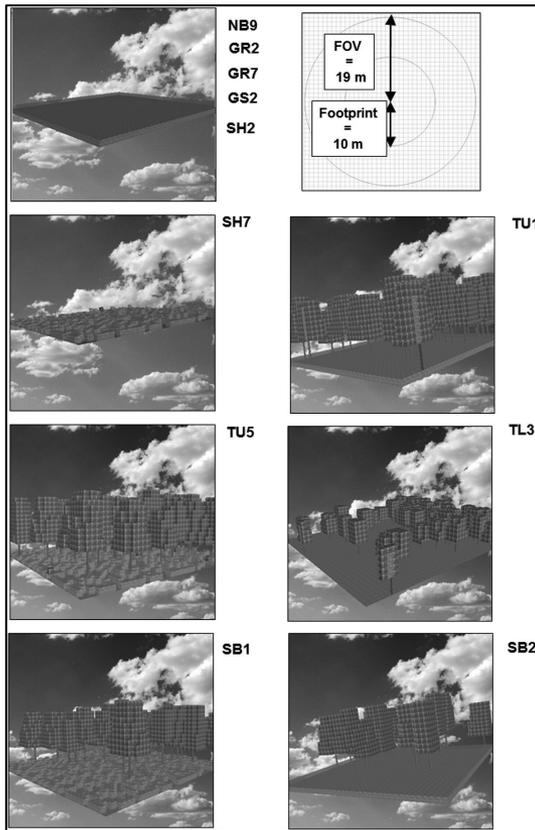
$H_{top}$ : height to canopy top

$H_{bot}$ : height to canopy bottom

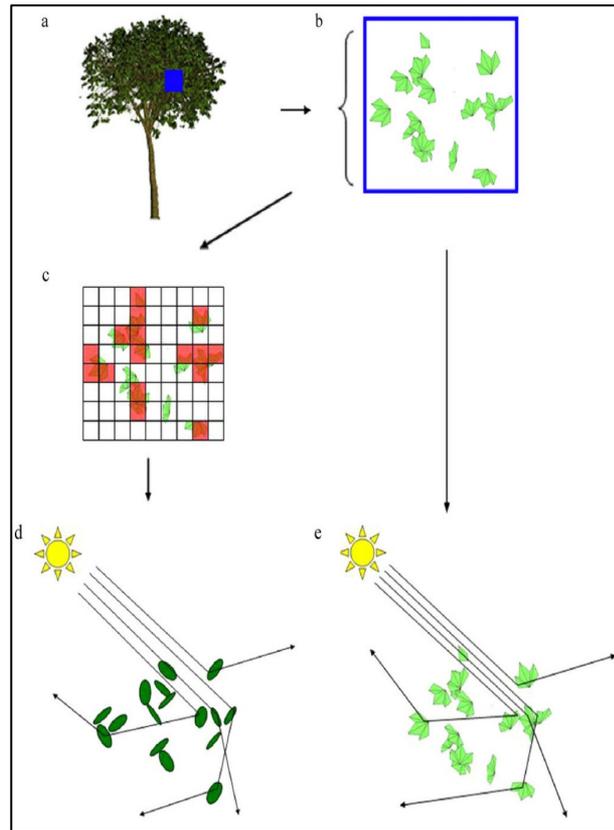
$$P_{wc} = (1 - P_{bc}) \times e^{\frac{-0.5 \times F_a \times (H_{top} - H_{bot})}{\cos \theta}}$$

# Further work 1: Expressing more refined canopy structure and processes in the model

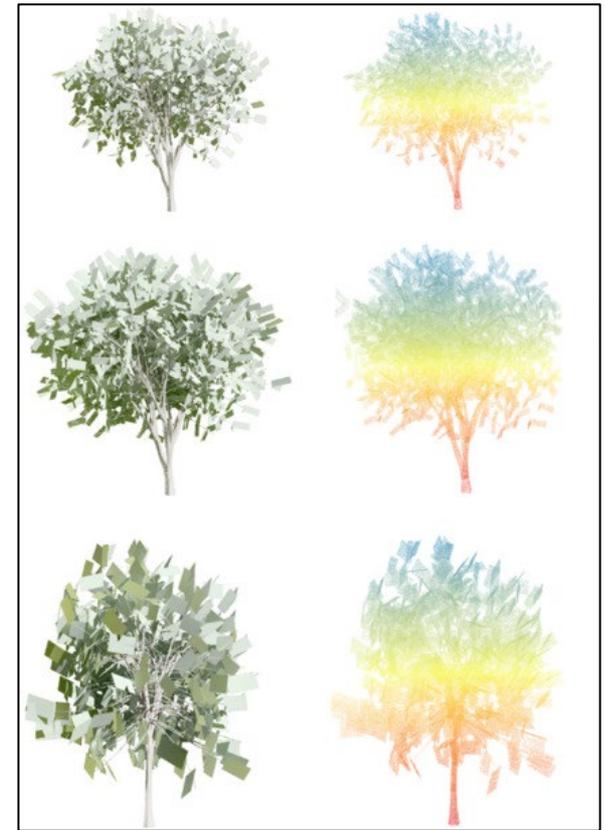
Extractable from UAV-based photogrammetry:  
Height, Length, Volume, Coverage  
Canopy closure, Leaf area index, Gap rate, Biomass...



(Lamelas-Gracia et al., 2019)

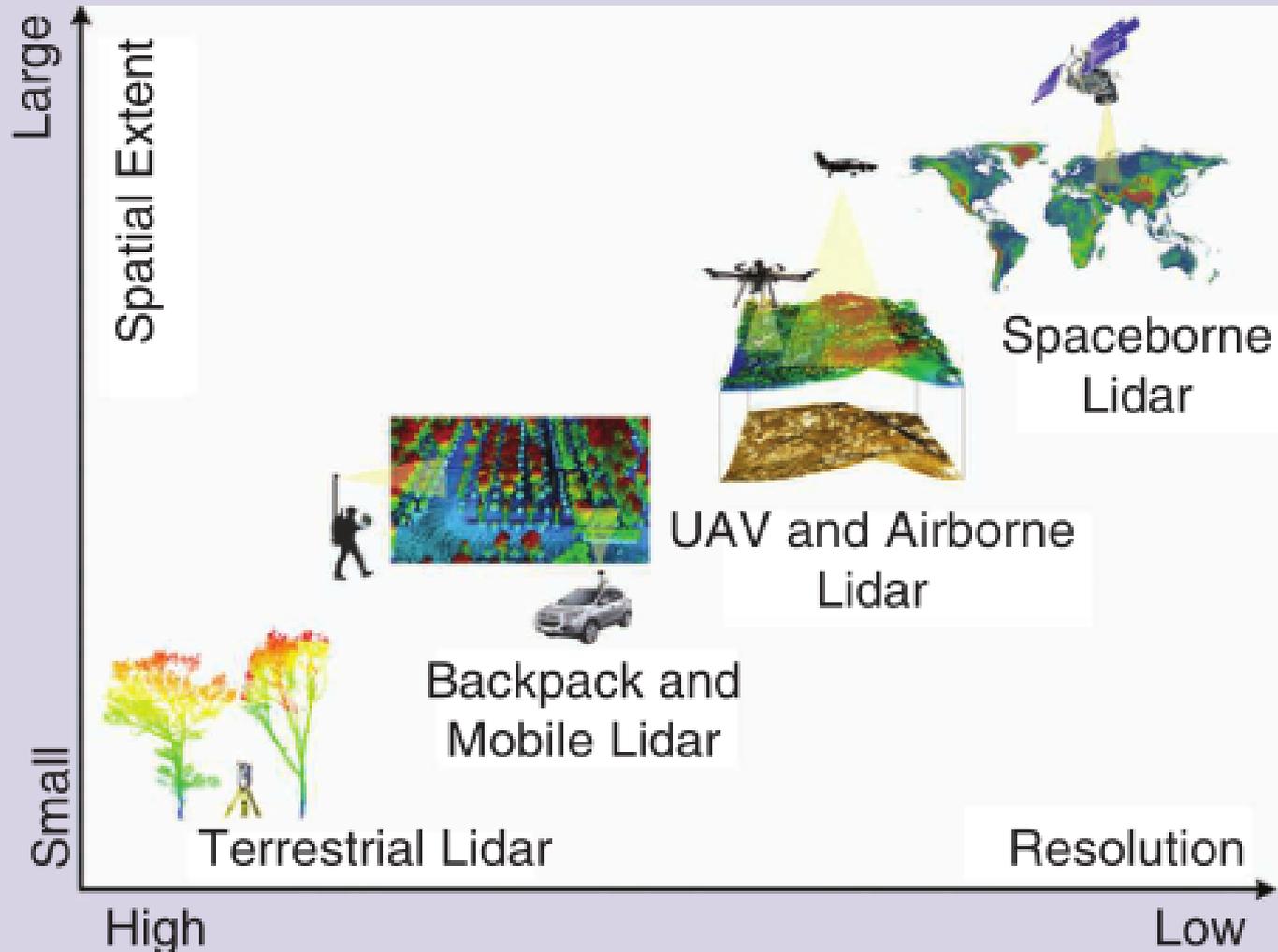


(Van der Zande et al., 2011)



(Li et al., 2018)

# Further work 2: expressing and comparing from other scales



# Related Papers

- M Chang, JC Cao, Qi Zhang, WH Chen, GT Wu, LP Wu, WW WANG, XM Wang\*, Improvement of stomatal resistance and photosynthesis mechanism of Noah-MP-WDDM (v1.42) in the simulation of NO<sub>2</sub> dry deposition velocity in forests, **Geoscientific Model Development**, 2022. 15(2):787-801.
- GT W, YC Y, YB Y, JC Cao, YJ Bai, SJ Zhu, LP Wu, WW Wang, M Chang\* and XM Wang, UAV-LiDAR Measurement of Vegetation Canopy Structure Parameters and Their Impact on Land–Air Exchange Simulation Based on Noah-MP Model, **Remote Sensing**, 2022. 14(13):2998.
- M Chang, SJ Zhu, JC Cao, BY Chen, Qi Zhang, WH Chen, SG Jia, Krishnan Padmaja, XM Wang\*. Improvement and Impacts of Forest Canopy Parameters on Noah-MP Land Surface Model from UAV-Based Photogrammetry. **Remote Sensing**. 2020, 12, 4120.
- M Chang, WH Liao, XM Wang\*, Q Zhang, WH Chen, ZY Wu. An optimal ensemble of the Noah-MP land surface model for simulating surface heat fluxes over a typical subtropical forest in South China. **Agricultural and Forest Meteorology**. 2019, 281: 107815.



***THANKS!***

