### The NCAR WRF-Hydro Modeling System Technical Description

Version 5.0

Originally Created: April 14, 2013

**Updated:** 

**April 13, 2018** 

Until further notice, please cite the WRF-Hydro modeling system as follows:

Gochis, D.J., M. Barlage, A. Dugger, K. FitzGerald, L. Karsten, M. McAllister, J. McCreight, J. Mills, A. RafieeiNasab, L. Read, K. Sampson, D. Yates, W. Yu, (2018). *The WRF-Hydro modeling system technical description*, (Version 5.0). NCAR Technical Note. 107 pages. Available online at: https://ral.ucar.edu/sites/default/files/public/WRFHydroV5TechnicalDescription.pdf.

#### **FORWARD**

This Technical Description describes the WRF-Hydro model coupling architecture and physics options, released in Version 5 in April 2018. As the WRF-Hydro system is developed further, this document will be continuously enhanced and updated. Please send feedback to <a href="wrfhydro@ucar.edu">wrfhydro@ucar.edu</a>.

### Prepared by:

David Gochis, Michael Barlage, Aubrey Dugger, Logan Karsten, Molly McAllister, James McCreight, Joe Mills, Arezoo RafieeiNasab, Laura Read, Kevin Sampson, David Yates, Wei Yu

#### Special Acknowledgments:

Development of the NCAR WRF-Hydro system has been significantly enhanced through numerous collaborations. The following persons are graciously thanked for their contributions to this effort:

John McHenry and Carlie Coats, Baron Advanced Meteorological Services

Martyn Clark and Fei Chen, National Center for Atmospheric Research

Zong-Liang Yang, Cedric David, Peirong Lin and David Maidment of the University of Texas at Austin

Harald Kunstmann, Benjamin Fersch and Thomas Rummler of Karlsruhe Institute of

Technology, Garmisch-Partenkirchen, Germany

Alfonso Senatore, University of Calabria, Cosenza, Italy

Ismail Yucel, Middle East Technical University, Ankara, Turkey

Erick Fredj, The Jerusalem College of Technology, Jerusalem, Israel

Amir Givati, Surface water and Hydrometeorology Department, Israeli

Hydrological Service, Jerusalem.

Antonio Parodi, Fondazione CIMA - Centro Internazionale in Monitoraggio Ambientale,

Savona, Italy

Blair Greimann, Sedimentation and Hydraulics section, U.S. Bureau of Reclamation

Funding support for the development and application of the WRF-Hydro system has been provided by:

The National Science Foundation and the National Center for Atmospheric Research

The U.S. National Weather Service

The Colorado Water Conservation Board

Baron Advanced Meteorological Services

National Aeronautics and Space Administration (NASA)

National Oceanic and Atmospheric Administration (NOAA) Office of Water Prediction (OWP)

Table of Contents (click on a section title)	
1. Introduction	5
1.1 Brief History	5
1.2 Model Description	6
2. Model Code and Configuration Description	10
2.1 Brief code overview	10
2.2 Driver level description	10
2.3 Parallelization strategy	11
2.4 Directory Structures	13
2.5 Model Sequence of Operations	15
2.6 WRF-Hydro compile-time options	17
2.7 WRF-Hydro run time options	18
3. Model Physics Description	19
3.1 Physics Overview	19
3.2 Land model description: The community Noah and Noah-MP land surface models	21
3.3 Spatial Transformations	22
3.3.1 Subgrid disaggregation-aggregation	23
3.3.2 User-Defined Mapping	25
3.3.3 Data Remapping for Hydrological Applications	25
3.4 Subsurface Routing	26
3.5 Surface Overland Flow Routing	29
3.6 Channel and Lake Routing	32
3.6.1. Gridded Routing using Diffusive Wave	33
3.6.2. Linked Routing using Muskingum and Muskingum-Cunge	35
3.7 Lake and Reservoir routing description	37
3.8 Conceptual base flow model description	39
4. Streamflow Nudging Data Assimilation	42
4.1 Streamflow Nudging Data Assimilation Overview	42
4.2 Nudging Formulation	42
4.3 Nudging Workflow	44
4.3.1 Input Files	45
4.3.2 Output Files	46
4.4 Nudging compile-time option	47
4.5 Nudging run-time options	47
5. Model inputs and preprocessing	48
5.1 Overview of model inputs	48
5.2 Domain processing and description of surface physiographic input files	50

5.2.1 Defining the model domain	50
5.2.2 Initial land surface conditions	50
5.2.3 Generating hydro input files via the WRF-Hydro GIS pre-processing tools	51
5.3 Description of land surface model and lateral routing parameter files	52
5.3.1 Spatially distributed parameter files	54
5.4 Description of channel routing parameter files	54
5.5 Description of groundwater input and parameter files	54
5.6 Description of lake and reservoir parameter tables	55
5.7 Specification of meteorological forcing data	55
6. Description of Output Files from WRF-Hydro	59
REFERENCES	65
APPENDIX	67
A1. Example of Dependency Installation for a Base Ubuntu Linux Distribution	68
A2. Exceptions for Running WRF-Hydro with the Noah LSM	70
A3. Noah HRLDAS model namelist description (namelist.hrldas)	71
A4. Noah-MP namelist.hrldas File with Description of Options	73
A5. WRF-Hydro hydro.namelist File with Description of Options	75
A6. Noah land surface model parameter tables	82
A7. Noah-MP land surface model parameter tables	84
A8. Terrain routing parameter files	88
A9. Channel routing parameter tables (CHANPARM.TBL and Route_Link.nc)	89
A10. Groundwater input and parameter files	90
A11. Spatial weights input file variable description	91
A12. Lake and reservoir parameter tables (LAKEPARM.nc)	92
A13. Restart File Overview	93
A13.1 RESTART_MP File Variable Table	93
A14. HYDRO_RST File Variable Table	96
A15. Streamflow Nudging	97
A16. National Water Model (NWM) Configuration	99

#### 1. Introduction

The purpose of this technical note is to describe the physical parameterizations, numerical implementation, coding conventions and software architecture for the NCAR Weather Research and Forecasting model (WRF) hydrological modeling system, hereafter referred to as WRF-Hydro. The system is intended to be flexible and extensible and users are encouraged to develop, add and improve components to meet their application needs.

It is critical to understand, that like the WRF atmospheric modeling system, the WRF-Hydro modeling system is not a singular 'model' per se but, instead it is a modeling architecture that facilitates coupling of multiple alternative hydrological process representations. There are numerous (over 100) different configuration permutations possible in WRF-Hydro Version 5.0. Users need to become familiar with the concepts behind the processes within the various model options in order to optimally tailor the system for their particular research and application activities.

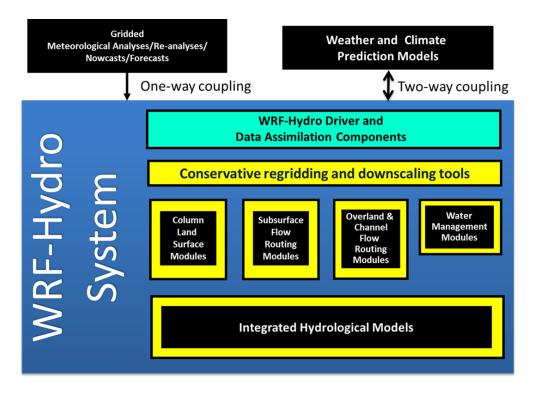
### 1.1 Brief History

The WRF-Hydro modeling system provides a means to couple hydrological model components to atmospheric models and other Earth System modeling architectures. The system is intended to be extensible and is built upon a modular FORTRAN90 architecture. The code has also been parallelized for distributed memory parallel computing applications. Numerous options for terrestrial hydrologic routing physics are contained within Version 5.0 of WRF-Hydro but users are encouraged to add additional components to meet their research and application needs. The initial version of WRF-Hydro (originally called 'Noah-distributed' in 2003) included a distributed, 3-dimensional, variably-saturated surface and subsurface flow model previously referred to as 'Noah-distributed' for the underlying land surface model upon which the original code was based. Initially, the implementation of terrain routing and, subsequently, channel and reservoir routing functions into the 1-dimensional Noah land surface model was motivated by the need to account for increased complexity in land surface states and fluxes and to provide physically-consistent land surface flux and stream channel discharge information for hydrometeorological applications. The original implementation of the surface overland flow and subsurface saturated flow modules into the Noah land surface model are described by Gochis and Chen (2003). In that work, a simple subgrid disaggregation-aggregation procedure was employed as a means of mapping land surface hydrological conditions from a "coarsely" resolved land surface model grid to a much more finely resolved terrain routing grid capable of adequately resolving the dominant local landscape gradient features responsible for the gravitational redistribution of terrestrial moisture. Since then numerous improvements to the Noah-distributed model have occurred including optional selection for 2-dimensional (in x and y) or 1-dimensional ("steepest descent" or so-called "D8" methodologies) terrain routing, a 1-dimensional, grid-based, hydraulic routing model, a reservoir routing model, 2 reach-based hydrologic channel routing models, and a simple empirical baseflow estimation routine. In 2004, the entire modeling system, then referred to as the NCAR WRF-Hydro hydrological modeling extension package was coupled to the Weather Research and Forecasting (WRF) mesoscale meteorological model (Skamarock et al., 2005) thereby permitting a physics-based, fully coupled land surface hydrology-regional atmospheric modeling capability for use in hydrometeorological and The code has since been fully parallelized for hydroclimatological research and applications. high-performance computing applications. During late 2011 and 2012, the WRF-Hydro code underwent a major reconfiguration of its coding structures to facilitate greater and easier extensibility and upgradability with respect to the WRF model, other hydrological modeling components, and other Earth system modeling frameworks. The new code and directory structure implemented is reflected in this

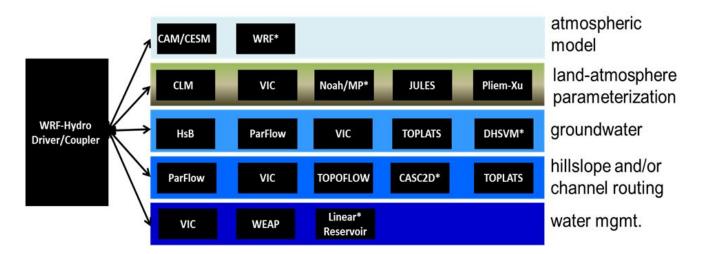
document. Additional changes to the directory structure occurred during 2014-2015 to accommodate the coupling with the new Noah-MP land modeling system. Between 2015-2018, new capabilities were added to permit more generalized, user-defined mapping onto irregular objects, such as catchments or hydrologic response units. As additional changes and enhancements to the WRF-Hydro occur they will be documented in future versions of this document.

#### 1.2 Model Description

WRF-Hydro has been developed to facilitate improved representation of terrestrial hydrologic processes related to the spatial redistribution of surface, subsurface and channel waters across the land surface and to facilitate coupling of hydrologic models with atmospheric models. Switch-activated modules in WRF-Hydro enable treatment of terrestrial hydrological physics, which have either been created or have been adapted from existing distributed hydrological models. The conceptual architecture for WRF-Hydro is shown in Figures 1.1 and 1.2 where WRF-Hydro exists as a coupling architecture (blue box) or "middle-ware" layer between weather and climate models and terrestrial hydrologic models and land data assimilation systems. WRF-Hydro can also operate in a standalone mode as a traditional land surface hydrologic modeling system.



**Figure 1.1.** Generalized conceptual schematic of the WRF-Hydro architecture showing various categories of model components.



**Figure 1.2.** Model schematic illustrating where many existing atmosphere, land surface and hydrological model components *could* fit into the WRF-Hydro architecture. NOTE: Not all of these models are currently coupled into WRF-Hydro at this time. This schematic is meant to be illustrative. Components which are coupled have an asterisk (\*) by their name.

WRF-Hydro is designed to enable improved simulation of land surface hydrology and energy states and fluxes at a fairly high spatial resolution (typically 1 km or less) using a variety of physics-based and conceptual approaches. As such, it is intended to be used as either a land surface model in both standalone ("uncoupled" or "offline") mode and fully-coupled (to an atmospheric model) mode. Both time-evolving "forcing" and static input datasets are required for model operation. The exact specification of both forcing and static data depends greatly on the selection of model physics and component options to be used. The principle model physics options in WRF-Hydro include:

- 1-dimensional (vertical) land surface parameterization
- surface overland flow
- saturated subsurface flow
- channel routing
- reservoir routing
- conceptual/empirical baseflow

Both the Noah land surface and Noah-MP land surface model options are available for use in the current version of the WRF-Hydro. The rest of this document will focus on their implementation. Future versions will include other land surface model options.

Like nearly all current land surface models, the Noah and Noah-MP land surface parameterizations require a few basic meteorological forcing variables. Required meteorological forcing variables are listed in Table 1.1.

**Table 1.1** Required input meteorological forcing variables for the Noah and Noah-MP LSMs

Variable	Units
Incoming shortwave radiation	$(W/m^2)$
Incoming longwave radiation	$(W/m^2)$
Specific humidity	(kg/kg)
Air temperature	(K)
Surface pressure	(Pa)
Near surface wind in the u - component	(m/s)
Near surface wind in the v-component	(m/s)
Liquid water precipitation rate	(mm/s)

[Different land surface models may require other or additional forcing variables or the specification of forcing variables in different units.]

When coupled to the WRF regional atmospheric model the meteorological forcing data is provided by the atmospheric model with a frequency dictated by the land surface model time-step specified in WRF. When run in a standalone mode, meteorological forcing data must be provided as gridded input time series. Further details on the preparation of forcing data for standalone WRF-Hydro execution is provided in Section 5.7.

External, third party, Geographic Information System (GIS) tools are used to delineate a stream channel network, open water (i.e., lake, reservoir, and ocean) grid cells and groundwater/baseflow basins. Water features are mapped onto the high-resolution terrain-routing grid and post-hoc consistency checks are performed to ensure consistency between the coarse resolution Noah/Noah-MP land model grid and the fine resolution terrain and channel routing grid.

The WRF-Hydro model components calculate fluxes of energy and moisture either back to the atmosphere or also, in the case of moisture fluxes, to stream and river channels and through reservoirs. Depending on the physics options selected, the primary output variables include but are not limited to those in the table below. Output variables and options are discussed in detail in Section 6.

Table 1.2 Primary Output data from WRF-Hydro

Variable	Units
Surface latent heat flux	W m-2
Surface sensible heat flux	W m-2
Ground heat flux	W m-2
Ground surface and/or canopy skin temperature	K
Surface evaporation components (soil evaporation, transpiration, canopy water evaporation, snow sublimation and ponded water evaporation)	kg m-2 s-1
Soil moisture	m3 m-3
Soil temperature	K
Deep soil drainage	
Surface runoff	mm
Canopy moisture content	mm
Snow depth	m
Snow liquid water equivalent	mm
Stream channel inflow (optional with terrain routing)	mm
Channel flow rate (optional with channel routing)	m3 s-1
Channel flow depth (optional with channel routing)	mm
Reservoir height and discharge (optional with channel and reservoir routing)	

WRF-Hydro has been developed for linux-based operating systems including small local clusters and high performance computing systems. Additionally, the model code has also been ported to a selection of virtual machine environments (e.g. "containers") to enable use of small domain cases on many common desktop computing platforms (e.g. Windows and MacOS). The parallel computing schema is provided in <a href="Section 2.3">Section 2.3</a>. WRF-Hydro utilizes a combination of netCDF and flat ASCII file formats. The majority of input and output is handled using netCDF data protocols and netCDF is a requirement for running the model. Details on the software requirements are available online on the FAQs page of the website as well as in the *How To Build & Run WRF-Hydro V5 in Standalone Mode* document also available from <a href="https://ral.ucar.edu/projects/wrf-hydro">https://ral.ucar.edu/projects/wrf-hydro</a>.

WRF-Hydro is typically set up as a computationally-intensive modeling system. Simple small domains (e.g. 16 km²) can be configured to run on a desktop platform. Large-domain model runs can require hundreds or thousands of processors. We recommend beginning with and example "test case" we supply at the WRF-Hydro website <a href="https://ral.ucar.edu/projects/wrf\_hydro">https://ral.ucar.edu/projects/wrf\_hydro</a> before moving to your region of interest, particularly if your region or domain is reasonably large.

### 2. Model Code and Configuration Description

This chapter presents the technical description of the WRF-Hydro model code. The chapter is divided into the following sections:

- 2.1. Brief code overview
- 2.2. Driver level description
- 2.3. Parallelization strategy
- 2.4. Directory structures
- 2.5. Model sequence of operations
- 2.6. WRF-Hydro compile-time options
- 2.7. WRF-Hydro run-time options

### 2.1 Brief code overview

WRF-Hydro is written in a modularized, FORTRAN90 coding structure whose routing physics modules are switch activated through a model namelist file hydro.namelist. The code has been parallelized for execution on high-performance, parallel computing architectures including LINUX operating system commodity clusters and multi-processor desktops as well as multiple supercomputers. More detailed model requirements depend on the choice of model driver, described in the next section.

### 2.2 Driver level description

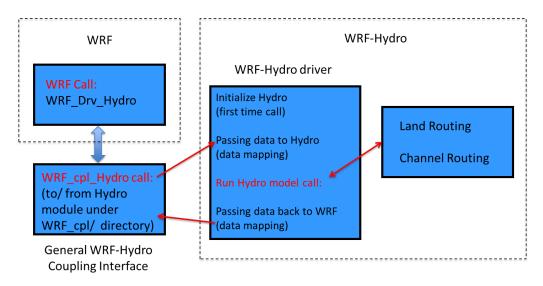
WRF-Hydro is essentially a group of modules and functions which handle the communication of information between atmosphere components (such as WRF, CESM or prescribed meteorological analyses) and sets of land surface hydrology components. From a coding perspective the WRF-hydro system can be called from an existing architecture such as the WRF model, the CESM, NASA LIS, etc. or can run in a standalone mode with its own driver which has adapted part of the NCAR High Resolution Land Data Assimilation System (HRLDAS). Each new coupling effort requires some basic modifications to a general set of functions to manage the coupling. In WRF-Hydro, each new system that WRF-Hydro is coupled into gets assigned to a directory indicating the name of the coupling component WRF-Hydro is coupled to. For instance, the code which handles the coupling to the WRF model is contained in the WRF\_cpl/ directory in the WRF-Hydro system. Similarly, the code which handles the coupling to the offline Noah land surface modeling system is contained within the Noah\_cpl / directory and so on. Description of each directory is provided in Section 2.4.

The coupling structure is illustrated here, briefly, in terms of the coupling of WRF-Hydro into the WRF model. A similar approach is used for coupling the WRF-Hydro extension package into other modeling systems or for coupling other modeling systems into WRF-Hydro.

Example: For coupled WRF/WRF-Hydro runs the WRF-Hydro components are compiled as a single library function call with the WRF system. As such, a single executable is created upon compilation (wrf.exe). As illustrated in Figure 2.3 WRF-hydro is called directly from WRF in the WRF surface driver module (phys/module\_surface\_driver.F). The code that manages the communication is the WRF\_drv\_Hydro.F interface module that is contained within the WRF\_cpl/directory. The WRF\_drv\_Hydro.F interface module is the specific instance of a 'General WRF-Hydro Coupling Interface' for the WRF model which passes data, grid and time information between WRF and WRF-Hydro. Components within WRF-Hydro then manage the dynamic regridding "data mapping"

and sub-component routing functions (e.g. surface, subsurface and/or channel routing) within WRF-Hydro (see Fig. 1.1 for an illustration of components contained within WRF-Hydro).

Upon completion of the user-specified routing functions, WRF-Hydro will remap the data back to the WRF model grid and then pass the necessary variables back to the WRF model through the WRF\_drv\_Hydro.F interface module. Therefore, the key component of the WRF-Hydro system is the proper construction of the WRF\_cpl\_Hydro interface module (or more generally 'XXX\_cpl\_Hydro'). Users wishing to couple new modules to WRF-Hydro will need to create a unique "General WRF-Hydro Coupling Interface" for their components. Some additional examples of this interface module are available upon request for users to build new coupling components. This simple coupling interface is similar in structure to other general model coupling interfaces such as those within the Earth System Modeling Framework (ESMF) or the Community Surface Dynamics Modeling System (CSDMS).

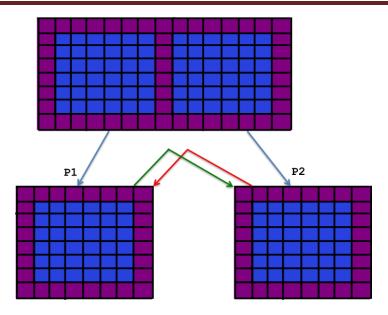


**Figure 2.1** Schematic illustrating the coupling and calling structure of WRF-Hydro from the WRF Model.

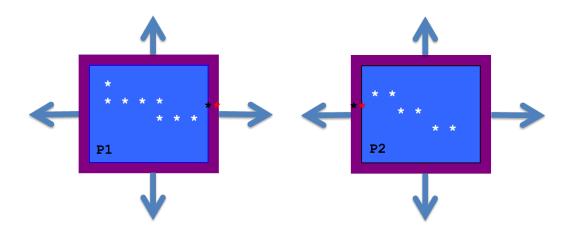
The model code has been compiled using the PGI Fortran compiler, the Intel 'ifort' compiler and the public license GNU Fortran compiler 'gfortran' for use with Linux-based operating systems on desktops, clusters, and supercomputing systems. Because the WRF-Hydro modeling system relies on netCDF input and output file conventions, netCDF Fortran libraries must be installed and properly compiled on the system upon which WRF-Hydro is to be executed. Not doing so will result in numerous error messages such as '...undefined reference to netCDF library ...' or similar messages upon compilation. For further installation requirements see the FAQs page of the website as well as in the How To Build & Run WRF-Hydro V5 in Standalone Mode document also available from https://ral.ucar.edu/projects/wrf hydro.

### 2.3 Parallelization strategy

Parallelization of the WRF-Hydro code utilizes geographic domain decomposition and 'halo' array passing structures similar to those used in the WRF atmospheric model (Figures 2.2 and 2.3). Message passing between processors is accomplished using MPI protocols. Therefore the relevant MPI libraries must be installed and properly compiled on the system upon which WRF-Hydro is to be executed in parallel mode. Currently sequential compile is not supported so MPI libraries are required even if running over a single core.



**Figure 2.2** Schematic of parallel domain decomposition scheme in WRF-Hydro. Boundary or 'halo' arrays in which memory is shared between processors (P1 and P2) are shaded in purple.



**Figure 2.3** Schematic of parallel domain decomposition scheme in WRF-Hydro as applied to channel routing. Channel elements (stars) are communicated at boundaries via 'halo' arrays in which memory is shared between processors (P1 and P2). Black and red stars indicate overlapping channel elements used in the diffusive wave solver.

### 2.4 Directory Structures

The top-level directory structure of the code is provided below as nested under trunk/NDHMS and subdirectory structures are described thereafter. The tables below provide brief descriptions of the file contents of each directory where the model code resides.

Table 2.1 Description of the file contents of each directory where the model code resides.

File/directory name	Description		
Main code files and directories (under version control in a GitHub repository):			
Directories:			
arc/	Contains macro files, which specify the compile configurations, compiler options, links to netCDF libraries, etc		
CPL/Noah_cpl/	Contains the WRF-Hydro coupling interface for coupling WRF-Hydro components with the standalone (offline) Noah land surface model data assimilation and forecasting system		
CPL/NoahMP_cpl/	Contains the WRF-Hydro coupling interface for coupling WRF-Hydro components with the standalone (offline) Noah-MP land surface model data assimilation and forecasting system		
CPL/WRF_cpl/	Contains the WRF-Hydro coupling interface for coupling WRF-Hydro components with the WRF system		
CPL/CLM_cpl/, CPL/LIS_cpl/, CPL/NUOPC_cpl/	Work in progress for ongoing coupling work. Not actively supported.		
Data_Rec/	Contains some data declaration modules		
deprecated/	Contains files not currently used		
Doc/	Pointer to location of full documentation (i.e. this document).		
HYDRO_drv/	Contains the high-level WRF-Hydro component driver: module_HYDRO_drv.F		
Land_models/Noah/	Contains the Noah land surface model driver for standalone or uncoupled applications		
Land_models/NoahMP/	Contains the Noah-MP land surface model driver for standalone or uncoupled applications		
MPP/	Contains MPI parallelization routines and functions		
nudging/	Contains nudging data assimilation routines and functions		
Rapid_routing/	Contains the files necessary for RAPID routing model coupling. Unsupported as version of RAPID is out of date.		
Routing/	Contains modules and drivers related to specific routing processes in WRF-Hydro		
template/	Contains example namelist files for Noah, Noah-MP and the WRF-Hydro modules (HYDRO). Default and example parameter tables are also included the HYDRO. Note: Parameter tables for Noah and Noah-MP are stored within the Land_models directory.  A sample bash script (setEnvar.sh) that could be passed to the compile script listing compile time options for WRF-Hydro is also located here.		

# Table 2.1 continued

Files:			
compile_offline_Noah.sh	Script for compiling WRF-Hydro standalone (offline) version with the Noah land surface model		
compile_offline_NoahMP.sh	Script for compiling WRF-Hydro standalone (offline) version with the Noah-MP land surface model		
configure	Script to configure the WRF-Hydro compilation		
Makefile	The top-level makefile for building and cleaning WRF-Hydro code		
README.build.txt	WRF-Hydro build instructions for the standalone model		
wrf_hydro_config	Configure script for coupled WRF   WRF-Hydro configuration		
*.json	JSON files used for testing		
Local files and directories create	d on configure/compile (not part of the version controlled repository):		
Directories:			
lib/	Directory where compiled libraries are written		
mod/	Directory where compiled .mod files are written upon compilation		
LandModel/	A symbolic link set by the configure script, which selects the land model from Land_models/ (e.g. LandModel -> Land_models/NoahMP when Noah-MP is selected)		
LandModel_cpl/	A symbolic link set by the configure script, which selects the land model from CPL/. (e.g. LandModel_cpl -> CPL/NoahMP_cpl when NoahMP is selected)		
Run/	Directory where model executable, example parameter tables, and example namelist files for the compiled model configuration will be populated. These files will be overwritten on compile. It is recommended the user copy the contents of this directory into an alternate location, separate from the code, to execute model runs.		
Files:			
macros	Macro definition file created by the 'configure' script that specifies compilation settings.		

**Table 2.2** Modules within the **Routing**/ directory which relate to routing processes in WRF-Hydro

File/directory name	Description		
Makefile	Makefile for WRF-Hydro component		
module_channel_routing.F	Module containing WRF-Hydro channel routing components		
module_date_utilities_rt.F	Module containing various date/time utilities for routing routines		
module_GW_baseflow.F	Module containing model physics for simple baseflow model		
module_HYDRO_io.F	Module containing WRF-Hydro input and (some) output functions		
module_HYDRO_utils.F	Module containing several WRF-Hydro utilities		
module_lsm_forcing.F	Module containing the options for reading in different forcing data types		
module_noah_chan_param_init_ rt.F	Module containing routines to initialize WRF-Hydro routing grids		
module_NWM_io.F	Module containing output routines to produce CF-compliant desired output files.		
module_NWM_io_dict.F	Dictionary to support CF-compliant output routines.		
module_RT.F	Module containing the calls to all the WRF-Hydro routing initialization		
module_UDMAP.F	Module for the user-defined mapping capabilities, currently used for NWM configuration (NHDPlus network)		
Noah_distr_routing.F	Module containing overland flow and subsurface physics routines and grid disaggregation routine		
module_gw_gw2d.F	Module containing routines for the experimental 2D groundwater model		

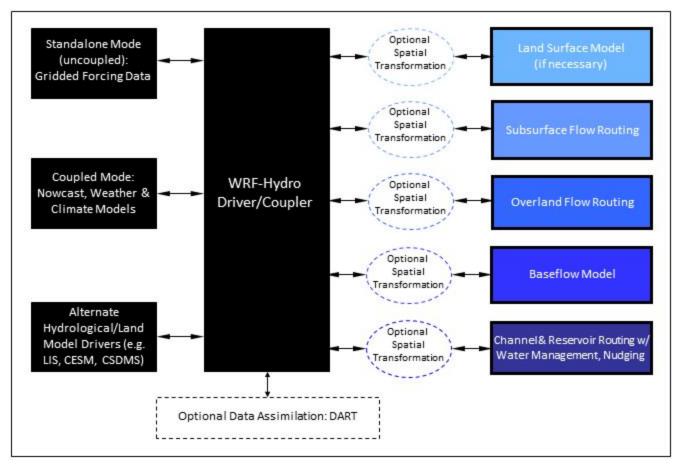
### 2.5 Model Sequence of Operations

The basic structure and sequencing of WRF-Hydro are diagrammatically illustrated in Figure 2.4. High-level job management (e.g. time management, initialization, I/O and model completion) is handled by the WRF-Hydro system unless WRF-Hydro is coupled into, and beneath, a different modeling architecture. The WRF-Hydro system can either call an independent land model driver such as the NCAR High Resolution Land Data Assimilation System (HRLDAS) for both the Noah and Noah-MP land surface models to execute column land surface physics or be called by a different modeling architecture such as WRF, the NCAR CESM, or the NASA LIS. When run in an standalone or "uncoupled" mode, WRF-Hydro must read in the meteorological forcing data necessary to perform land surface model calculations and it contains the necessary routines to do this. When run in a coupled mode with WRF or another larger architecture, WRF-Hydro receives meteorological forcing or land surface states and fluxes from the parent architecture. The basic execution process is as follows:

- 1. Upon initialization static land surface physiographic data are read into the WRF-Hydro system and the model domain and computational arrays are established.
- 2. Depending on whether or not WRF-Hydro is run offline as a standalone system or whether it is coupled into another architecture, either forcing data is read in or land surface states and fluxes are passed in.
- 3. For offline simulations which require land model execution, the gridded column land surface model is executed.

- 4. If routing is activated and there is a difference between the land model grid and the routing grid, land surface states and fluxes are then disaggregated to the high-resolution terrain routing grids.
- 5. If activated, sub-surface routing physics are executed.
- 6. If activated, surface routing physics are executed.
- 7. If activated, the conceptual base flow model is executed.
- 8. If activated, channel and reservoir routing components are executed. Streamflow nudging is currently available to be applied within the Muskingum-Cunge routing call.
- 9. Updated land surface states and fluxes are then aggregated from the high-resolution terrain routing grid to the land surface model grid (if routing is activated and there is a difference between the land model grid and the routing grid).
- 10. Results from these integrations are then written to the model output files and restart files or, in the case of a coupled WRF/WRF-Hydro simulation, passed back to the WRF model.

As illustrated at the bottom of the Figure 2.4, a data assimilation component with <u>NCAR's DART</u> (https://www.image.ucar.edu/DAReS/DART/) has been developed. This currently only works with WRF-Hydro in standalone mode. DART updates WRF-Hydro states independently of model time integration.



**Figure 2.4** Modular calling structure of WRF-Hydro.

### 2.6 WRF-Hydro compile-time options

Compile time options are choices about the model structure which are determined when the model is compiled. Compile time choices select a WRF-Hydro instance from some of the options illustrated in Figure 2.4. Compile time options fall into two categories: 1) what is the selected model driver, and 2) what are the compile options for the that choice of driver. In this guide we limit the description of model drivers to WRF, Noah, and Noah-MP. Configuring, compiling, and running WRF-Hydro in standalone mode is described in detail in the *How To Build & Run WRF-Hydro V5 in Standalone Mode* document available from https://ral.ucar.edu/projects/wrf hydro.

#### Compile time options are listed in the repository in the file

trunk/NDHMS/template/setEnvar.sh. The information in that script is annotated here. As the path implies, this script is a template file. Should you choose to edit it, please make a copy for your needs (not under version control). The compile script in trunk/NDHMS/compile\*sh can accept the variables listed in this file if they are set in the user's environment. The user can also optionally supply a file containing the variables as the first argument to the script. If make is used for rebuilding the code, it is recommended that the user put the variables into their environment (e.g. in bash, by sourcing the file containing the variables) before running the compile step. Below is an example bash script with the compile time options described. The descriptions are indicated with <<-- and blue text.

```
#!/bin/bash
# This is a WRF environment variable. Always set to 1=On for compiling WRF-Hydro.
export WRF HYDRO=1
# Enhanced diagnostic output for debugging: 0=Off, 1=On.
export HYDRO D=0
# Spatially distributed parameters for NoahMP: 0=Off, 1=On.
export SPATIAL SOIL=1 <<-- This allows NoahMP to use spatially distributed parameters for the land
surface model rather than parameter based upon soil class and land use category look up tables. See 5.3.1
# RAPID model: 0=Off, 1=On.
export WRF HYDRO RAPID=0 <<-- Coupling with the RAPID routing model. This option is not currently
supported.
# Large netcdf file support: 0=Off, 1=On.
export WRFIO NCD LARGE FILE SUPPORT=1 <<-- Allow netCDF I/O of files larger than 2GB.
# WCOSS file units: 0=Off, 1=On.
export NCEP WCOSS=0 <<- Do not use unless working on the WCOSS machines.
# Streamflow nudging: 0=Off, 1=On.
export WRF HYDRO NUDGING=0 <<-- Enable the streamflow nudging routines for Muskingum-Cunge
Routing. See Section 3.6.2.
```

### 2.7 WRF-Hydro run time options

There are two namelist files that users must edit in order to successfully execute the WRF-Hydro system in a standalone mode or "uncoupled" to WRF. One of these namelist files is the hydro.namelist file and in it are the various settings for operating all of the routing components of the WRF-Hydro system. The hydro.namelist file is internally commented so that it should be clear as to what is needed for each setting. A full annotated example of the hydro.namelist file is provided in Appendix A5.

The second namelist is the namelist which specifies the land surface model options to be used. This namelist can change depending on which land model is to be used in conjunction with the WRF-Hydro routing components. For example, a user would use one namelist when running the Noah land surface model coupled to WRF-Hydro but that user would need to use a different namelist file when running the CLM model, the Noah-MP model or NASA LIS model coupled to WRF-Hydro. The reason for this is WRF-Hydro is intended to be 'minimally-invasive' to other land surface models or land model driver structures and not require significant changes to those systems. This minimal invasiveness facilitates easier coupling with new systems and helps facilitate easy supportability and version control with those systems. When the standalone WRF-Hydro model is compiled the appropriate namelist.hrldas template file is copied over to the Run directory based upon the specified land surface model.

In WRF-Hydro v5.0, the Noah and Noah-MP land surface models are the main land surface model options when WRF-Hydro is run in standalone mode. Both Noah and Noah-MP use a namelist file called namelist.hrldas, which, as noted above, will contain different settings for the two different land surface models. For a run where WRF-Hydro is coupled to the WRF model, the WRF model input file namelist.input becomes the second namelist file. Full annotated example namelist.hrldas files for Noah and Noah-MP are provided in Appendix A3 and Appendix A4.

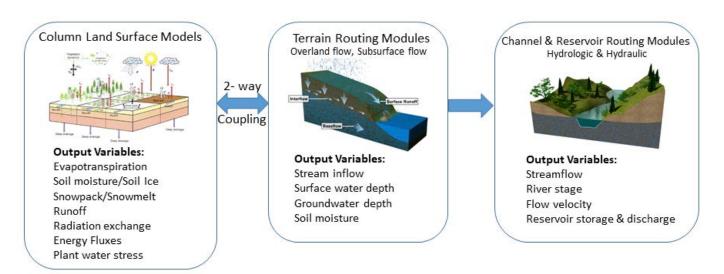
### 3. Model Physics Description

This chapter describes the physics behind each of the modules in Version 5.0 of WRF-Hydro and the associated namelist options which are specified at "run time". The chapter is divided into the following sections:

- 3.1. Physics Overview
- 3.2. Land Model Description: The community Noah and Noah-MP land surface models
- 3.3. Spatial Transformations
  - 3.3.1 Subgrid Disaggregation-aggregation
  - 3.3.2 User-Defined Mapping
  - 3.3.3 Data Remapping for Hydrological Applications
- 3.4 Subsurface Routing
- 3.5 Surface Overland Flow Routing
- 3.6 Channel and Lake Routing
  - 3.6.1. Gridded Routing using Diffusive Wave
  - 3.6.2. Linked Routing using Muskingum and Muskingum-Cunge
- 3.7 Lake and Reservoir routing description
- 3.8 Conceptual base flow model description

#### 3.1 Physics Overview

#### WRF-Hydro Physics Components - Output Variables



**Figure 3.1.** Conceptual diagram of WRF-Hydro physics components and relative outputs.

First the 1-dimensional (1D) column land surface model calculates the vertical fluxes of energy (sensible and latent heat, net radiation) and moisture (canopy interception, infiltration, infiltration-excess, deep percolation) and soil thermal and moisture states. Infiltration excess, ponded water depth and soil moisture are subsequently disaggregated from the 1D LSM grid, typically of 1–4 km spatial resolution, to a high-resolution, typically 30–100 m, routing grid using a time-step weighted method (Gochis and Chen, 2003) and are passed to the subsurface and overland flow terrain-routing modules. In typical U.S. applications, land cover classifications for the 1D LSMs are provided by the USGS 24-type land cover product of Loveland et al. (1995) or MODIS Modified IGBP 20-category land cover product (see

WRF/WPS documentation); soil classifications are provided by the 1-km STATSGO database (Miller and White, 1998); and soil hydraulic parameters that are mapped to the STATSGO soil classes are specified by the soil analysis of Cosby et al. (1984). Other land cover and soil type classification datasets can be used with WRF-Hydro but users are responsible for mapping those categories back to the same categories as used in the USGS or MODIS land cover and STATSGO soil type datasets. The WRF model pre-processing system (WPS) also provides a fairly comprehensive database of land surface data that can be used to set up the Noah and Noah-MP land surface models. It is possible to use other land cover and soils datasets.

Then subsurface lateral flow in WRF-Hydro is calculated prior to the routing of overland flow to allow exfiltration from fully saturated grid cells to be added to the infiltration excess calculated from the LSM. The current existing method used to calculate the lateral flow of saturated soil moisture is that of Wigmosta et al. (1994) and Wigmosta and Lettenmaier (1999), implemented in the Distributed Hydrology Soil Vegetation Model (DHSVM). It calculates a quasi-3D flow, which includes the effects of topography, saturated soil depth, and depth-varying saturated hydraulic conductivity values. Hydraulic gradients are approximated as the slope of the water table between adjacent grid cells in either the steepest descent or in both x- and y-directions. The flux of water from one cell to its down-gradient neighbor on each time-step is approximated as a steady-state solution.

Next, WRF-Hydro specifies the water table depth according the depth of the top of the saturated soil layer that is nearest to the surface. Typically, a minimum of four soil layers are used in a 2-meter soil column used in WRF-Hydro but this is not a strict requirement. Additional discretization permits improved resolution of a time-varying water table height and users may vary the number and thickness of soil layers in the model namelist described in the Appendices <u>A3</u>, <u>A4</u>, and <u>A5</u>.

Then overland flow is defined. The fully unsteady, spatially explicit, diffusive wave formulation of Julien et al. (1995-CASC2D) with later modification by Ogden (1997) is the current option for representing overland flow, which is calculated when the depth of water on a model grid cell exceeds a specified retention depth. The diffusive wave equation accounts for backwater effects and allows for flow on adverse slopes (Ogden, 1997). As in Julien et al. (1995), the continuity equation for an overland flood wave is combined with the diffusive wave formulation of the momentum equation. Manning's equation is used as the resistance formulation for momentum and requires specification of an overland flow roughness parameter. Values of the overland flow roughness coefficient used in WRF-Hydro were obtained from Vieux (2001) and were mapped to the existing land cover classifications provided by the USGS 24-type land-cover product of Loveland et al. (1995) and the MODIS 20-type land cover product, which are the same land cover classification datasets used in the 1D Noah/Noah-MP LSMs.

Additional modules have also been implemented to represent stream channel flow processes, lakes and reservoirs, and stream baseflow. In WRF-Hydro v5.0 inflow into the stream network and lake and reservoir objects is a one-way process. Overland flow reaching grid cells identified as 'channel' grid cells pass a portion of the surface water in excess of the local ponded water retention depth to the channel model. This current formulation implies that stream and lake inflow from the land surface is always positive to the stream or lake element. There currently are no channel or lake loss functions where water can move from channels or lakes back to the landscape. Channel flow in WRF-Hydro is represented by one of a few different user-selected methodologies described below. Water passing into and through lakes and reservoirs is routed using a simple level pool routing scheme. Baseflow to the stream network is represented using a conceptual catchment storage-discharge bucket model formulation (discussed below) which obtains "drainage" flow from the spatially-distributed landscape. Discharge

from buckets is input directly into the stream using an empirically-derived storage-discharge relationship. If overland flow is active, the only water flowing into the buckets comes from soil drainage. This is because the overland flow scheme will pass water directly to the channel model. If overland flow is switched off and channel routing is still active, then surface infiltration excess water from the land model is collected over the pre-defined catchment and passed into the bucket as well. Each of these process options are enabled through the specification of options in the model namelist file.

### 3.2 Land model description: The community Noah and Noah-MP land surface models

[NOTE: As of this writing, only the Noah and Noah-MP land surface models are supported within WRF-Hydro. Additional land surface models such as CLM or land model driver frameworks, such as the NASA Land Information System (LIS) have been coupled with WRF-Hydro but those efforts are in various phases of development and are not yet formally supported as part of the main code repository. ]

The Noah land surface model is a community, 1-dimensional land surface model that simulates soil moisture (both liquid and frozen), soil temperature, skin temperature, snowpack depth, snowpack water equivalent, canopy water content and the energy flux and water flux terms at the Earth's surface (Mitchell et al., 2002; Ek et al., 2003). The model has a long heritage, with legacy versions extensively tested and validated, most notably within the Project for Intercomparison of Land surface Parameterizations (PILPS), the Global Soil Wetness Project (Dirmeyer et al. 1999), and the Distributed Model Intercomparison Project (Smith, 2002). Mahrt and Pan (1984) and Pan and Mahrt (1987) developed the earliest predecessor to Noah at Oregon State University (OSU) during the mid-1980's. The original OSU model calculated sensible and latent heat flux using a two-layer soil model and a simplified plant canopy model. Recent development and implementation of the current version of Noah has been sustained through the community participation of various agency modeling groups and the university community (e.g. Chen et al., 2005). Ek et al. (2003) detail the numerous changes that have evolved since its inception including, a four layer soil representation (with soil layer thicknesses of 0.1, 0.3, 0.6 and 1.0 m), modifications to the canopy conductance formulation (Chen et al., 1996), bare soil evaporation and vegetation phenology (Betts et al., 1997), surface runoff and infiltration (Schaake et al., 1996), thermal roughness length treatment in the surface layer exchange coefficients (Chen et al., 1997a) and frozen soil processes (Koren et al., 1999). More recently refinements to the snow-surface energy budget calculation (Ek et al., 2003) and seasonal variability of the surface emissivity (Tewari et al., 2005) have been implemented.

The Noah land surface model has been tested extensively in both offline (e.g., Chen et al., 1996, 1997; Chen and Mitchell, 1999; Wood et al., 1998; Bowling et al., 2003) and coupled (e.g. Chen et el., 1997, Chen and Dudhia, 2001, Yucel et al., 1998; Angevine and Mitchell, 2001; and Marshall et al., 2002) modes. The most recent version of Noah is currently one of the operational LSP's participating in the interagency NASA-NCEP real-time Land Data Assimilation System (LDAS, 2003, Mitchell et al., 2004 for details). Gridded versions of the Noah model are currently coupled to real-time weather forecasting models such as the National Center for Environmental Prediction (NCEP) North American Model (NAM), and the community WRF model. Users are referred to Ek et al. (2003) and earlier works for more detailed descriptions of the 1-dimensional land surface model physics of the Noah LSM.

Support for the Noah Land Surface Model within WRF-Hydro is currently frozen at Noah version 3.6. Since the Noah LSM is not under active development by the community, WRF-Hydro is continuing to support Noah in deprecated mode only. Some new model features, such as the improved output routines,

have not been setup to be backward compatible with Noah. Noah users should follow the guidelines in Appendix A2 for adapting the WRF-Hydro workflow to work with Noah.

Noah-MP is a land surface model (LSM) using multiple options for key land-atmosphere interaction processes (Niu et al., 2011). Noah-MP was developed to improve upon some of the limitations of the Noah LSM (Koren et al., 1999; Ek et al., 2003). Specifically, Noah-MP contains a separate vegetation canopy defined by a canopy top and bottom, crown radius, and leaves with prescribed dimensions, orientation, density, and radiometric properties. The canopy employs a two-stream radiation transfer approach along with shading effects necessary to achieve proper surface energy and water transfer processes including under-canopy snow processes (Dickinson, 1983; Niu and Yang, 2004). Noah-MP contains a multi-layer snow pack with liquid water storage and melt/refreeze capability and a snow-interception model describing loading/unloading, melt/refreeze capability, and sublimation of canopy-intercepted snow (Yang and Niu 2003; Niu and Yang 2004). Multiple options are available for surface water infiltration and runoff and groundwater transfer and storage including water table depth to an unconfined aquifer (Niu et al., 2007).

The Noah-MP land surface model can be executed by prescribing both the horizontal and vertical density of vegetation using either ground- or satellite-based observations. Another available option is for prognostic vegetation growth that combines a Ball-Berry photosynthesis-based stomatal resistance (Ball et al., 1987) with a dynamic vegetation model (Dickinson et al. 1998) that allocates carbon to various parts of vegetation (leaf, stem, wood and root) and soil carbon pools (fast and slow). The model is capable of distinguishing between C<sub>3</sub> and C<sub>4</sub> photosynthesis pathways and defines vegetation-specific parameters for plant photosynthesis and respiration.

### 3.3 Spatial Transformations

The WRF-Hydro system has the ability to execute a number of physical process executions (e.g. column physics, routing processes, reservoir fluxes) on different spatial frameworks (e.g. regular grids, catchments, river channel vectors, reservoir polygons, etc). This means that spatial transformations between differing spatial elements has become a critical part of the overall modeling process. Starting in v5.0 of WRF-Hydro, increased support has been developed to aid in the mapping between differing spatial frameworks. Section 3.3.1 describes the spatial transformation process which relies on regular, rectilinear grid-to-grid mapping using a simplified integer linear multiple aggregation/disaggregation scheme. This basic scheme has been utilized in WRF-Hydro since its creation as it was described in Gochis and Chen, 2003. The following section 3.3.2 describes new spatial transformation methods that have been developed and are currently supported in v5.0 and, more specifically, in the NOAA National Water Model (NWM). Those user-defined transformations rely on the pre-processing development and specification of interpolation or mapping weights which must be read into the model. As development continues future versions will provide more options and flexibility for spatial transformations using similar user-defined methodologies.

#### 3.3.1 Subgrid disaggregation-aggregation

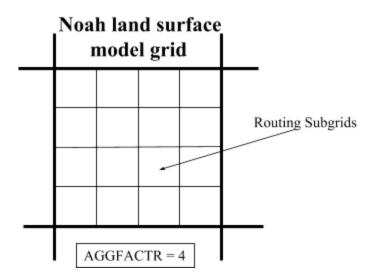
This section details the implementation of a subgrid aggregation/disaggregation scheme in WRF-Hydro. The disaggregation-aggregation routines are activated when routing of either overland flow or subsurface flow is active and the specified routing grid increment is different from that of the land surface model grid. Routing in WRF-Hydro is "switch-activated" through the declaration of parameter options in the primary model namelist file hydro.namelist which are described in Appendix A5. In WRF-Hydro subgrid aggregation/disaggregation is used to represent overland and subsurface flow processes on grid scales much finer than the native land surface model grid. Hence, only routing is represented within a subgrid framework. It is possible to run both the land surface model and the routing model components on the same grid. This effectively means that the aggregation factor between the grids has a value of 1.0. This following section describes the aggregation/disaggregation methodology in the context of a "subgrid" routing implementation.

In WRF-Hydro the routing portions of the code have been structured so that it is simple to perform both surface and subsurface routing calculations on grid cells that potentially differ from the native land surface model grid sizes provided that each land surface model grid cell is divided into integer portions for routing. Hence routing calculations can be performed on comparatively high-resolution land surfaces (e.g., a 25-m digital elevation model) while the native land surface model can be run at much larger (e.g., 1 km) grid sizes. (In this example, the integer multiple of disaggregation in this example would be equal to 40.) This capability adds considerable flexibility in the implementation of WRF-Hydro. However, it is well recognized that surface hydrological responses exhibit strongly scale-dependent behavior such that simulations at different scales, run with the same model forcing, may yield quite different results.

The aggregation/disaggregation routines are currently activated by specifying either the overland flow or subsurface flow routing options in the model namelist file and prescribing terrain grid domain file dimensions (IXRT,JXRT) which differ from the land surface model domain file dimensions (IX,JX). Additionally, the model sub-grid size (DXRT), the routing time-step (DTRT), and the integer divisor (AGGFACTRT), which determines how the aggregation/disaggregation routines will divide up a native model grid square, all need to be specified in the model hydro.namelist file.

If IXRT=IX, JXRT=JX and AGGFACTRT=1 the aggregation/disaggregation schemes will be activated but will not yield any effective changes in the model resolution between the land surface model grid and the terrain routing grid. Specifying different values for IXRT, JXRT and AGGFACTRT≠1 will yield effective changes in model resolution between the land model and terrain routing grids. As described in the Surface Overland Flow Routing section 3.5, DXRT and DTRT must always be specified in accordance with the routing grid even if they are the same as the native land surface model grid.

The disaggregation/aggregation routines are implemented in WRF-Hydro as two separate spatial loops that are executed after the main land surface model loop. The disaggregation loop is run prior to routing of saturated subsurface and surface water. The main purpose of the disaggregation loop is to divide up specific hydrologic state variables from the land surface model grid square into integer portions as specified by AGGFACTRT. An example disaggregation (where AGGFACTRT=4) is given in Figure 3.2.



**Figure 3.2** Example of the routing sub-grid implementation within the regular land surface model grid for an aggregation factor = 4.

Four model variables are required to be disaggregated for higher resolution routing calculations:

SMCMAX - maximum soil moisture content for each soil type

SMCREF - reference soil moisture content (field capacity) for each soil type

**INFXS** - infiltration excess

LKSAT - lateral saturated conductivity for each soil type

SMC - soil moisture content for each soil layer

In the model code, fine-grid values bearing the same name as these with an "RT" extension are created for each native land surface model grid cell (e.g. INFXSRT vs INFXS).

To preserve the structure of the spatial variability of soil moisture content on the sub-grid from one model time step to the next, simple, linear sub-grid weighting factors are assigned. These values indicate the fraction of the of total land surface model grid value that is partitioned to each sub-grid pixel. After disaggregation, the routing schemes are executed using the fine grid values.

Following execution of the routing schemes the fine-grid values are aggregated back to the native land surface model grid. The aggregation procedure used is a simple linear average of the fine-grid components. For example the aggregation of surface head (SFHEAD) from the fine grid to the native land surface model grid would be:

$$SFHEAD_{i,j} = \frac{\Sigma\Sigma SFHEADRT_{irt,jrt}}{AGGFACTRT^2}$$
 (3.0)

where,  $i_{rt}$  and  $j_{rt}$  are the indices of all of the grid cells residing within the native land model grid cell i,j. The following variables are aggregated and, where applicable, update land surface model variable values:

SFHEAD- surface head (or, equivalently, depth of ponded water)

SMC - soil moisture content for each soil layer

These updated values are then used on the next iteration of the land surface model.

### 3.3.2 User-Defined Mapping

The emergence of hydrologic models, like WRF-Hydro, that are capable of running on gridded as well as vector-based processing units requires generic tools for processing input and output data as well as methods for transferring data between models. Such a spatial transformation is currently utilized when mapping between model grids and catchments in the WRF-Hydro/National Water Model (NWM) system. In the NWM, selected model fluxes are mapped from WRF-Hydro model grids onto the NHDPlus catchment polygon and river vector network framework. The GIS pre-processing framework described here allows for fairly generalized geometric relationships between features to be characterized and for parameters to be summarized for any discrete unit of geography.

#### 3.3.3 Data Remapping for Hydrological Applications

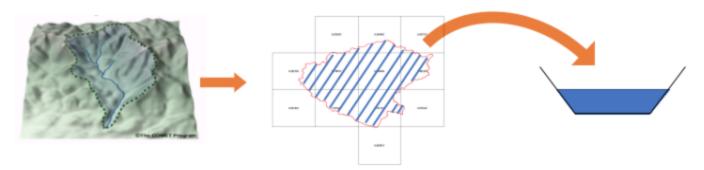
A common task in hydrologic modeling is to regrid or aggregate data from one unit of analysis to another. Frequently, atmospheric model data variables such as temperature and precipitation may be produced on a rectilinear model grid while the hydrologic unit of analysis may be a catchment Hydrologic Response Unit (cHRU), defined using a closed polygon and derived from a hydrography dataset or terrain processing application. Often, cHRU-level parameters must be derived from data on a grid. Depending on the difference between the scale of the gridded and feature data, simple interpolation schemes such as nearest neighbor may introduce significant error when estimating data at the cHRU scale. Other GIS analysis methods such as zonal statistics require resampling of the gridded and/or feature data and limited control over the common analysis grid resolution, which may also introduce significant error. Area-weighted grid statistics provide a robust and potentially conservative method for transferring data from one or multiple features to another. In the case of runoff calculated from a land surface model grid, the runoff should be conservatively transferred between the grid and the cHRU, such that the runoff volume is conserved.

The correspondence between polygons and grid cells need only be generated once for any grid/polygon collection. The correspondence file that is output from the tool stores all necessary information for translating data between the datasets in either direction.

There are a variety of useful regridding and spatial analysis tools available for use in the hydrologic and atmospheric sciences. Many regridding utilities exist that are able to either characterize and store the relationship between grid features and polygons or perform regridding from one grid to another. The Earth System Modeling Framework (ESMF) offers high performance computing (HPC) software for building and coupling weather, climate, and related models. **ESMF** provides ESMF RegridWeightGen utility for parallel generation of interpolation weights between two grid files in netCDF format. These utilities will work for structured (rectilinear) and unstructured grids. The NCAR Command Language (NCL) has supported the EMSF RegridWeightGen tool since version 6.1.0. Another commonly used tool in the atmospheric sciences are the Climate Data Operators (CDO). which offer 1-st and 2<sup>nd</sup> -order conservative regridding (remapcon, remapcon2) and regrid weight generation (gencon, gencon2) based on work of Jones (1999). All of the above-mentioned utilities require SCRIP grid description files to perform the remapping. The SCRIP standard format for correspondence stores geometry information for regridding, while the tools mentioned here store just the spatial weights. Thus, WRF-Hydro spatial correspondence files are more generic, with compact file sizes, and may be used for non gridded data.

This script quantifies the polygon to polygon correspondence between geometries in two separate features (grid cells represented by polygons and basins represented by polygons). This correspondence is stored in a netCDF format file that contains the spatial weights and identification of all polygons from one input shapefile that intersect each polygon in another input shapefile. The storage of correspondence information between one dataset and another allows for many types of regridding and spatial interpolation between the spatial datasets. This file needs only to be derived once between any two sets of polygons, and the correspondence file can be used to regrid variables between those spatial datasets. This is useful if multiple variables must be regridded, or a single variable across many timesteps. As long as the grids do not change in space or time, the relationship between all features will remain the constant, and the correspondence file may be used to regrid data between them.

There are uses for this utility that range outside of the hydrological sciences, and this utility may be of broader interest to the geospatial community. Although interpolation packages exist, this method allows for storage of the correspondence information for future use in a small-file size. User's wanting to create custom spatial weight interpolation files for WRF-Hydro need to refer to the WRF-Hydro GIS Pre-processing Toolkit and documentation. For reference, variable descriptions of the contents of the spatial weights file is located in Appendix All.



**Figure 3.3.** An illustration of implementing user-defined mapping to translate from gridded fluxes and states to aggregated catchment fluxes and states, which can be passed into, for example, vector-based channel routing modules.

### 3.4 Subsurface Routing

Subsurface lateral flow is calculated prior to the routing of overland flow. This is because exfiltration from a supersaturated soil column is added to infiltration excess from the land surface model, which ultimately updates the value of surface head prior to routing of overland flow. A supersaturated soil column is defined as a soil column that possesses a positive subsurface moisture flux which when added to the existing soil water content is in excess of the total soil water holding capacity of the entire soil column. Figure 3.4 illustrates the lateral flux and exfiltration processes in WRF-Hydro.

In the current default implementation of WRF-Hydro with the Noah and Noah-MP land surface models, there are four soil layers. The depth of the soil layers in WRF-Hydro can be manually specified in the model namelist file under the 'ZSOIL' variable. Users must be aware that, in the present version of WRF-Hydro, total soil column depth and individual soil layer thicknesses are constant throughout the entire model domain. Future versions under development are relaxing this constraint. However, the model is capable of using a different distribution of soil column layer depths and these simply need to be

specified in the model namelist file. Assuming a 2-m soil profile the default soil layer depths (and associated water table depths) are specified in Table 3.1.

<b>Table 3.1:</b>	<b>Depths</b>	of 4 soil	layers in	WRF-Hydro
-------------------	---------------	-----------	-----------	-----------

Layer	Soil Thickness (mm)	Z (depth to top of layer) (mm)
1	100	0
2	300	100
3	600	400
4	1000	1000

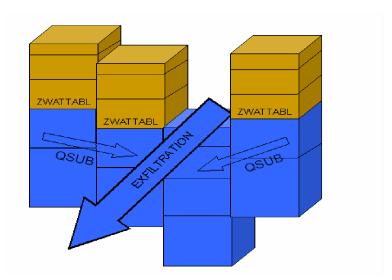


Figure 3.4 Conceptualization of saturated subsurface flow components.

The method used to calculate the lateral flow of saturated soil moisture employs a quasi three-dimensional flow representation, which include the effects of topography, saturated soil depth (in this case layers), and saturated hydraulic conductivity. Hydraulic gradients are approximated as the slope of the water table between adjacent grid cells in the x- and y-directions or in an eight direction (D8) steepest descent methodology that is specified by the user in the model namelist. In each cell, the flux of water from one cell to its down-gradient neighbor on each timestep is approximated as a steady-state solution. The looping structure through the model grid performs flux calculations separately in the x- and y-directions for the 2-dimensional routing option or simply along the steepest D8 pathway.

Using Dupuit-Forchheimer assumptions the rate of saturated subsurface flow at time *t* can be calculated as:

$$q_{i,j} = -T_{i,j} \beta_{i,j} w_{i,j} \quad \text{when } \beta_{i,j} < 0$$

$$= 0 \quad \text{when } \beta_{i,j} >= 0$$
(3.1)

where,  $q_{i,j}$  is the flow rate from cell i,j,  $T_{i,j}$  is the transmissivity of cell i,j,  $\beta_{i,j}$  is the water table slope and  $w_{i,j}$  is the width of the cell which is fixed for a regular grid.  $\beta_{i,j}$  is calculated as the difference in water table depths between two adjacent grid cells divided by the grid spacing. The method by which the

water table depth is determined is provided below. Transmissivity is a power law function of saturated hydraulic conductivity ( $Ksat_{ij}$ ) and soil thickness ( $D_{ij}$ ) given by:

$$T_{i,j} = \frac{Ksat_{i,j}D_{i,j}}{n_{i,j}} \left(1 - \frac{z_{i,j}}{D_{i,j}}\right)^{n_{i,j}} \quad when \, z_{i,j} <= D_{i,j}$$

$$= 0 \quad when \, z_{i,j} > D_{i,j}$$
(3.2)

where,  $z_{i,j}$  is the depth to the water table.  $n_{i,j}$  in Eq. (3.2) is defined as the local power law exponent and is a tunable parameter (currently hard-coded to 1 but will be exposed in future versions) that dictates the rate of decay of  $Ksat_{i,j}$  with depth. When Eq. (3.2) is substituted into (3.1) the flow rate from cell i,j to its neighbor in the x-direction can be expressed as

$$q_{x(i,j)} = \gamma_{x(i,j)} h_{i,j}$$
 when  $\beta_{x(i,j)} < 0$  (3.3)

where,

$$\gamma_{x(i,j)} = -\left(\frac{w_{i,j}Ksat_{i,j}D_{i,j}}{n_{i,j}}\right)\beta_{x(i,j)}$$

$$h_{i,j} = \left(1 - \frac{z_{i,j}}{D_{i,j}}\right)^{n_{i,j}}$$
(3.4)

This calculation is repeated for the y-direction when using the two-dimensional routing method. The net lateral flow of saturated subsurface moisture  $(Q_{net})$  for cell i,j then becomes:

$$Q_{net(i,j)} = h_{i,j} \sum_{x} \gamma_{x(i,j)} + h_{i,j} \sum_{y} \gamma_{y(i,j)}$$
(3.6)

The mass balance for each cell on a model time step  $(\Delta t)$  can then be calculated in terms of the change in depth to the water table  $(\Delta z)$ :

$$\Delta z = \frac{1}{\phi_{(i,j)}} \left[ \frac{Q_{net(i,j)}}{A} - R_{(i,j)} \right] \Delta t$$
(3.7)

where,  $\varphi$  is the soil porosity, R is the soil column recharge rate from infiltration or deep subsurface injection and A is the grid cell area. In WRF-Hydro, R, is implicitly accounted for during the land surface model integration as infiltration and subsequent soil moisture increase. Assuming there is no deep soil injection of moisture (i.e. pressure driven flow from below the lowest soil layer), R, in WRF-Hydro is set equal to 0.

The methodology outlined in Equations 3.2-3.7 has no explicit information on soil layer structure, as the method treats the soil as a single homogeneous column (with an assumed exponential decay of saturated hydraulic conductivity). Therefore, changes in water table depth ( $\Delta z$ ) need to be remapped to the land surface model soil layers. WRF-Hydro specifies the water table depth according the depth of the top of the highest (i.e. nearest to the surface) saturated layer. The residual saturated water above the uppermost,

saturated soil layer is then added to the overall soil water content of the overlying unsaturated layer. This computational structure requires accounting steps to be performed prior to calculating  $Q_{net}$ .

Given the timescale for groundwater movement and limitations in the model structure there is significant uncertainty in the time it takes to properly spin-up groundwater systems. The main things to consider include 1) the specified depth of soil and number and thickness of the soil vertical layers and 2) the prescription of the model bottom boundary condition. Typically, for simulations with deep soil profiles (e.g. > 10 m) the bottom boundary condition is set to a 'no-flow' boundary (SLOPE\_DATA = 0.0) in the GENPARM. TBL parameter file (see Appendices  $\underline{A6}$  and  $\underline{A7}$ , for a description of GENPARM. TBL).

#### **Relevant code modules:**

Routing/Noah distr routing.F

#### **Relevant namelist options:**

hydro.namelist:

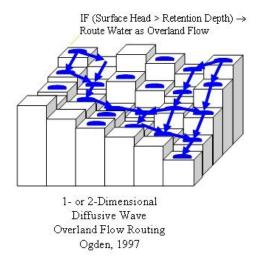
- SUBRTSWCRT Switch to activate subsurface flow routing.
- DXRT Specification of the routing grid cell spacing
- AGGFACTR Subgrid aggregation factor, defined as the ratio of the subgrid resolution to the native land model resolution
- DTRT TER Terrain routing grid time step (used for overland and subsurface routing)

#### Relevant domain and parameter files/variables:

- TOPOGRAPHY in Fulldom\_hires.nc Terrain grid or Digital Elevation Model (DEM).
   Note: this grid may be provided at resolutions equal to or finer than the native land model resolution.
- LKSATFAC in Fulldom\_hires.nc Multiplier on saturated hydraulic conductivity in lateral flow direction.
- SATDK, SMCMAX, SMCREF in HYDRO. TBL or hydro2dtbl.nc Soil properties (saturated hydraulic conductivity, porosity, field capacity) used in lateral flow routing.

### 3.5 Surface Overland Flow Routing

Overland flow in WRF-Hydro is calculated using a fully-unsteady, explicit, finite-difference, diffusive wave formulation similar to that of Julien et al. (1995) and Ogden et al. (1997). The diffusive wave equation, while slightly more complicated, is, under some conditions, superior to the simpler and more traditionally used kinematic wave equation, because it accounts for backwater effects and allows for flow on adverse slopes. The overland flow routine described below can be implemented in either a 2-dimensional (x and y direction) or 1-dimension (steepest descent or "D8") method. While the 2-dimensional method may provide a more accurate depiction of water movement across some complex surfaces it is more expensive in terms of computational time compared with the 1-dimensional method. While the physics of both methods are identical we have presented the formulation of the flow in equation form below using the 2-dimensional methodology.



**Figure 3.5:** Conceptual representation of terrain elements. Flow is routed across terrain elements until it intersects a "channel" grid cell indicated by the blue line where it becomes "in-flow" to the stream channel network.

The diffusive wave formulation is a simplification of the more general St. Venant equations of continuity and momentum for a shallow water wave. The two-dimensional continuity equation for a flood wave flowing over the land surface is

$$\frac{\partial h}{\partial t} = \frac{\partial q_x}{\partial x} + \frac{\partial q_y}{\partial x} = i_e \tag{3.8}$$

where, h is the surface flow depth;  $q_x$  and  $q_y$  are the unit discharges in the x- and y-directions, respectively; and  $i_e$  is the infiltration excess. The momentum equation used in the diffusive wave formulation for the x-dimension is

$$S_{fx} = S_{ox} - \frac{\partial h}{\partial x}$$
 (3.9)

where,  $S_{fx}$  is the friction slope (or slope of the energy grade line) in the x-direction,  $S_{ox}$  is the terrain slope in the x-direction and  $\partial h/\partial x$  is the change in depth of the water surface above the land surface in the x-direction.

In the 2-dimensional option, flow across the terrain grid is calculated first in the x- then in the y-direction. In order to solve Eq. 3.8 values for  $q_x$  and  $q_y$  are required. In most hydrological models they are typically calculated by use of a resistance equation such as Manning's equation or the Chezy equation, which incorporates the expression for momentum losses given in Eq. 3.9. In WRF-Hydro, a form of Manning's equation is implemented:

$$q_x = \alpha_x h^\beta \tag{3.10}$$

where,

$$\alpha_x = \frac{S_{fx}^{1/2}}{n_{OV}}; \qquad \beta = \frac{5}{3}$$
 (3.11)

where,  $n_{OV}$  is the roughness coefficient of the land surface and is a tunable parameter and  $\beta$  is a unit dependent coefficient expressed here for SI units.

The overland flow formulation has been used effectively at fine terrain scales ranging from 30-300 m. There has not been rigorous testing to date, in WRF-Hydro, at larger length-scales (> 300 m). This is due to the fact that typical overland flood waves possess length scales much smaller than 1 km. Micro-topography can also influence the behavior of a flood wave. Correspondingly, at larger grid sizes (e.g. > 300 m) there will be poor resolution of the flood wave and the small-scale features that affect it. Also, at coarser resolutions, terrain slopes between grid cells are lower due to an effective smoothing of topography as grid size resolution is decreased. Each of these features will degrade the performance of dynamic flood wave models to accurately simulate overland flow processes. Hence, it is generally considered that finer resolutions yield superior results.

The selected model time step is directly tied to the grid resolution. In order to prevent numerical diffusion of a simulated flood wave (where numerical diffusion is the artificial dissipation and dispersion of a flood wave) a proper time step must be selected to match the selected grid size. This match is dependent upon the assumed wave speed or celerity (c). The Courant Number,  $C_n = c(\Delta t/\Delta x)$ , should be close to 1.0 in order to prevent numerical diffusion. The value of the  $C_n$  also affects the stability of the routing routine such that values of  $C_n$  should always be less than 1.0. Therefore the following model time steps are suggested as a function of model grid size as shown in Table 3.2.

**Table 3.2:** Suggested routing time steps for various grid spacings

X (m)	T (s)
30	2
100	6
250	15
500	30

#### **Relevant code modules:**

Routing/Noah distr routing.F

#### **Relevant namelist options:**

hydro.namelist:

- OVRTSWCRT Switch to activate overland flow routing.
- DXRT Specification of the routing grid cell spacing
- AGGFACTR Subgrid aggregation factor, defined as the ratio of the subgrid resolution to the native land model resolution
- DTRT TER Terrain routing grid time step (used for overland and subsurface routing)

#### Relevant domain and parameter files/variables:

• TOPOGRAPHY in Fulldom\_hires.nc - Terrain grid or Digital Elevation Model (DEM). Note: this grid may be provided at resolutions equal to or finer than the native land model resolution.

- RETDEPRTFAC in Fulldom\_hires.nc Multiplier on maximum retention depth before flow is routed as overland flow.
- OVROUGHRTFAC in Fulldom\_hires.nc Multiplier on Manning's roughness for overland flow.
- OV\_ROUGH in HYDRO.TBL or OV\_ROUGH2D hydro2dtbl.nc Manning's roughness for overland flow (by default a function of land use type).

### 3.6 Channel and Lake Routing

There are multiple channel routing algorithms available in version 5.0 of WRF-Hydro. These algorithms operate either on the resolution of the fine grid (gridded routing) or on a vectorized network of channel reaches (linked routing), which maps the fine grid to the vector network (Figure 3.6). The following section describes the routing methods and their implementation in the WRF-Hydro model code.

In general, inflow to the channel is based on a mass balance calculation, where the channel routes water when the ponded water depth (or surface head, 'SFCHEADRT') of the channel grid cells exceeds a predefined retention depth ('RETDEPRT'). As described in Section 3.5, the depth of surface head on any grid cell is a combination of the local infiltration excess, the amount of water flowing onto the grid cell from overland flow, and exfiltration from groundwater flow. The quantity of surface head in excess of the retention depth is accumulated as stream channel inflow and is effectively "discharged" to the channel routing routine (described below). For calibration purposes gridded values of a scaling factor for RETDEPRT can be specified in the main hydrolathline netCDF input file. Increases in the RETDEPRT scaling factor on channel pixels can encourage more local infiltration near the river channel leading to wetter soils that better emulate riparian conditions. Values of "channel inflow" are accumulated on the channel grid and can be output for visualization and analysis (see Section 6 for a description of model outputs).

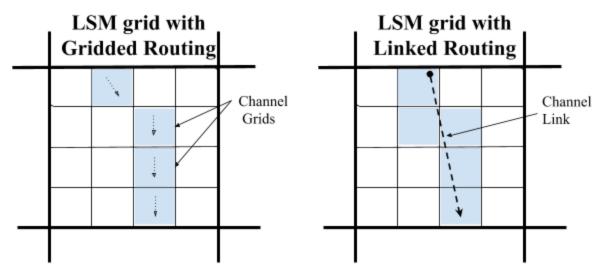
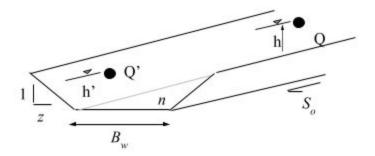


Figure 3.6 Channel routing via the high resolution gird (left) or on a vector/link network (right).

The channel routing module module\_channel\_routing.F allows for the one-dimensional, distributed routing of streamflow across the domain. An optional, switch-activated, level-pool lake/reservoir algorithm is also available and is described below in Sections 3.7 and 3.8. Within each channel grid cell there is an assumed channel reach of trapezoidal geometry as depicted in Figure 3.7. Channel parameters side slope (z), bottom width  $(B_w)$  and roughness (n) are currently prescribed as

functions of Strahler stream order for defaults. Details on how each routing method reads these parameters are specified in the subsections below.



- Channel Slope,  $S_a$
- Channel Length,  $\Delta x$  (m)
- Channel side slope, z (m)
- Constant bottom width,  $B_{w}$  (m)
- Manning's roughness coefficient, (n)

Figure 3.7 Schematic of Channel Routing Terms

As discussed above, channel elements receive lateral inflow from overland flow. There is currently no overbank flow back to the fine-grid, so flow into the channel model is effectively one-way. Therefore, WRF-Hydro does not explicitly represent inundation areas from overbank flow from the channel back to the terrain. This will be an upcoming enhancement, though currently there are methods for post-processing an inundation surface. Uncertainties in channel geometry parameters and the lack of an overbank flow representation result in a measure of uncertainty for users wishing to compare model flood inundation versus those from observations. It is strongly recommended that users compare model versus observed streamflow discharge values and use observed stage-discharge relationships or "rating curves" when wishing to relate modeled/predicted streamflow values to actual river levels and potential inundation areas

#### **Relevant code modules:**

Routing/ module channel routing.F

#### Relevant namelist options for gridded and reach-based routing:

hydro.namelist:

- CHANRTSWCRT Switch to activate channel routing.
- channel option Specification of the type of channel routing to activate
- DTRT\_CH Channel routing time step, applies to both gridded and reach-based channel routing methods
- route\_link\_f (optional) a Route\_Link.nc file is required for reach-based routing methods. Example header in Appendix A9.

#### 3.6.1. Gridded Routing using Diffusive Wave

Channel flow down through the gridded channel network is performed using an explicit, one-dimensional, variable time-stepping diffusive wave formulation. As mentioned above the diffusive

wave formulation is a simplification of the more general St. Venant equations for shallow water wave flow. Similarly, for channel routing, the mass and momentum continuity equations are given as:

Continuity: 
$$\frac{\partial A}{\partial t} + \frac{\partial Q}{\partial x} = q_{lat}$$
 (3.12)

Momentum: 
$$\frac{\partial Q}{\partial t} + \frac{\partial (\beta Q^2 / A)}{\partial x} + gA \frac{\partial Z}{\partial x} = -gAS_f \quad (3.13)$$

Where, t is the time, x is the streamwise coordinate, A is in the flow area of the cross section, and  $q_{lat}$  is the lateral inflow rate into the channel. In the momentum equation, Q is the flow rate,  $\beta$  is a momentum correction coefficient, Z is the water surface elevation, g is gravity and  $S_f$  is the friction slope which is computed as:

$$S_f = \left(\frac{Q}{K}\right)^2 \tag{3.14}$$

where K is the conveyance, computed from the Manning's equation:

$$K = \frac{C_m}{n} A R^{2/3}$$
 (3.15)

where n is the Manning's roughness coefficient, A is the cross-sectional area, R is the hydraulic radius (A/P), P is the wetted perimeter, and  $C_m$  is dimensional constant (1.486 for English units or 1.0 for SI units).

Ignoring the convective term, the second term, in the momentum equation gives the diffusive wave approximation of open channel flow. The momentum equation then simplifies to:

$$Q = -\text{SIGN}\left(\frac{\partial Z}{\partial x}\right) K \sqrt{\frac{\partial Z}{\partial x}}$$
 (3.16)

where the substitution for friction slope has been made and the SIGN function is 1 for  $\partial Z/\partial x > 0$  and -1 for  $\partial Z/\partial x < 0$ .

The numerical solution is obtained by discretizing the continuity equation over a raster cell as:

$$A^{n+1} - A^{n} = \frac{\Delta t}{\Delta x} \left( Q_{i+\frac{1}{2}}^{n} - Q_{i-\frac{1}{2}}^{n} \right) + \Delta t q_{lat}^{n}$$
(3.17)

where  $Q_{i+\frac{1}{2}}^n$  is the flux across the cell face between point i and i+1, and is computed as:

$$Q_{i+\frac{1}{2}}^{n} = -\text{SIGN}(\Delta Z_{i+1}^{n}) K_{i+\frac{1}{2}} \sqrt{\frac{|\Delta Z_{i+1}^{n}|}{\Delta x}}$$
(3.18)

where:

$$\Delta Z_{i+1}^{n} = Z_{i+1}^{n} - Z_{i}^{n} \quad (3.19)$$

$$K_{i+\frac{1}{2}}^{n} = 0.5 \left[ \left( 1 + \text{SIGN} \left( \Delta Z_{i+1}^{n} \right) \right) K_{i} + \left( 1 - \text{SIGN} \left( \Delta Z_{i+1}^{n} \right) \right) K_{i+1} \right]$$
(3.20)

A first-order, Newton-Raphson (N-R) solver is used to integrate the diffusive wave flow equations. Under certain streamflow conditions (e.g. typically low gradient channel reaches) the first-order solver method can produce some instabilities resulting in numerical oscillations in calculated streamflow

values. To address this issue, higher order solver methods will be implemented in future versions of WRF-Hydro.

Unlike typical overland flow flood waves which have very shallow flow depths, on the order of millimeters or less, channel flood waves have appreciably greater flow depths and wave amplitudes, which can potentially result in strong momentum gradients and strong accelerations of the propagating wave. To properly characterize the dynamic propagation of such highly variable flood waves it is often necessary to decrease model time-steps in order to satisfy Courant conditions. Therefore WRF-Hydro utilizes variable time-stepping in the diffusive wave channel routing module in order to satisfy Courant constraints and avoid numerical dispersion and instabilities in the solutions. The initial value of the channel routing time-step is set equal to that of the overland flow routing timestep which is a function of grid spacing. If, during model integration the N-R convergence criteria for upstream-downstream streamflow discharge values is not met, the channel routing time-step is decreased by a factor of one-half and the N-R solver is called again.

It is important to note that use of variable time-stepping can affect model computational performance resulting in slower solution times for rapidly evolving streamflow conditions such as those occurring during significant flood events. Therefore, selection of the time-step decrease factor (default value set to 0.5) and the N-R convergence criteria can each affect model computational performance.

Uncertainty in channel routing parameters can also impact the accuracy of the model solution which implies that model calibration is often required upon implementation in a new domain. Presently, all of the channel routing parameters are prescribed as functions of stream order in a channel routing parameter table CHANPARM. TBL. The structure of this file is described in detail in Appendix A9. It should be noted that prescription of channel flow parameters as functions of stream order is likely to be a valid assumption over relatively small catchments and not over large regions.

#### 3.6.2. Linked Routing using Muskingum and Muskingum-Cunge

The gridded catchment and drainage network of the land surface model (Noah/Noah-MP LSM) are mapped to the one-dimensional vectorized channel network, with a unique set of channel properties defined as constant for each reach. The flow out of each channel reach is determined based on flow hydraulics, channel storage and the lateral inflow contribution from each grid cell that is mapped to the individual link element. Since reach lengths are not constant, the number of contributing grid cells to the link depend on the link length (Figure 3.6). Flow is assumed always upstream-to-downstream, and channel junctions accommodate the merging of flows through the linked network. The simultaneous transformation of the often complex drainage network, source areas, and channel flow hydrographs in these large, complex networks necessitates a practical and efficient solution of the routing problem (Brunner and Gorbrecht, 1991).

On the linked network, WRF-Hydro makes use of a fairly standard implementation of the Muskingum-Cunge (MC) method of hydrograph routing which makes use of time varying parameter estimates. The scheme is a practical approach to characterize watershed runoff characteristics over large network, large watershed flow integration. But as a one-dimensional explicit scheme, it does not allow for backwater or localized effects. Channel flows are routed upstream to downstream in a cascade routing manner (Gunner and Gorbetch, 1991) with the assumption that there are negligible backwater effects. The MC routing scheme relates inflow and outflow using a storage relationship, where S = K[XI + (1-X) Q], (3.21) where X is a weighting factor with a range of  $0 \le X \le 0.5$ , where X range from 0 for

reservoir-type storage, while an advancing floodwave produces a wedge of storage and thus a value of X greater than 0 (Chow et al., 1982). The finite difference formulation of the storage relationship results in the Muskingum Equation,

$$Q_d^c = C1 Q_u^p + C2 Q_u^c + C3 Q_d^p + \left(\frac{q_I dt}{D}\right)$$
 (3.22)

where D = K(1-X)+ dt/2 and is the wedge storage contribution from lateral inflow in the reach. The subscript are u and d are the upstream and downstream nodes of each reach, respectively; and the p and c superscript are the previous and current time step, respectively.

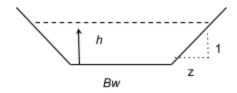


Figure 3.8 Channel Properties

Static hydraulic properties are used to describe the properties of each channel reach, with each being assumed trapezoidal and include bottom width  $(B_w)$ , channel length (dx), channel top width before bankfull (Tw), Manning's roughness coefficient (n), channel side slope (z, in meters), and the longitudinal slope of the channel (So). If a user is running the model with reach-based routing (channel\_option = 1 or 2), the  $B_w$ , n, and z parameters can be modified through the Route\_Link.nc file. Note: the CHANPARM.TBL file will not be used in this configuration.

Simulated state variables include estimate of mean water depth in the channel (h), steady-state velocity (v) and flow rate (q) in the reach at the current timestep. An initial depth estimate is made based on the depth from the previous time step. Time varying properties include the hydraulic area, Area = (Bw\*h\*z)\*h; (3.23)the wetted perimeter  $Wp = (B_w + 2 * sqrt(1+z^2)); (3.24)$  and the hydraulic radius, R = Area/Wp. (3.25)With an initial estimate of water depth in the channel, the wave celerity for the trapezoidal channel is estimated as,

$$Ck = \sqrt{\frac{So}{n}} \, 5/3 \, R^{2/3} - 2/3 R^{5/3} * (2 * \sqrt{(1 + z^2 / (Bw + 2 h z))}) \, . \, (3.26)$$

Wave celerity is used to estimate the MC routing parameters, where  $K = dt/c_k$  (3.27) is the time required for an incremental flood wave to propagate through the channel reach, and the storage shape weighting factor is given as,  $X = \frac{1}{2} \left( 1 - \frac{Q}{(Tw c_k So dx)} \right)$ , (3.28) where Q is the estimated discharge,  $T_w$  is the water surface width,  $S_o$  is the channel slope and dx is the channel length.

### Relevant domain and parameter files/variables:

- TOPOGRAPHY in Fulldom\_hires.nc Terrain grid or Digital Elevation Model (DEM).
   Note: this grid may be provided at resolutions equal to or finer than the native land model resolution.
- CHANNELGRID in Fulldom\_hires.nc Channel network grid identifying the location of stream channel grid cells
- STREAMORDER in Fulldom\_hires.nc Strahler stream order grid identifying the stream order for all channel pixels within the channel network.
- FLOWDIRECTION in Fulldom\_hires.nc Flow direction grid, which explicitly defines flow directions along the channel network in gridded routing. This variable dictates where water

flows into channels from the land surface as well as in the channel. This should not be modified independently because it is tied to the DEM.

- frxst\_pts (optional) in Fulldom\_hires.nc Forecast point grid, which specified selected channel pixels for which channel discharge and flow depth are to be output within a netcdf point file (CHANOBS) and/or an ASCII timeseries file (frxstpts\_out.txt).
- CHANPARM. TBL text or Route\_Link.nc netcdf file Specifies channel parameters by stream order (CHANPARM. TBL, for gridded channel routing) or individual reaches (route\_link\_f, for reach-based routing methods)

### 3.7 Lake and Reservoir routing description

A simple mass balance, level-pool lake/reservoir routing module allows for an estimate of the inline impact of small and large reservoirs on hydrologic response. A lake/reservoir or series of lakes/reservoirs are identified in the channel routing network, and lake/reservoir storage and outflow are estimated using a level-pool routing scheme. The only conceptual difference between lakes and reservoirs as represented in WRF-Hydro is that reservoirs contain both orifice and weir outlets for reservoir discharge while lakes only contain weirs. Note that the user must adjust these parameters accordingly - the model makes no distinction between a reservoir and a lake.

Fluxes into a lake/reservoir object occur through the channel network and when surface overland flow intersects a lake object. Fluxes from lake/reservoir objects are made only through the channel network and no fluxes from lake/reservoir objects to the atmosphere or the land surface are currently represented (i.e. there is currently no lake evaporation or subsurface exchange between the land surface and lakes and reservoirs). The Level Pool scheme tracks water elevation changes over time, h(t) where water from the reservoir can exit either through weir overflow  $(Q_w)$  and/or a gate-controlled flow  $(Q_o)$ , where these outflows are functions of the water elevation and spillway parameters. Weir flow is given as

 $Q_w(t) = C_w L h^{3/2}_{(3.29)}$  when  $h > h_{max}$  or Qw(t) = 0.0 when  $h \le h_{max}$  where,  $h_{max}$  is the maximum height before the weir begins to spill (m),  $C_w$  is a weir coefficient, and L is the length of the weir (m). Orifice

flow is given as  $Q_o(t) = C_o O_a \sqrt{2gh}$ , (3.30) where  $C_o$  is the orifice coefficient,  $O_a$  is the orifice area (m²), and g is the acceleration of gravity (m/s²). In addition, the level pool scheme is designed to track each reservoir's surface area, Sa (km²) as a function of water depth and the area at full storage, As (km²). Presently, lake/reservoir object is assumed to have vertical side walls, such that the surface area is always constant.



Figure 3.9 Schematic of Level Pool Routing

The following lake/reservoir parameters are required for level-pool routing and are defined in the LAKEPARM.nc parameter file. The GIS pre-processing tool can make either of these files and the model will read the one specified in the hydro.namelist file:

- Weir and Orifice Coefficients (Co, Cw)
- Weir Length, L (m)
- Orifice Area,  $O_a$  (m<sup>2</sup>)
- Reservoir Area, As (km<sup>2</sup>)
- Maximum reservoir height at full storage,  $h_{max}(m)$

The lake/reservoir flow routing option is activated when lake objects are defined and properly indexed as a data field in the high resolution terrain routing grid file. If lake/reservoir objects are present in the lake grid (and also within the channel network) then routing through those objects will occur if the channel is active AND if channel\_option = 3 (gridded routing). Currently, the option to activate/inactivate lakes with channel\_option = 1 or = 2 is not developed unless the user is running the NWM configuration. There are several special requirements for the lake grid and channel routing grids when lakes/reservoirs are to be represented and these are discussed in Sections 5.4 and 5.6.

#### Relevant code modules:

Routing/ module channel routing.F

### Relevant namelist options:

hydro.namelist:

- route\_lake\_f (optional) Path to lake parameter file to support level-pool reservoir routing methods.
  - O Note: as mentioned in the paragraph above, if in the GIS-Preprocessing the user created a "gridded" routing stack for channel\_option = 3 (i.e. did not select to create a Route\_Link.nc file for channel\_option = 1 or = 2) AND specified a lake file (user provided a reservoir/lake input file), then the Fulldom\_hires.nc file will populate the LAKEGRID variable. For this case, the user must specify the route\_lake\_f file.

    To turn lakes "off" with channel\_option = 3, create another set of Fulldom\_hires.nc ("domain") files without a reservoir input file specified.

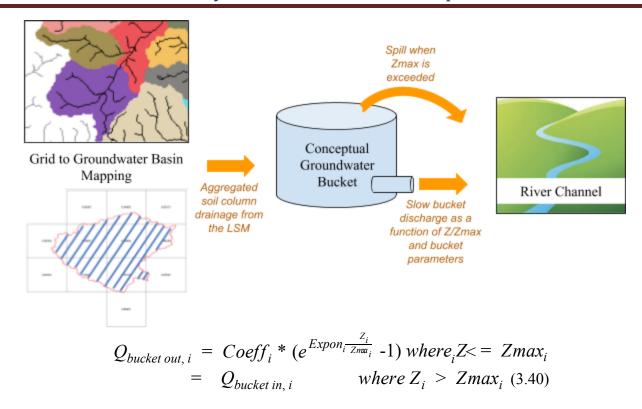
#### Relevant domain and parameter files/variables:

- CHANNELGRID in Fulldom\_hires.nc Channel network grid identifying the location of stream channel grid cells
- LAKEGRID in Fulldom\_hires.nc (optional) Specifies lake locations on the channel grid (for gridded channel routing methods, i.e. channel\_option = 3).
- Route\_Link.nc netCDF file (optional) Specifies lake associations with channel reaches.. \*\*\*Inactive for channel\_option = 1 and = 2 if UDMP = 0.
- LAKEPARM. TBL text or LAKEPARM. nc netCDF file Specifies lake parameters for each lake object specified.

### 3.8 Conceptual base flow model description

Aquifer processes contributing baseflow often operate at depths well below ground surface. As such, there are often conceptual shortcomings in current land surface models in their representation of groundwater processes. Because these processes contribute to streamflow (typically as "baseflow") a parameterization is often used in order to simulate total streamflow values that are comparable with observed streamflow from gauging stations. Therefore, a switch-activated baseflow module module\_GW\_baseflow.F has been created which conceptually (i.e. not physically-explicit) represents baseflow contributions to streamflow. This model option is particularly useful when WRF-Hydro is used for long-term streamflow simulation/prediction and baseflow or "low flow" processes must be properly accounted for. Besides potential calibration of the land surface model parameters the conceptual baseflow model does not directly impact the performance of the land surface model scheme. The new baseflow module is linked to WRF-Hydro through the discharge of "deep drainage" from the land surface soil column (sometimes referred to as "underground runoff").

The baseflow parameterization in WRF-Hydro uses spatially-aggregated drainage from the soil profile as recharge to a conceptual groundwater reservoir (Fig. 3.10). The unit of spatial aggregation is often taken to be that of a catchment or sub-basin within a watershed. Each sub-basin has a groundwater reservoir "bucket" with a conceptual depth and associated conceptual volumetric capacity. reservoir operates as a simple bucket where outflow (= "baseflow" or "stream inflow") is estimated using an empirically-derived function of recharge. The functional type and parameters are determined empirically from offline tests using an estimation of baseflow from stream gauge observations and model-derived estimates of bucket recharge provided by WRF-Hydro. Presently, WRF-Hydro uses either a direct output-equals-input "pass-through" relationship or an exponential storage-discharge function for estimating the bucket discharge as a function of a conceptual depth of water in the bucket "exponential bucket". Note that, because this is a highly conceptualized formulation, the depth of water in the bucket in no way infers the actual depth of water in a real aguifer system. However, the volume of water that exists in the bucket needs to be tracked in order to maintain mass conservation. Estimated baseflow discharged from the bucket model is then combined with lateral inflow from overland routing (if active) and input directly into the stream network as channel inflow, as referred to above in Section 3.5. Presently, the total basin baseflow flux to the stream network is equally distributed among all channel pixels within a basin for gridded channel routing options or dumped into the top of the reach to be routed downstream for reach-based methods. Lacking more specific information on regional groundwater basins, the groundwater/baseflow basins in WRF-Hydro are often assumed to match those of the surface topography. However, this is not a strict requirement. Buckets can be derived in a number of ways such as where true aguifers are defined or from a 3<sup>rd</sup> party hydrographic dataset such as the USGS NHDPlus or Hydrosheds.



**Figure 3.10** Hypothetical map of groundwater/baseflow sub-basins within a watershed and conceptualization of baseflow "bucket" parameterization in WRF-Hydro.

A groundwater/baseflow bucket model parameter file (GWBUCKPARM.nc) specifies the empirical parameters governing the behavior of the bucket model parameterization for each groundwater/baseflow basin specified within the model domain. An example parameter file with 4 groundwater basins will look like:

```
Basin, Coeff., Expon., Zmax, Zinit
1,1.0000, 3.000, 150.00, 10.0000
2,1.0000, 3.000, 250.00, 40.0000
3,1.0000, 3.000, 150.00, 30.0000
4,1.0000, 3.000, 100.00, 20.0000
5,1.0000, 3.000, 100.00, 50.0000
```

where, Coeff. is the bucket model coefficient, Expon. is the bucket model exponent, Zinit is the initial depth of water in the bucket model, and Zmax is the maximum storage in the bucket before "spilling" occurs. It is important to remember that a simple bucket model is a highly abstracted and conceptualized representation of groundwater processes and therefore the depth of water values in the bucket and the parameters themselves have no real physical basis. As mentioned above, initial values of the groundwater bucket model parameters, including 'Zinit' are typically derived analytically or 'offline' from WRF-Hydro and then are fine-tuned through model calibration. A description of the procedure to derive initial groundwater bucket model parameters is provided in the Appendix A10.

#### **Relevant code modules:**

Routing/ module GW baseflow.F

#### **Relevant namelist options:**

hydro.namelist:

- GWBASESWCRT Switch to activate groundwater bucket module.
- GWBUCKPARM file Path to groundwater bucket parameter file.
- gwbasmskfil (optional) Path to netcdf groundwater basin mask file if using an explicit groundwater basin 2d grid.
- UDMP\_OPT (optional) Switch to activate user-defined mapping between land surface model grid and conceptual basins.
- udmap file (optional) If user-defined mapping is active, path to spatial-weights file.

#### Relevant domain and parameter files/variables:

- GWBUCKPARM.nc netCDF file Specifies the parameters for each groundwater bucket/basin, in either text of netCDF format. More information regarding the groundwater bucket model parameters is provided in Section 5.5 and Appendix A10.
- GWBASINS.nc netCDF file The 2d grid of groundwater basin IDs.
- spatialweights.nc netCDF file specifying the weights to map between the land surface grid and the pre-defined groundwater basin boundaries.

### 4. Streamflow Nudging Data Assimilation

This chapter describes streamflow nudging and data assimilation in Version 5.0 of WRF-Hydro. Streamflow nudging was introduced in V1.1 of the National Water Model (NWM). The community WRF-Hydro model source code and the NWM source code have merged as of Version 5.0 of WRF-Hydro. See Appendix A16 for more information on the NWM. The chapter is divided into the following sections:

```
4.1 Streamflow Nudging Data Assimilation Overview
```

4.2 Nudging Formulation

4.3 Nudging Workflow

4.3.1. Input Files

4.3.2. Output Files

4.4 Nudging compile-time option

4.5 Nudging run-time options

### 4.1 Streamflow Nudging Data Assimilation Overview

For the National Water Model (NWM), a simple nudging data assimilation (DA) scheme has been developed to correct modeled stream flows to (or towards) observed values. The capability is only currently supported under the NWM configuration, but could be extended to NCAR reach-based routing, and potentially other kinds of routing, in the future. Specifically, the nudging capability introduces an interface for stream discharge observations to be applied to the Muskingum-Cunge streamflow routing solution.

### 4.2 Nudging Formulation

There are several motivations for performing DA. For the NWM analysis and assimilation cycle, the motivation is to improve model simulation and forecast initial conditions. Nudging is a simple and computationally inexpensive method of data assimilation where an observed state is inserted into the model with some uncertainty. When the observed value is inserted into the model without uncertainty, the method is referred to as "direct insertion".

Nudging works well locally to observations, both in space and time. Away from observations, in space and time, the method has limited success. For example, our application applies nudging data assimilation on a channel network with the advantage that the corrections are propagated downstream with the network flow. However, if no spatial or temporal smoothing of the corrections are included with the nudging method, upstream errors soon propagate past observed points when in the forecast (away from the observations, in to the future). Various assumptions can be made to smooth the nudge (or correction) in space and/or time but these are highly parameterized and require tuning. In the NWM we have avoided spatial smoothing and have opted to pursue a very limited temporal-interpolation approach.

The basic nudging equation solves  $e_i$ , the nudge, e, on a spatial element j,

$$e_{j} = \frac{\sum_{n=1}^{N_{j}} q_{n} * w_{n}^{2}(j,t) * (Q_{n} - \widehat{Q}_{n})}{\sum_{n=1}^{N_{j}} w_{n}^{2}(j,t)}$$
(4.1)

The numerator is the sum, over the  $N_j$  observations affecting element j, of the product of each observations' quality coefficient,  $q_n$ , the model error,  $Q_n - \widehat{Q}_n$ , and the squared weights. The weights is where most of the action happens.

The weights determine how the nudge is interpolated in both space and time (j,t). The weights term  $w_n(j,t)$  in the above equation is solved for observation n as a function of both space, j, and time, t. It is expressed as the product of separate spatial and temporal weight terms:

$$W_n(j,t) = W_{n_s}(j) * W_{n_s}(t,j)$$
 (4.2)

The temporal weight term takes the following piecewise form in our application:

$$w_{n_{t}}(t,j) = \begin{cases} 10^{10} * (1/10)^{\frac{|t-\widehat{t}|}{tau_{j}/10}} : if |t-\widehat{t}| \leq tau_{j} \\ e^{-a_{j}*(t-\widehat{t})} : if |t-\widehat{t}| > tau_{j} \end{cases}$$
(4.3)

The spatial weight term is of the following form

$$w_{n_s}(j) = \begin{cases} \frac{R_n^2 - d_{j_n}^2}{R_n^2 + d_{j_n}^2} : if \ R_n > d_{j_n} \\ 0 : otherwise \end{cases}$$
 (4.4)

The parameters specified in version 1.2 of the NWM (equivalent to this WRF-Hydro version 5) are

$$tau=15$$
 minutes  $a=120$  minutes  $R=.25$  meters

for all gages (all j) in CONUS (the parameter files are discussed below). The very short R means that nudging is applied locally, only to the reach where the observation is associated. There is currently no spatial smoothing. This is partly because spatial smoothing is assumed to be computationally intensive and has not been completely implemented. The tau=15 means that within 15 minutes of an observation we are heavily weighting the observation and a=120 means that nudging to the observation relaxes with e-folding time of two hours moving away from the observation.

The Muskingum-Cunge equation in Section 3.6.2 has the form:

$$Q_d^c = C1Q_u^p + C2Q_u^c + C3Q_d^p + \left(\frac{q_l dt}{D}\right)$$
 (4.5)

In V1.0 of the NWM, nudging was applied into this equation in the following manner

$$Q_d^c = C1Q_u^p + C2Q_u^c + C3(Q_d^p + N_d^p) + \left(\frac{q_l dt}{D}\right)$$
 (4.6)

where the discharge solution (Q) at the current time (c) at the downstream (d) reach was solved by applying the nudge from the previous timestep  $(N_d^p)$  to adjust the discharge of downstream reach at the previous (p) time. Experiments indicated undesirable side effects of introducing a discontinuity (the previous nudge) in discharge between the upstream (u) link and the downstream (d) link in this solution. With V1.2 of the NWM (equivalent to V 5 WRF-Hydro), the equation was modified to include the nudge in the upstream terms of the solution as well, at both the previous and current times:

$$Q_d^c = C1(Q_u^p + N_d^p) + C2(Q_u^c + N_d^p) + C3(Q_d^p + N_d^p) + \left(\frac{q_I dt}{D}\right)$$
(4.7)

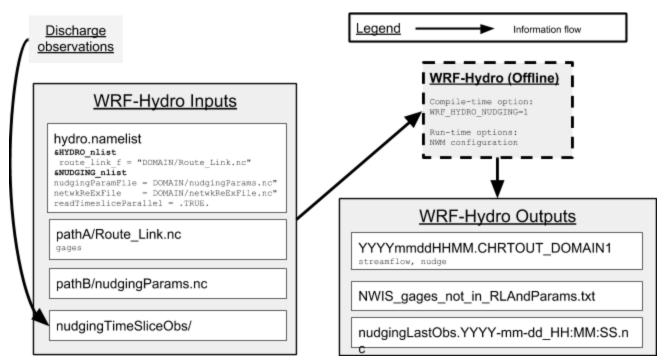
This is the form of the equation currently used for nudging which aims to reduce the discontinuity in space between the upstream and downstream reaches. Experiments revealed that this formulation, significantly reduced the difference between modeled and observed discharge and hence the nudging magnitudes (over long time series of assimilated observations). Note that the nudge is only applied to the upstream reach during the solution of the downstream reach and is not applied in the output values of the upstream reach.

This change in the nudging formulation also promotes the previous downstream nudge to a prognostic variable. Whereas  $Q_d^p + N_d^p$  was simply the previous downstream streamflow value after the nudge (already a prognostic model variable), adding the previous downstream nudge to the upstream solutions requires having the previous nudge available. Therefore, the previous downstream nudge values gets written to the nudgingLastObs files (described in Section 4.3), which are the restart files for the nudging routine.

There are a variety of experimental nudging options and features in the nudging section of the hydro.namelist which are incomplete or unused at this time. There are also nudging features used in a limited capacity by the NWM which are not described here. As development of these options evolves, they will be documented in future versions of WRF-Hydro.

### 4.3 Nudging Workflow

Figure 4.1 provides an overview of the nuding workflow at the file level. Descriptions are provided for each of the files shown in the diagram.



**Figure 4.1:** The nudging workflow at the file level.

#### 4.3.1 Input Files

**Discharge observations & nudging Time SliceObs/:** Discharge observations from the real world enter the WRF-Hydro system through the nuging Time SliceObs/directory.

The individual observation files used for streamflow nudging are stored in this directory, each with the the following naming convention YYYY-mm-dd HH:MM:SS.RRmin.usgsTimeSlice.ncdf.

The first part of the filename, YYYY-mm-dd\_HH:MM:SS, identifies the center of the "slice of time" in which observations are found (from year down to second). RR indicates the resolution, or total width of the time slice. Currently this resolution is a hard-coded parameter in the model. It is set to 15 minutes as this is the most common reporting frequency for USGS gages in the USA. The "usgsTimeSlice" part of the filename is fixed and is legacy of the fact that these files were originally designed for USGS observations. However, any discharge observations can be placed into this format.

The format of a an example timeslice observation file is given by an ncdump -h in Figure A15.1 in Appendix A15. Of the three dimension variables, two are character lengths and only the stationIdInd dimension is variable (unlimited). The stationIdInd variable has dimensions of the number of individual stream gages contained in the file by the fixed width of the strings (stationIdStrLen=15). The variable metadata describes the contents. The stationId variable is the "USGS station identifier of length 15". While the character type of the variable and the length of 15 are both fixed, identifiers are not limited to those used by the USGS. Custom identifiers can be used and are described later in this section when the gages variable in the Route\_Link.nc file are described. The variable discharge\_quality is simply a multiplier. This value is stored as a short integer for space concerns and only takes values from zero to one hundred. Internally in the model, this variable is scaled by 100 and used as a floating point variable between zero and one. The queryTime variable is not used by the model and is optional. It may be useful in situations when the files are updated in real-time. Similarly, the metadata field fileUpdateTimeUTC can be useful but is not required by the model. The remaining two metadata fields are both required by the model:

sliceCenterTimeUTC and sliceTimeResolutionMinutes ensure that the file and the model are operating under the same time location and resolution assumptions. An example of generating timeslice files from USGS observations using the R language is given in the help for the rwrfhydro function WriteNcTimeSlice.

hydro.namelist: When WRF-Hydro is compiled with nudging on, the hydro.namelist file is required to contain the &NUDGING\_nlist. The nudging namelist is found at the bottom of the hydro.namelist file either in the Run/ directory after compilation or in the template/HYDRO/ directory. The namelist governs the run-time options to the nudging code. These run-time options are detailed in Section 4.5 below and in Appendix A5.

Route\_Link.nc: Collocation of streamflow gages and reach elements is achieved by the gages field in the Route\_Link.nc file (see Sections 3.6, 5.4). Each reach element may have a single gage identified with it as specific by a fixed-width 15 character string in the gages field. A blank entry indicates no gage is present on the reach. The gages field in Route\_Link.nc tells the nudging module where to apply the observed values to the stream network. Gages which appear in the observation files but not in the Route\_Link.nc file do not cause a problem, they are simply skipped and their identifiers collected and printed to the file NWIS\_gages\_not\_in\_RLAndParams.txt file, described later. The number of non-blank routelink gages must match the number of gages supplied in the nudging parameters file, described next.

*nudgingParams.nc:* Figure A15.2 in Appendix A15 shows the structure of the nudgingParams.nc file for a small domain. Some of the parameters in the file are explained in detail in Section 4.2 and some are placeholders for capabilities which have not been developed.

### 4.3.2 Output Files

When the code is compiled with the nudging compile-time option on (see next section), four types of output files contain nudging information. Some files are different than when compiled without nudging while other files are unique outputs for the nuding option.

**YYYYmmddHHMM.CHRTOUT\_DOMAIN1:** The nudging code affects the values written to the "CHRTOUT" files. If valid observations are available, the (modeled) streamflow variable is changed by the assimilated observations. When the model is compiled to enable nudging, the variable "nudge" also appears in the file. The nudge value is calculated as in Section 4.2.

**nudgingLastObs.YYYY-mm-dd\_HH:MM:SS.nc:** These files are unique to the nudging compile and are the restart files for the nudging component of the model. A restart file is not required, nudging can be run from a cold start. This file can contain multiple variables, only the "nudge" variable is described in this documentation.

**NWIS\_gages\_not\_in\_RLAndParams.txt:** These files are unique to nudging and report the unique gages found in the observation time slice files which were not present in the Route\_Link.nc file. These are gages which may have opportunity to be assimilated (provided they could be located in the domain). There is only one such file per run, written at the end of the run.

**Standard output and "hydro\_diag" files:** The nudging routines write various messages. The ultimate destination of these can be compiler dependent. The nudging code aims to preface all its messages with "Ndq:" and all its warnings with "Ndq: WARNING:".

### 4.4 Nudging compile-time option

The nuding capability is only available when the code is compiled with the environment variable set: WRF HYDRO NUDGING=1.

### 4.5 Nudging run-time options

Appendix A5 presents an annotated hydro.namelist file. There are two fortran namelists contained within that file. The nudging run-time options are contained the NUDGING\_nlist which is the second namelist in the document. Only some run time options listed in the namelist are documented at this time.

<b>Documented/Supported Options</b>	Undocumented/Unsupported Options
timeSlicePath	nLastObs
nudgingParamFile	persistBias
nudgingLastObsFile	biasWindowBeforeT0
readTimesliceParallel	maxAgePairsBiasPersist
temporalPersistence	minNumPairsBiasPersist
	invDistTimeWeightBias
	noConstInterfBias

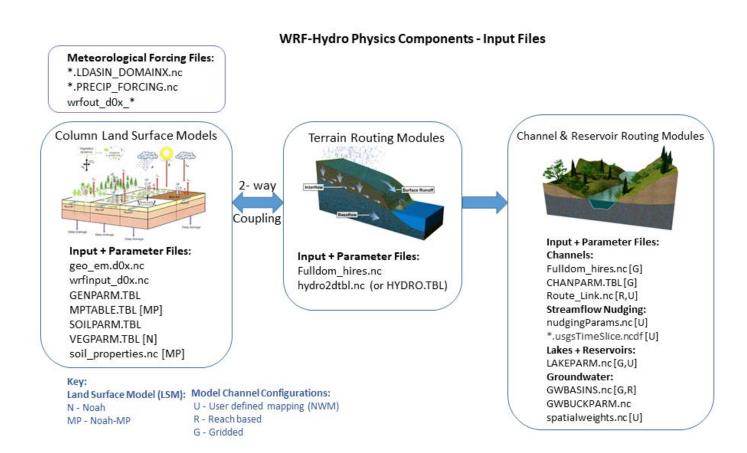
Details on the meaning and usage of the options are given in Appendix A5, in both the comments which are part of the namelist itself and by the blue annotations added to the namelists. The supported options are fairly straight forward in their usage. It is worth noting that the specification of the nudgingLastObsFile does not behave the same way as the specification of the LSM or hydro restart files. The unsupported nudging options have to do with mostly experimental methods for forecast bias correction which have been investigated.

### 5. Model inputs and preprocessing

This chapter describes WRF-Hydro input and parameter file requirements and the preprocessing tools used to generate them. The chapter is divided into the following sections:

- 5.1 Overview of model inputs
- 5.2 Domain processing and description of surface physiographic input files
  - 5.2.1 Defining the model domain
  - 5.2.2 Initial land surface conditions
  - 5.2.3 Generating hydro input files via the WRF-Hydro GIS pre-processing tools
- 5.3 Description of land surface model and terrain routing parameter files
  - 5.3.1 Spatially distributed parameter files
- 5.4 Description of channel routing parameter files
- 5.5 Description of groundwater input and parameter files
- 5.6 Description of lake and reservoir parameter tables
- 5.7 Specification of meteorological forcing data

### 5.1 Overview of model inputs



**Figure 5.1** WRF-Hydro input and parameter files organized by model physics component. See the Key for files specific to a certain land model or channel configuration.

WRF-Hydro requires a number of input files describing the model domain, parameters, initial conditions, and when run in a standalone configuration meteorological forcing files. A full list of these files broken up by model physics component is shown in Figure 5.1. Note that the set of files required to run WRF-Hydro varies depending upon model configuration. For example, different land surface models and model physics components may require different parameter and input files.

While some parameter files and templates are included with the model source code, most must be generated by the user. We provide a number of scripts and preprocessing utilities on the WRF-Hydro website (https://ral.ucar.edu/projects/wrf\_hydro) in order to aid in this process. These include NCAR Command Language (NCL) scripts to regrid forcing data from commonly used data sources, R scripts to generate parameter and model initialization files, and a set of Python based ArcGIS pre-processing tools. The specific utilities used to generate different files are listed in Table 5.1. Users should be aware that these tools do not support all potential datasets and use cases and that the use of default parameters will often result in suboptimal model performance. More details regarding the pre-processing utilities, file requirements, and descriptions follow.

### 5.2 Domain processing and description of surface physiographic input files

This subsection describes the process of defining the WRF-Hydro model domain, generating model initial conditions, and deriving geospatial input and parameter files via the WRF-Hydro GIS pre-processing tools. As noted in the previous section a number of scripts and utilities have been developed to facilitate the creation of these files. Additionally, we rely on a utility within the Weather Research and Forecasting (WRF) model preprocessing system (WPS) called GEOGRID to define the land surface model grid and relevant geospatial data and produce the resulting <code>geo\_em.d0x.nc</code> file hereafter referred to as a "geogrid" file. This geogrid file is then used as input to the ArcGIS preprocessing tools, along with external datasets such as high resolution topographic data, which generate the high resolution routing grid and all surface physiographic input data files required by the model. The geogrid file is also passed to utilities in order to generate land surface model initial condition files.

#### 5.2.1 Defining the model domain

The data required to define the domain and geospatial attributes of a spatially-distributed, or gridded, 1-dimensional (vertical) land surface model (LSM) are specified in a geogrid (geo\_em.d0x.nc) netCDF file. This file is generated by the GEOGRID utility in the WRF preprocessing system (WPS). WPS is a preprocessing system that prepares both land surface and atmospheric data for use in the model. The GEOGRID component of WPS automates the procedure of defining in space, georeferencing and attributing most of the land surface parameter data required to execute both the Noah and Noah-MP land surface models. GEOGRID interpolates land surface terrain, soils and vegetation data from standard, readily available data products. These data are distributed as a geographical input data package via the WRF website. Complete documentation and user instructions for use of the WPS system are provided online by NCAR and are updated regularly and, thus, are not discussed in great detail here. This geo\_em.d0x.nc file is also required as input to other WRF-Hydro preprocessing utilities.

#### 5.2.2 Initial land surface conditions

Initial conditions for the land surface, such as soil moisture, soil temperature, and snow states, are prescribed via the wrfinput d0x.nc file. This netCDF file can be generated one of two ways,

through the real.exe program within WRF or via an R script (create\_Wrfinput.R) distributed on the WRF-Hydro website. When created using the real.exe program in WRF, initial conditions are pulled from existing reanalysis or realtime products (see WRF documentation for data and system requirements). This will typically result in more realistic initial model states. However, the process is somewhat involved and requires the user to obtain additional external datasets.

The R script will create a simplified version of the wrfinput (wrfinput\_d0x.nc) file including all necessary fields for the Noah-MP land surface model, but with spatially uniform initial conditions that are prescribed within the script and requires only the geogrid file geo\_em.d0x.nc as input. Step-wise instructions and detailed requirements are included in documentation distributed with the script. Users should be aware that the model will likely require additional spin-up time when initialized from this file.

#### 5.2.3 Generating hydrologic routing input files via the WRF-Hydro GIS pre-processing tools

A suite of Python based utilities, the WRF-Hydro GIS Pre-processing Toolkit, have been developed to facilitate the process of deriving WRF-Hydro input and parameter files from commonly available geospatial data products, such as hydrologically processed digital elevation models. A large number of the hydro input and parameter files described in Table 5.1 can be generated by these tools as well as a geospatial metadata file to support georeferencing of WRF-Hydro model output files and relevant shapefiles to aid in visualizing the model components. The WRF-Hydro GIS pre-processing tools are developed to function as an additional ArcToolbox within the Esri ArcGIS software. Specific operating system and software requirements are addressed in the full WRF-Hydro GIS Pre-processing Toolkit documentation.

The minimum input data requirements for the pre-processing tools are the geogrid file <code>geo\_em.dox.nc</code> and a hydrologically conditioned digital elevation model covering the full extent of the domain of interest. From these datasets the terrain routing <code>Fulldom\_hires.nc</code> and channel routing files (see Appendix A9) can be created. A text file with stream gage locations can also be supplied allowing the user to demarcate these locations in the model input files and optionally produce time series outputs for only these locations <code>frxst\_pts\_out.txt</code> or \*CHANOBS\_DOMAINx.

This text file denoting the location of stream gages or forecast points can also be used to generate groundwater input files. Effectively groundwater basins are delineated above each of these locations and default parameters will be assigned to a parameter file that can also be generated using this tool.

Lake and reservoir component input files also require a supplementary input file. A shapefile containing polygons defining the extent of each lake must be provided as input. From this file and the processed digital elevation model a number of parameters are derived for each lake (however, note that other parameters are only assigned a global default value). More details about this process and the contents of the input and parameter files can be found in Appendix A12 and the full WRF-Hydro GIS Pre-processing Toolkit documentation.

The WRF-Hydro GIS Preprocessing Toolkit will also produce a geospatial metadata file for the land surface model grid (as defined by the geogrid file), GEOGRID\_LDASOUT\_Spatial\_Metadata.nc. This file contains projection and coordinate information for the land surface model grid. While this file is an optional input to WRF-Hydro, in combination with the new file output routines in version 5.0.0 of WRF-Hydro this file will allow for the creation of CF (Climate and Forecast metadata convention) compliant output files. This allows for files to be more easily viewed in GIS systems (e.g. ArcGIS and

QGIS) as well as other visualization software. Additional documentation for this toolkit including step by step instructions and detailed requirements is provided on the WRF-Hydro website.

Requirements for the hydro components of the model (i.e. those not directly associated with the land surface model or data assimilation) are described in the model physics Section 3 and in Table 5.1.

**Table 5.1** Input and parameter files for hydro components of WRF-Hydro.

Filename	Description	Source	Required
Fulldom_hires.nc	High resolution full domain file. Includes all fields specified on the routing grid.	WRF-Hydro GIS pre-processing toolkit	Yes
Route_Link.nc	Channel reach parameters (ComID, gage ID, bottom width, slope, roughness, order, etc.)	WRF-Hydro GIS pre-processing toolkit	When reach based routing is used (including user defined mapping)
GWBASINS.nc	2D file defining the locations of groundwater basins	WRF-Hydro GIS pre-processing toolkit	When the baseflow bucket model is turned on and user defined mapping is off
GWBUCKPARM.nc	Groundwater parameter table containing bucket model parameters for each basin	WRF-Hydro GIS pre-processing toolkit	When the baseflow bucket model is turned on
LAKEPARM.nc	Lake parameter table containing lake model parameters for each catchment	WRF-Hydro GIS pre-processing toolkit	When lake and reservoir routing is turned on
HYDRO.TBL	Parameter table for lateral flow routing within WRF-Hydro. In the HYDRO.TBL file parameters are specified by land cover type or soil category	template/HYDRO directory in the model code	Yes
HYDRO_MODIS.TBL	Version of HYDRO.TBL using MODIS land use categories rather than USGS. (Change name to HYDRO.TBL for use.)	template/HYDRO directory in the model code	Replacement for HYDRO.TBL when using MODIS land use categories
CHANPARM.TBL	Parameters for gridded channel routing scheme. Parameters are specified by Strahler stream order	template/HYDRO directory in the model code	When gridded routing is used
hydro2dtbl.nc	Spatially distributed netCDF version of HYDRO.TBL	create_SoilProperties.R script (will also be automatically generated by WRF-Hydro)	When using spatially distributed terrain routing parameters
spatialweights.nc	Spatial weight file used to map fluxes to catchment objects	distributed with NWM domain files	When using user defined mapping

### 5.3 Description of land surface model and lateral routing parameter files

Parameters for the Noah and Noah-MP land surface models as well as for the lateral routing component are specified via a collection of text files (i.e. parameter tables) denoted by the file suffix TBL. Default parameter tables for the Noah and Noah-MP models are included in the WRF-Hydro source code within the directory structure for their respective land model and the appropriate files are automatically moved to the Run directory upon building the model.

The Noah land surface model requires three parameter table files. The first of these is the general parameter table or GENPARM. TBL. This file contains a number of global parameters for the Noah land surface model. The next is the vegetation parameter table or VEGPARM. TBL. This file contains parameters that are a function of land cover type. The final table is the soil parameter table or SOILPARM. TBL. This parameter table contains parameters that are assigned based upon the soil classification. The variables contained within these files are described in the Appendix A6.

The Noah-MP land surface model requires three parameter table files. The first of these is the general parameter table or GENPARM. TBL. This file contains a number of global parameters for the Noah-MP land surface model. The next is the soil parameter table or SOILPARM. TBL. This parameter table contains parameters that are assigned based upon the soil classification. The final table is the MPTABLE. TBL. This file contains parameters that are a function of land cover type. The variables contained within these files are described in Appendix A7.

As part of work conducted for the National Water Model implementation, the ability to specify a number of these land surface model parameters spatially on a two or three dimensional grid was introduced. This is done through the use of the compile time option SPATIAL\_SOIL and the specification of a netCDF format parameter file with the default filename soil\_properties.nc. A list of the variables contained in this file is included in Appendix A7.

**Table 5.2** Parameter tables for the Noah land surface model. These parameter tables can be found within the land surface model source code Run directory and will be copied over the WRF-Hydro Run directory when the compile script for this LSM is run.

Filename	Description	Required
GENPARM.TBL	Miscellaneous model parameters that are applied globally	Yes
VEGPARM.TBL	Vegetation parameters indexed by land use / land cover categories	Yes
SOILPARM.TBL	Soil parameters indexed by soil texture classes	Yes

**Table 5.3** Parameter tables for the Noah-MP land surface model. These parameter tables can be found within the land surface model source code Run directory and will be copied over to the WRF-Hydro Run directory when the compile script for this LSM is run.

Filename	Description	Required
GENPARM.TBL	Miscellaneous model parameters that are applied globally	Yes
MPTABLE.TBL	Vegetation parameters indexed by land use / land cover categories	Yes
SOILPARM.TBL	Soil parameters indexed by soil texture classes	Yes
soil_properties.nc	NetCDF file with spatially distributed land surface model parameters used when WRF-Hydro is compiled with SPATIAL_SOIL=1. This allows the user to specify parameters on the model grid rather than as a single value or function of soil or land cover type.  This file is created by the create_SoilProperties.R script	No

Parameters for the lateral routing component of WRF-Hydro are specified in a similar way via the HYDRO.TBL file or the hydro2dtbl.nc file. This file is also distributed with the WRF-Hydro source code in the templates/HYDRO directory and is copied over to the Run directory upon

building the model. There is also an additional HYDRO\_MODIS.TBL file for those using the MODIS land cover classification scheme.

The HYDRO. TBL parameter table file contains 2 parts. The first part contains the Manning's roughness coefficients for overland flow as a function of the USGS vegetation types as that data is used in the Noah land surface model. The roughness values are strictly indexed to the USGS vegetation classes so that if one wanted to use a different vegetation index dataset (e.g. the MODIS/IGBP option in the Noah land surface model) a user would need to remap these roughness values to those new vegetation indices. Users can alter the values of overland flow roughness here for a given vegetation type. However, users may also 'scale' these initial values of roughness by changing the gridded values of the overland flow roughness scaling factor (OVROUGHRTFAC) that are contained within the high resolution routing data netCDF file. Because hydrological models are often calibrated over a particular region or watershed as opposed to a specific vegetation type it is recommended that users modify the OVROUGHRTFAC scaling factor as opposed to altering the roughness values in HYDRO. TBL.

The second part of the HYDRO. TBL parameter table contains several soil hydraulic parameters that are classified as functions of soil type. These soil parameters are copied from the SOILPARM. TBL parameter table from the Noah land surface model. They are provided in HYDRO. TBL to allow the user to modify those parameters as needed during model calibration activities without modifying the SOILPARM. TBL file and thus is just done for convenience. In effect, when routing options in WRF-Hydro are activated the code will read the soil hydraulic parameters from HYDRO. TBL. If the Noah land surface model is run within WRF-Hydro without any of the routing options active, the code will simply use the parameter values specific in HYDRO. TBL.

The hydro2dtbl.nc is a spatially distributed netCDF file version of the HYDRO.TBL parameter table. This netCDF file can be created via the create\_SoilProperties.R script distributed on the WRF-Hydro website (https://ral.ucar.edu/projects/wrf\_hydro) or will automatically be generated by the model from the HYDRO.TBL if the filename specified in the hydro.namelist does not already exist. See Appendix A8 for further explanation of the variables in the HYDRO.TBL and hydro2dtbl.nc files.

#### 5.3.1 Spatially distributed parameter files

In version 5.0.0 of WRF-Hydro we now allow for the specification of a number of spatially distributed land surface model and / or lateral routing parameters in netCDF input files soil\_properties.nc and hydro2dtbl.nc. This option was implemented as part of work conducted for the National Water Model and allows the user to specify parameters on the model grid rather than as a single value or function of soil or land cover type. The files can be generated via an R script provided on our website (create\_SoilProperties.R) and are described in more detail in Appendices A7and A8. In order for the model to read in the soil\_properties.nc file the SPATIAL\_SOIL environment variable must be set to 1 at compile time. This option gives users more flexibility in the specification of land surface model parameters and is particularly useful in the context of calibration and parameter regionalization.

### 5.4 Description of channel routing parameter files

Channel parameters for WRF-Hydro are specified in one of two files. If the model is configured using gridded channel routing these parameters will be stored in CHANPARM. TBL. If the model is configured

using reach based routing (including the NWM configuration) the parameters and channel geometry are specified within the <code>Route\_Link.nc</code> file generated by the WRF-Hydro GIS Pre-processing Toolkit. Variables of the <code>CHANPARM.TBL</code> and <code>Route\_Link.nc</code> files are described in Appendix A9.

It is important to keep in mind that there is large uncertainty associated with these parameters. Therefore, model calibration is almost always warranted. Also, because fully-distributed estimates of flow depth (HLINK) are not available for model initialization, it is almost always necessary to use a small initial value of HLINK and let the model come to its own equilibrium (i.e. "spin-up") after several hours of integration. The necessary time required to spin up the channel network is a direct function of how dense and long your channel network is. Larger, more dense networks will take substantially longer to spin up. Estimates of total travel time from the furthest channel element to the basin outline are a reasonable initial approximation of the time it will take to spin up the channel elements.

### 5.5 Description of groundwater input and parameter files

Depending upon the choice of channel configuration groundwater input and parameter files are specified in slightly different ways. For the National Water Model (NWM) implementation of the model where user defined mapping is active the <code>spatialweights.nc</code> file is used to map gridded fluxes to the appropriate catchments, the spatial unit of the NWM groundwater bucket model. In other configurations of the model where user defined mapping is not used, grid-based groundwater basins are defined in the <code>GWBASINS.nc</code> netCDF file. The contents of these files are described in Appendix A10. Groundwater bucket model parameters are assigned via the <code>GWBUCKPARM.nc</code> file for all configurations. The contents of these files are also summarized in Appendix A10 and like the groundwater basins files these files are produced by the <code>WRF-Hydro GIS Pre-processing Toolkit</code>. Note that global default parameters are prescribed when these files are generated so user adjustments and/or calibration are recommended.

## 5.6 Description of lake and reservoir parameter tables

Lake parameter values are specified for each one of the lake objects. Typically, baseline parameters are derived within the high-resolution terrain preprocessing stages described above using tools such as ArcGIS (e.g. LkArea, LkMxE). Values for the weir and orifice coefficients and sizes can be drawn from standard engineering hydraulics textbooks (e.g. Chow et al., 1957) and calibrated based on lake level performance. Weir parameters are specified for reservoir "overflow" or "spill" and orifice parameters are specified for design operations. The behavior of the reservoir to store and release water is highly dependent on these parameters and therefore it is highly recommended that the user modify this file with their own set of parameters beyond the default given in the WRF-Hydro GIS Pre-processing Toolkit. See Appendix A12 for descriptions of the variables within the LAKEPARM. nc file.

54

### 5.7 Specification of meteorological forcing data

Modern land surface hydrology models, including WRF-Hydro, require meteorological forcing data to simulate land-atmosphere exchanges and terrestrial hydrologic processes when uncoupled to atmospheric modeling systems. Most land models require a similar set of input variables with some variation in terms of the units, spectral bandwidths of radiation, handling of precipitation phase, etc. Most commonly these variables include: incoming short and longwave radiation, humidity, temperature, pressure, wind speed and precipitation. The required variables for the Noah and Noah-MP land surface models supported in version 5.0.0 of WRF-Hydro are listed in Table 5.4. These variables names, units, and several of the forcing data file format options described below are borrowed from the High-Resolution Land Data Assimilation System (HRLDAS), an offline driver for Noah land surface models. When WRF-Hydro is coupled into other modeling architectures such as the NASA Land Information System (LIS), these systems will set the requirements for the forcing data.

**Table 5.4** Input forcing data for the Noah and Noah-MP land surface models

Variable name	Description	Units
SWDOWN	Incoming shortwave radiation	$W/m^2$
LWDOWN	Incoming longwave radiation	$W/m^2$
Q2D	Specific humidity	kg/kg
T2D	Air temperature	K
PSFC	Surface pressure	Pa
U2D	Near surface wind in the u-component	m/s
V2D	Near surface wind in the v-component	m/s
RAINRATE	Precipitation rate	mm/s or kg/m <sup>2</sup> /s

Here we simply describe the requirements and options that are available in the standalone version of WRF-Hydro. Presently, there are 7 forcing data input types in WRF-Hydro. Because it is untenable to support a large variety of input file formats and data types within the model, WRF-Hydro requires that most processing of forcing data be handled external to the model (i.e. as a "pre-process") and that users put their forcing data into one of the required formats. This includes performing tasks like, gridding of station observations, making sure forcing data is gridded to match the domain grid and has the correct variable names and units (see Table 5.4), reformatting data into the prescribed netCDF format, etc. To facilitate these pre-processing activities we have developed numerous scripts which can be executed to help in the forcing data preparation process. These scripts along with sample data files are distributed on the WRF-Hydro website.

The input forcing data type is specified in the land surface model namelist file namelist.hrldas by modifying the 'FORC TYP' namelist option.

Model forcing type namelist options are specified as follows:

- 1=HRLDAS-hr format
- 2=HRLDAS-min format
- 3=WRF output
- 4=Idealized
- 5=Idealized with specified precipitation
- 6=HRLDAS-hr format with specified precipitation
- 7=WRF output with specified precipitation

- 1 HRLDAS hourly format input files: This option requires meteorological forcing data to be provided in the HRLDAS hourly forcing data format. Scripts provided on the WRF-Hydro website will generate files in this format. Forcing files in this format can also be found in the example cases. In this format, gridded forcing data for all meteorological forcing variables with the names and units shown in Table 5.4 are included in a single netCDF file for each time step. The forcing data grids must match the model grid specified in the geo\_em.d0x.nc "geogrid" file. Filenames must conform to the following convention: YYYYMMDDHH.LDASIN DOMAINX
- 2 HRLDAS minute format input files: This option requires meteorological forcing data to be provided in the HRLDAS minute forcing data format. Like the HRLDAS hourly format, this standard is borrowed from the HRLDAS modeling system. However, this format allows for the specification of forcing data at more frequent time intervals (up to every minute as specified by the forcing time step in the namelist.hrldas file). In this format, gridded forcing data for all meteorological forcing variables with the names and units shown in Table 5.4 are included in a single netCDF file for each time step. The forcing data grids must match the model grid specified in the geo\_em.d0x.nc file. Filenames must conform to the following convention: YYYYMMDDHHmm.LDASIN DOMAINX
- 3 WRF output files as input to WRF-Hydro: This option allows for meteorological forcing data to be read directly from a WRF model output file "wrfout" file so long as the WRF model grid is the same as that for WRF-Hydro. The WRF-Hydro code will not remap or spatially-subset the data in any way. All necessary fields are available in a default WRF output file but users should verify their existence if modifications have been made. These files must be written with only a single time step per file and retain the default filenames. The file naming convention for the wrfout file is wrfout d02 YYYY-MM-DD HH:MM:SS.
- 4 **Idealized forcing:** This option requires no input files. Instead a simple rainfall event is prescribed (i.e. "hardcoded") in the model. This event is a spatially uniform 25.4 mm/hr (1 in/hr) for 1 hour duration over the first hour of the model simulation. The remainder of the forcing variables are set to have either constant values (in space and time) or, in the case of temperature and radiation variables, a fixed diurnal cycle (see Table 5.5). This option is primarily used for simple testing of the model and is convenient for checking whether or not components besides the forcing data are properly being read into the model and working. Future versions of WRF-Hydro will allow the user to specify values for the precipitation event and the other meteorological variables. Note that this forcing type requires the user-specified FORCING\_TIMESTEP namelist parameter to be set to 3600 (1 hr) in the namelist.hrldas file.

**Table 5.5.** Description of idealized forcing

Variable name	Prescribed value or range of values	Timing
SWDOWN	0 - 900 [W/m <sup>2</sup> ]	Diurnal cycle
LWDOWN	375 - 425 [W/m <sup>2</sup> ]	Diurnal cycle
Q2D	0.01 [kg/kg]	Constant
T2D	287 - 293 [K]	Diurnal cycle
PSFC	100,000 [Pa]	Constant
U2D	1.0 [m/s]	Constant
V2D	1.0 [m/s]	Constant
RAINRATE	25.4 [mm/s or kg/m <sup>2</sup> ]	For first hourly time step and zero thereafter

- **5**—**Idealized forcing with specified precipitation:** This option is identical to forcing type 4 with the exception that the WRF-Hydro system will look for user provided supplementary precipitation files. These supplementary precipitation files are netCDF files containing a single gridded field with either the name "precip" and units of mm or "precip\_rate" with unit a unit of mm/s. When using this forcing type, the WRF-Hydro system will look for a new precipitation input file based on the user-specified FORCING\_TIMESTEP namelist option set in the namelist.hrldas file. Scripts provided on the WRF-Hydro website will generate files in this format (specifically the MRMS regridding scripts). Forcing files in this format can also be found in the example test cases. Filenames for supplemental precipitation files must conform to this convention: YYYYMMDDHHMM. PRECIP FORCING.nc.
- 6-HRLDAS hourly format input files with specified precipitation: This option is identical to forcing type 1 with the exception that the WRF-Hydro system will also look for user provided supplementary precipitation files. These supplementary precipitation files are netCDF files containing a single gridded field with either the name "precip" and units of mm or "precip\_rate" with unit a unit of mm/s. When using this forcing type, the WRF-Hydro system will look for a new precipitation input file based on the user-specified FORCING\_TIMESTEP namelist option set in the namelist.hrldas file. Scripts provided on the WRF-Hydro website will generate files in this format (specifically the MRMS regridding scripts). Forcing files in this format can also be found in the example test cases. Filenames for supplemental precipitation files must conform to this convention: YYYYMMDDHHMM. PRECIP\_FORCING.nc.

This option is useful when combining atmospheric analyses from reanalysis products or other models with a separate analysis of precipitation (e.g. a gridded gauge product, radar QPE, nowcasts, satellite QPE, etc). The model reads in the meteorological forcing data fields on each hour and then holds those values constant for the entire hour. Precipitation can be read in more frequently based on the user-specified FORCING\_TIMESTEP namelist parameter in the namelist.hrldas file. For example, the user can have 'hourly' meteorology with '5-minute' precipitation analyses.

7 – WRF output files as input to WRF-Hydro with specified precipitation: This option is identical to forcing type 3 with the exception that the WRF-Hydro system will also look for user provided supplementary precipitation files. These supplementary precipitation files are netCDF files containing a single gridded field with either the name "precip" and units of mm or "precip\_rate" with unit a unit of mm/s. When using this forcing type, the WRF-Hydro system will look for a new precipitation input file based on the user-specified FORCING\_TIMESTEP namelist option set in the namelist.hrldas file. Scripts provided on the WRF-Hydro website will generate files in this format (specifically the MRMS regridding scripts). Forcing files in this format can also be found in the example test cases. Filenames for supplemental precipitation files must conform to this convention: YYYYMMDDHHMM. PRECIP FORCING.nc.

This option is useful when combining forcing data from WRF with a separate analysis of precipitation (e.g. a gridded gauge product, radar QPE, nowcasts, satellite QPE, etc). The model reads in the meteorological forcing data fields from the WRF output file and then holds those values constant until the next file is available. Precipitation can be read in more frequently based on the user-specified FORCING\_TIMESTEP namelist parameter in the namelist.hrldas file. For example, the user can have 'hourly' meteorology with '5-minute' precipitation analyses.

### 6. Description of Output Files from WRF-Hydro

This chapter describes the output files from Version 5.0 of WRF-Hydro.

The user has several options to allow flexibility when outputting from the WRF-Hydro modeling system. All of the options to control outputs are located in the hydro.namelist file that the user edits prior to running a simulation. Prior to turning specific file options on, there are a few high-level namelist options (flags) that help control the quantity of variables each file will produce, along with some flexibility on the level of compression files contain.

**io\_form\_outputs:** This flag directs the output to utilize optional internal netCDF compression and the use of optional scale\_factor/add\_offset attributes to pack variables from floating point to integer. However, the user also has flexibility to turn these optional features off. For additional information on these "packing" attributes, consult the netCDF documentation for a more in-depth explanation (<a href="http://www.unidata.ucar.edu/software/netcdf/docs/index.html">http://www.unidata.ucar.edu/software/netcdf/docs/index.html</a>). It should be noted that the use of internal compression adds time to output files being produced. This may become costly for large-scale modeling applications. Tests have indicated a cost of 15-25% additional time spent producing output variables when internal netCDF compression is utilized, depending on the number of output files being produced. However, without the use of compression, it is possible file sizes could become large depending on the application. It is also important to note that a value of '0' will result in the code deferring to old output routines used in version 3.0 of WRF-Hydro. For these outputs, the user is encouraged to read the documentation for that version of the code. The following values for the io\_form\_outputs option are available:

- 0 Defer to old output routines for version 3.0 of WRF-Hydro (NOTE: this is the ONLY option that is supported when running with the Noah LSM)
- 1 Utilize internal netCDF compression in conjunction with scale\_factor/add\_offset byte packing
- 2 Utilize scale factor/add offset byte packing without internal netCDF compression
- 3 Utilize internal netCDF compression without scale factor/add offset byte packing.
- 4 No internal netCDF compression and no scale factor/add offset byte packing.

**io\_config\_outputs:** This flag offers different sets of output variables for each file. This offers the user some flexibility to the number of output variables being produced. NOTE: This flag has no effect when io form outputs = 0.

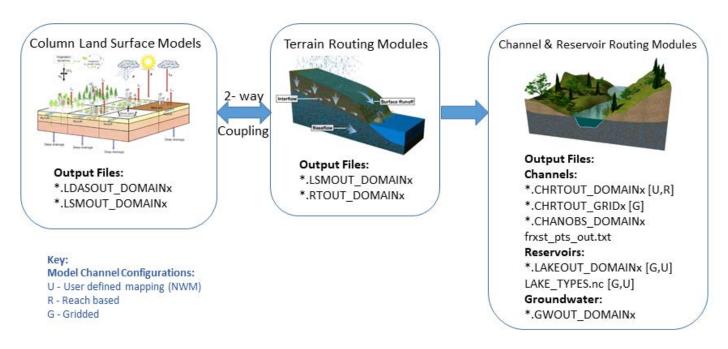
**t0OutputFlag:** This flag controls if output files are produced on the initial timestep of the model simulation. It is important to note some variables are initialized to missing values and may translate to missing values in the output files for the initial time step. However, these files may offer useful information to the user for diagnosing purposes.

**output\_channelBucket\_influx:** This flag controls the creation of output variables specific to running a channel-only configuration of the model. These variables provide useful information on flow coming into channel links located in the simulation domain, which can be used for diagnosing purposes. Note: this value must be zero for running a gridded channel routing configuration of the model.

An overview of available model output files is shown in Figure 6.1. For a detailed table of each variable contained within each output file, see the *WRF-Hydro Output Variable Matrix V5* located on our website (https://ral.ucar.edu/projects/wrf\_hydro) for details. There is no optimal combination of namelist options

to use for outputs. Flexibility was given to the user as end applications will vary from one user to another. While a combination of many output variables with compression may work for a one-time model simulation, having fewer variables with less time spent on compression may be more suitable for a user that is operations driven. Future code upgrades will allow further flexibility on the exact variables to output for each file.

#### WRF-Hydro Physics Components - Output Files



**Figure 6.1** WRF-Hydro output files organized by model physics component. See the Key for files specific to a certain channel configuration.

Please note a proper land spatial metadata file is highly encouraged when producing land surface output from the simulations. This file is specified by the LAND\_SPATIAL\_META\_FLNM option in the hydro.namelist file. This file contains several geospatial variables and attributes which are translated to output files that meet CF compliance (http://cfconventions.org/). This file can be created using the WRF-Hydro GIS Pre-processing Toolkit associated with this release. For gridded output files, coordinate variable data and attributes are used from the spatial metadata file for the output variables. Additionally, geospatial attributes, which can help the user display data in GIS applications are located within the metadata file. These attributes translate to the output files during the output creation process. For the 2D high resolution routing output files (RT\_DOMAIN, CHRTOUT\_GRID), geospatial attributes and coordinate variables are translated from the Fulldom\_hires.nc file if they are detected. For point output files (CHRTOUT\_GRID, CHANOBS\_DOMAIN, LAKEOUT\_DOMAIN), the geospatial attributes and coordinate variables have been hard-coded to be latitude and longitude for this version of the code.

Each output file will potentially contain some set of attributes and variables that contain temporal and geospatial information useful to the user. Again, it is worth noting that the lack of a land spatial metadata file, or proper attributes in the Fulldom\_hires.nc file will result in a less comprehensive output file in terms of metadata. Each output file will contain a time dimension and variable that specifies the number of timesteps located in the output file, along with a numeric value for each timestep

in the form of minutes since EPOCH. A reference\_time dimension (usually 1 in dimension size) and variable exist. This variable will contain the model initialization in minutes since EPOCH.

Gridded output files will contain an x and y coordinate dimension and variable that will contain the center-point coordinate values for either the routing grid, or land surface grid in the model projected space. For example, on a Lambert Conformal modeling domain, these values would be in meters. Gridded output files will also contain a "CRS" variable, which contains useful geospatial metadata attributes about the modeling domain. Output files for points, river channel links, or lakes will contain latitude, longitude, and elevation variables to offer metadata about each location in the output file.

Additionally, output files at points will contain a feature\_id variable that will list either a global ID value associated with that point, or a predefined ID value extracted from an input file. For example, with 2D gridded channel routing, each channel pixel cell has an ID value that ranges from 1-*n* where *n* is the global number of channel pixel cells. However, with reach-based routing, each channel reach may have a predefined link ID value specified via the Route\_Link.nc file. All files contain model\_initialization\_time and model\_output\_valid\_time character attributes to offer additional time information about the output file. For files that were produced with io\_form\_outputs options of 1 or 2, standard netCDF variable attributes scale\_factor and add\_offset are present to help users and netCDF APIs unpack integer data back to floating point for visualization and analysis. For a more in-depth description of netCDF CF compliant output, please visit <a href="http://cfconventions.org">http://cfconventions.org</a>.

Two output files that do not necessarily follow the above mentioned format will be the groundwater output (GWOUT\_DOMAIN) file and frxst\_pts\_out.txt text file. Groundwater output are representative of a spatial region, as opposed to points or fixed pixel cells. Future code upgrades will attempt to incorporate additional spatial information about groundwater buckets. The frxst\_pts\_out.txt text file is a simple ASCII text file, not netCDF.

The following output files are available to the user, depending on their run configuration:

- 1. Land surface model output
- 2. Land surface diagnostic output
- 3. Streamflow output at all channel reaches/cells
- 4. Streamflow output at forecast points or gage reaches/cells
- 5. Streamflow on the 2D high resolution routing grid (gridded channel routing only)
- 6. Terrain routing variables on the 2D high resolution routing grid
- 7. Lake output variables
- 8. Ground water output variables
- 9. A text file of streamflow output at either forecast points or gage locations (frxst pts out.txt)

The output files will be described below.

File naming convention of output files: YYYY = year, MM = month, DD = day, HH = hour, MM = minutes, DOMAINX = the domain number that is specified in the hydro.namelist input file (also matches the domain number of the geogrid input file)

#### 1. Land surface model output

(YYYYMMDDHHMM.LDASOUT DOMAINX)

For this output file, land surface model variables are written to a multi-dimensional netCDF file. Output is produced on the land surface grid, most variables coming directly from the land surface model. The x and y dimensions of the output file match those of the geogrid input file and the land spatial metadata file. The "soil\_layers\_stag" and "snow\_layers" dimensions specify the number of soil and snow layers being produced by the land surface model. The names and definitions for each output variable in the LSM output file are generally consistent with those output from standard Noah or Noah-MP LSM coupled to WRF. The output frequency of this file is dictated OUTPUT\_TIMESTEP specified in namelist.hrldas.

#### 2. Land surface diagnostic output

(YYYYMMDDHHMM.LSMOUT DOMAINX)

Variables for this output file will not change with varying "io\_config\_outputs" as there is a limited set of land surface states produced for this output file. In general, the user will not desire this output file as the regular land surface output files contain a larger amount of land surface output. However, for examining model state and flux passing between the LSM and the routing routines, this file could contain potentially valuable information that would assist in those efforts. Some of these states include soil moisture, soil temperature, infiltration excess, and surface head. Like the land surface output files, output variables in this output file will match the land surface grid. The output frequency of this file is dictated by OUTPUT TIMESTEP specified in namelist.hrldas.

#### 3. Streamflow output at all channel reaches/cells

(YYYYMMDDHHMM.CHRTOUT DOMAINX)

The CHRTOUT\_DOMAIN option in the hydro.namelist is used to activate this output. This output file will produce a set of streamflow (and related) variables for each channel location in the modeling domain. For 2D gridded routing on the channel network, this is every pixel cell on the high-resolution modeling domain classified as a channel pixel cell. For reach-based routing, this is every channel reach defined in the Route\_Link.nc file. If the user desires to limit the number of streamflow points, the "order\_to\_write" option in hydro.namelist will reduce the number of points based on the strahler order number. Otherwise, all points will be outputted to the file. Each file will contain a 'latitude', 'longitude', 'elevation', and 'order' variable to describe basic information on each channel point. The CRS projection variable has been hard-coded (as it is with all other point output files) as the coordinate variables for point files are latitude/longitude.

### 4. Streamflow output at forecast points or gage reaches/cells

(YYYYMMDDHHMM.CHANOBS DOMAINX)

The CHANOBS\_DOMAIN option in the hydro.namelist is used to activate this output. This output file is very similar to the regular streamflow output file format. The key difference is output only occurs at pre-defined forecast points or gage locations. For 2D gridded channel routing, the user defines forecast points during the setup of their modeling domain. Under this configuration, streamflow will be produced at those points. It is worth noting output points can be constrained by the 'order\_to\_write' as they are in the regular streamflow output files. For reach-based routing, it is possible to create outputs at a set of predefined gage points in the Route\_Link.nc file. Within the Route\_Link.nc file, a variable called 'gages' of type character will need to be created by the user containing a string for each

channel reach that contains a gage. This variable is of length 'feature\_id' (see description of the Route\_Link.nc file in Appendix A9), and size 15. If a channel reach does not contain a gage, the string stays empty. For example, " "would represent a channel reach with no gage, and " 07124000" would contain a gage labeled "07124000". It is up to the user to create this variable and populate it with character strings if there is a desire to connect gage locations to channel reaches. If no locations are found, the output code will simply bypass creating this output file. Like the other point files, similar geospatial information will be placed into the output files.

### 5. Streamflow on the 2D high resolution routing grid

(YYYYMMDDHHMM.CHRTOUT\_GRIDX)

The CHRTOUT\_GRID option in the hydro.namelist is used to activate this output. This output file is a 2D file created from streamflow with 2D gridded channel routing. Currently, this file is not available for reach-based routing as channel routing does not occur on the channel grid. Output occurs on the high resolution channel routing grid, which means file sizes may be large depending on the size of your domain. In addition to geospatial metadata and coordinate variables, an "index" variable is created on the 2D grid producing a global index value for each channel pixel cell on the grid. The main motivation behind creating this file is for easy spatial visualization of the streamflow occurring across the modeling domain.

### 6. Terrain routing variables on the 2D high resolution routing grid

(YYYYMMDDHHMM.RTOUT DOMAINX)

The RTOUT\_DOMAIN option in the hydro.namelist is used to activate this output. This output file is a 2D file created on the high resolution routing grid. The primary variables created for this file are overland and subsurface routing components that may be of interest to the user. The format is very similar to the 2D streamflow file. Due to the shear size of these data layers, care should be used in deciding when to output high-resolution terrain data.

#### 7. Lake output variables

(YYYYMMDDHHMM.LAKEOUT DOMAINX)

The "outlake" option in the hydro.namelist will activate this output.

This file is a point output file containing lake/reservoir inflow, outflow and elevation values for each lake/reservoir object created in the modeling domain. The format follows that of the other point output files in terms of geospatial metadata. If no lake/reservoir objects were created in the modeling domain, no output will be created.

#### 8. Ground water output variables

(YYYYMMDDHHMM.GWOUT DOMAINX)

The "output\_gw" option in the hydro.namelist will activate this output. When groundwater buckets are activated in the model simulations, it is possible to output bucket inflow/outflow/depth states via netCDF files. One important note to reiterate for these output files is that they will not contain the same geospatial metadata as other point files. Each element in the output array represents a spatial groundwater bucket that covers a region that is neither a single pixel cell or point on the modeling domain. For these reasons, this is the only netCDF output file that will not contain full geospatial metadata and coordinate variables.

#### 9. 'frxst pts out.txt'

The "frxst pts out" option in the hydro.namelist will activate this output.

The forecast points text file is a unique output file that distills modeled streamflow and stage down to a simple text file with the following columns:

- column 1 : time (in seconds) into simulation
- column 2 : date and time as YYYY-MM-DD HH:MM:SS
- column 3 : station number index (same as feature\_id in netCDF files)
- column 4 : station longitude (in decimal degrees)
- column 5 : station latitude (in decimal degrees)
- column 6 : streamflow discharge (in cubic meters per second)
- column 7 : streamflow discharge (in cubic feet per second)
- column 8 : flow depth/river stage (in meters above channel bottom)

Each row in the text file is representative of a predefined forecast point (2D gridded channel routing only) or a gage point (reach-based routing). It is worth noting that the number of points will be reduced (as with CHANOBS and CHRTOUT) if the user specifies a higher 'order to write' namelist option.

Once output files are generated, the user should inspect the files using the "ncdump" netCDF utility for displaying contents of a netCDF file. With the exception of groundwater output files, the forecast points text file, and any files generated using "io\_form\_outputs" of 0, the user should see some baseline variables and attributes. A "crs" variable will be present indicating the projection coordinate system for the output files. If these files are missing in the 2D files, it is possible the Fulldom\_hires.nc or land spatial metadata file does not contain the necessary 'crs' variable. The same logic can be applied to the 'x' and 'y' coordinate variables in the 2D output files. The omission of these indicates they were not present in the input files prior to running the model. For additional help indicating potential issues with the output code, please inspect the standard output from the model. Specifically, look for any "WARNING" messages that may indicate why files have not appeared or metadata is missing. For example, "WARNING: Unable to locate the crs variable. No crs variable or attributes will be created." would indicate the model was unable to locate the 'crs' variable in one of the input files.

#### **Additional Notes:**

- The output descriptions above may not be fully accurate when running with the Noah LSM, which is not actively in development and we therefore support only in a deprecated state. New and improved output routines (e.g., with CF compliance, scale/offset/compression options, augmented metadata) only work with the Noah-MP LSM, while the Noah LSM relies on deprecated output routines. See Appendix A2 for more details on running with the Noah LSM.
- For proper QGIS display of the 2D variables, the user will need to rename netCDF output files to include a ".nc" at the end as some versions of QGIS struggle to properly read in information from a netCDF file without this extension. Future upgrades will automatically add this file extension into the filenames.

#### REFERENCES

Below are cited references in an alphabetical listing by author.

Ball, J. T., I. E. Woodrow, and J. A. Berry (1987), A model predicting stomatal conductance and its contribution to the control of photosynthesis under different environmental conditions, in Process in Photosynthesis Research, vol. 1, edited by J. Biggins, pp. 221–234, Martinus Nijhoff, Dordrecht, Netherlands.

Bowling, L.C., D.P. Lettenmaier, B. Nijssen, L.P. Graham and co-authors, 2003: Simulation of high latitude hydrological processes in the Torne-Kalix basin: PILPS Phase 2(c) 1: Experiment description and summary intercomparisons. Global and Planet. Change

Bryan, F. O., B. G. Kauffman, W. G. Large, and P. R. Gent (1996). The NCAR CSM flux coupler, NCAR Tech. Note 424, 50 pp. [Available from NCAR, Boulder, CO 80307]

Chen, F., K.E. Mitchell, J. Schaake, Y. Xue, H.-L. Pan, V. Koren, Q.Y. Duan, M. Ek and A. Betts, 1996: Modeling of land-surface evaporation by four schemes and comparison with FIFE observations. J. Geophys. Res., 101, 7251-7268.

Chen, F., Z. Janic and K.E. Mitchell, 1997: Impact of atmospheric surface-layer parameterizations in the new land-surface scheme of the NCEP mesoscale Eta model. Bound.-Layer Meteorol., 85, 391-421.

Chen, F. and K.E. Mitchell, 1999: Using the GEWEX/ISLSCP forcing data to simulate global soil moisture fields and hydrological cycle for 1987-1988, J. Meteorol. Soc. Japan, 77, 167-182.

Dickinson, R. E., M. Shaikh, R. Bryant, and L. Graumlich (1998), Interactive canopies for a climate model, J. Clim., 11, 2823–2836, doi:10.1175/1520-0442.

Dirmeyer, P.A., A.J. Dolman, N. Sato, 1999: The pilot phase of the Global Soil Wetness Project. Bull. Am. Meteorol. Soc., 80(5), 851-878.

Ek, M.B., K.E. Mitchell, Y. Lin, E. Rogers, P. Grunmann, V. Koren, G. Gayno, and J.D. Tarpley, 2003: Implementation of Noah land surface model advances in the NCEP operational mesoscale Eta model. Submitted to J. Geophys. Res., Aug., 2003.

Gochis, D.J. and F. Chen, 2003: Hydrological enhancements to the community Noah land surface model. NCAR Technical Note, NCAR/TN-454+STR, 68 pgs.

Jones, P. W. (1999). First- and Second-Order Conservative Remapping Schemes for Grids in Spherical Coordinates, Monthly Weather Review, Volume 127, 2204-2210.

Julien, P.Y., B. Saghafian and F.L. Ogden, 1995: Raster-based hydrological modeling of spatially-varied surface runoff. Water Resour. Bull., AWRA, 31(3), 523-536.

Koren, V., J.C. Schaake, K.E. Mitchell, Q.Y. Duan, F. Chen and J. Baker, 1999: A parameterization of snowpack and frozen ground intended for NCEP weather and climate models. J. Geophys. Res., 104(D16), 19,569-19,585.

LDAS, 2003: Land Data Assimilation Systems (LDAS). World Wide Web Homepage. Available online at: http://ldas.gsfc.nasa.gov/

Mahrt, L. and H.-L. Pan, 1984: A two-layer model of soil hydrology. Bound.-Layer Meteorol., 29, 1-20, 1984.

Niu, G.-Y., et al. (2011), The community Noah land surface model with multiparameterization options (Noah-MP): 1. Model description and evaluation with local-scale measurements, J. Geophys. Res. 116, D12109, doi: 10.1029/2010JD015139.

Niu, G.-Y., and Z.-L. Yang (2004), The effects of canopy processes on snow surface energy and mass balances, J. Geophys. Res., 109, D23111, doi:10.1029/2004JD004884.

Niu, G.-Y., Z.-L. Yang, R. E. Dickinson, L. E. Gulden, and H. Su (2007), Development of a simple groundwater model for use in climate models and evaluation with Gravity Recovery and Climate Experiment data, J. Geophys. Res., 112, D07103, doi:10.1029/2006JD007522.

Ogden, F.L., 1997: CASC2D Reference Manual. Dept. of Civil and Evniron. Eng. U-37, U. Connecticut, 106 pp.

Pan, H.-L. and L. Mahrt, 1987: Interaction between soil hydrology and boundary-layer development, Bound.-Layer Meteorol., 38, 185-202.

Skamarock, W. C., J. B. Klemp, J. Dudhia, D. O. Gill, D. M. Barker, W. Wang, and J. G. Powers, 2005: A Description of the Advanced Research WRF Version 2. NCAR Technical Note NCAR/TN-468+STR, doi:10.5065/D6DZ069T.

Wigmosta, M.S. L.W. Vail and D.P. Lettenmaier, 1994: A distributed hydrology-vegetation model for complex terrain. Water Resour. Res., 30(6), 1665-1679.

Wigmosta, M.S. and D.P. Lettenmaier, 1999: A comparison of simplified methods for routing topographically driven subsurface flow. Water Resour. Res., 35(1), 255-264.

Wood, E.F., D.P. Lettenmaier, X. Kian, D. Lohmann, A. Boone, S. Chang, F.Chen, Y. Dai, R.E. Dickinson, Q. Duan, M. Ek, Y.M. Gusev, F. Habets, P. Irannejad, R. Koster, K.E. Mitchell, O.N. Nasonova, J. Noilhan, J. Schaake, A. Schlosser, Y. Shao, A.B. Shmakin, D. Verseghy, K. Warrach, P. Wetzel, Y. Xue, Z.-L. Yang, and Q.-C. Zeng, 1998: The project for intercomparison of land-surface parameterization schemes (PILPS) phase 2(c) Red-Arkansas river basin experiment: 1. Experiment description and summary intercomparisons, Global Planet. Change, 19, 115-135.

Yang, Z.-L., and G.-Y. Niu (2003), The versatile integrator of surface and atmosphere processes (VISA) part I: Model description, Global Planet. Change, 38, 175–189, doi:10.1016/S0921-8181(03)00028-6.

ESMF (Earth System Modeling Framework) https://www.earthsystemcog.org/projects/esmf/

IGBP\_MODIS\_BU+tundra Landcover Class Legend ftp://ftp.emc.ncep.noaa.gov/mmb/gcp/ldas/noahlsm/README

NCL (NCAR Command Language) https://www.ncl.ucar.edu/

CDO (Climate Data Operators) https://code.mpimet.mpg.de/projects/cdo/

#### **APPENDIX**

This section contains supplementary information.

- A1. Example of Dependency Installation for a Base Ubuntu Linux Distribution
- A2. Exceptions for Running WRF-Hydro with the Noah LSM
- A3. Noah HRLDAS model namelist description (namelist hrldas)
- A4. Noah-MP namelist hrldas File with Description of Options
- A5. WRF-Hydro hydro namelist File with Description of Options
- A6. Noah land surface model parameter tables
- A7. Noah-MP land surface model parameter tables
- A8. Terrain routing parameter files
- A9. Channel routing parameter tables (CHANPARM.TBL and Route\_Link.nc)
- A10. Groundwater input and parameter files
- A11. Spatial weights input file variable description
- A12 Lake and reservoir parameter tables (LAKEPARM.nc)
- A13. Restart File Overview
- A13.1 RESTART MP File Variable Table
- A14. HYDRO RST File Variable Table
- A15. Streamflow Nudging
- A16. National Water Model (NWM) Configuration

### A1. Example of Dependency Installation for a Base Ubuntu Linux Distribution

The example below uses the GNU compiler and MPICH libraries, and commands are issued as root user in the bash shell

```
###Get libraries available through apt-get
apt-get update
apt-get install -yg --no-install-recommends \
   wget \
   bzip2 \
   ca-certificates \
   libhdf5-dev \
   ofortran \
   m4 \
   make \
   libswitch-perl
apt-get clean
###Install MPICH
#Define variables used for retrieving and building MPICH
MPICH VERSION="3.2"
MPICH CONFIGURE OPTIONS="--disable-cxx"
MPICH MAKE OPTIONS=''
#Make a temp directory for the MPICH source code
mkdir /tmp/mpich-src
cd /tmp/mpich-src
#Download MPICH source code for specified version
wget http://www.mpich.org/static/downloads/${MPICH VERSION}/mpich-${MPICH VERSION}.tar.gz
tar xfz mpich-${MPICH VERSION}.tar.gz
cd mpich-${MPICH VERSION}
#Configure and build MPICH
./configure ${MPICH CONFIGURE OPTIONS}
make ${MPICH MAKE OPTIONS}
make install
rm -rf /tmp/mpich-src
###Install NETCDF-C (>=4.0)
#Define variables used for retrieving and building HDF5 and NETCDF
export H5DIR=/usr/lib/x86_64-linux-gnu/hdf5/serial
export NCDIR=/usr/local
NETCDF_C_VERSION="4.4.1.1"
#Download the NETCDF source code for specified version
wget ftp://ftp.unidata.ucar.edu/pub/netcdf/netcdf-${NETCDF C VERSION}.tar.gz -P /tmp
```

```
tar -xf /tmp/netcdf-${NETCDF_C_VERSION}.tar.gz
-C /tmp
cd /tmp/netcdf-${NETCDF C VERSION}
#Configure and build HDF5 and NETCDF4
CPPFLAGS=-I${H5DIR}/include
LDFLAGS=-L${H5DIR}/lib
./configure --prefix=/usr/local
cd /tmp/netcdf-${NETCDF C VERSION}
cd /tmp/netcdf-${NETCDF C VERSION}
make install
rm -rf /tmp/netcdf-${NETCDF C VERSION}
###Install NETCDF-FORTRAN (>=4.0),
###NETCDF-C must be installed first
#Define variables used for retrieving and building NETCDF
export NFDIR=/usr/local
export LD LIBRARY PATH=${NCDIR}/lib
NETCDF F VERSION="4.4.4"
#Download the NETCDF source code for specified version
ftp://ftp.unidata.ucar.edu/pub/netcdf/netcdf-fortran-${NETCDF F VERSION}.tar.gz
tar -xf
netcdf-fortran-${NETCDF F VERSION}.tar.gz
/tmp/netcdf-fortran-${NETCDF F VERSION}
#Configure and build NETCDF4
CPPFLAGS=-I$ {NCDIR} / include
LDFLAGS=-L${NCDIR}/lib
./configure --prefix=${NFDIR}
make
make install
cd /
rm -rf
/tmp/netcdf-fortran-${NETCDF F VERSION}
#Set NETCDF4 as environment variable
NETCDF=/usr/local
```

### A2. Exceptions for Running WRF-Hydro with the Noah LSM

Support for the Noah Land Surface Model (LSM) within WRF-Hydro is currently frozen at Noah version 3.6. Since the Noah LSM is not under active development by the community, WRF-Hydro is continuing to support Noah in deprecated mode only. Some new model features, such as the improved output routines, have not been setup to be backward compatible with Noah. Noah users should follow the guidelines below for adapting the WRF-Hydro workflow to work with Noah:

- LSM initialization: The simple wrfinput.nc initialization file created by the create\_Wrfinput.R script does not currently include all of the fields required by the Noah LSM. Therefore, Noah users should use the WRF real.exe utility to create a wrfinput\_d0x file. Refer to the WRF documentation and user guides for information on how to do this.
- **Time-varying vegetation specifications:** While the Noah LSM will be properly initialized with green vegetation fraction from the wrfinput file, there is currently no automated method to update this field over time (e.g., seasonally based on climatology). Therefore, Noah users will need to provide these time-varying fields in the model input forcing files (e.g., LDASIN).
- **Spatially varying parameters**: Spatially varying soil and vegetation parameters (e.g., soil properties.nc) are not supported in Noah.
- **Model outputs:** The updated output routines have not been adapted to work with Noah. Therefore, Noah users should always use io\_form\_outputs = 0 to activate the deprecated output routines. Scale/offset and compression options, CF compliance, augmented spatial metadata, etc. are not available in this deprecated mode.

### A3. Noah namelist.hrldas File with Description of Options

Below is an annotated namelist.hrldas file for running with the Noah land surface model. Notes and descriptions are indicated with <<-- and blue text.

```
&NOAHLSM OFFLINE
HRLDAS CONSTANTS FILE = "./DOMAIN/wrfinput d01" <-- Path to wrfinput file containing initialization
data for the LSM. This is required even for a warm start where a restart file is provided.
INDIR = "./FORCING" <<-- Path to atmospheric forcing data directory.
OUTDIR = "./" <<-- Generally leave this as-is (output goes to base run directory); redirected output only
applies to LSM output files and can cause issues when running coupled to WRF-Hydro.
START YEAR = 2013 <<-- Simulation start year
START MONTH = 09 <<-- Simulation start month
START DAY = 01 <<-- Simulation start day
START HOUR = 00 <<-- Simulation start hour
START MIN = 00 <<-- Simulation start min
RESTART FILENAME REQUESTED = "RESTART.2013090100 DOMAIN1" <<-- Path to LSM restart file if
using; this contains a "warm" model state from a previous model run. Comment if not a restart simulation.
! Specification of simulation length in days OR hours
KDAY = 1440 <<-- Number of days for simulation; can specify this OR KHOUR.
!KHOUR = 1 <<-- Number of hours for simulation; can specify this OR KDAY.
! Timesteps in units of seconds
FORCING TIMESTEP = 3600 <<-- Timestep for forcing input data (in seconds)
NOAH TIMESTEP = 3600 <<-- Timestep the LSM to cycle (in seconds)
OUTPUT TIMESTEP = 86400 <<-- Timestep for LSM outputs, LDASOUT (in seconds)
! Land surface model restart file write frequency
RESTART FREQUENCY HOURS = 6 <<-- Timestep for LSM restart files to be generated (in hours). A value of
-99999 will simply output restarts on the start of each month, useful for longer model runs. Restart files are
generally quite large, so be cognizant of storage space and runtime impacts when specifying.
! Split output after split output count output times.
SPLIT OUTPUT COUNT = 1 <<-- Number of timesteps to put in a single output file. This option must be 1 for
NWM output configurations.
! Soil layer specification
NSOIL=4 <<-- Number of soil layers
soil thick input(1) = 0.10 <<-- Thickness of top soil layer (m)
soil thick input(2) = 0.30 <<-- Thickness of second soil layer (m)</pre>
soil thick input(3) = 0.60 <<-- Thickness of third soil layer (m)
soil thick input(4) = 1.00 <<-- Thickness of bottom soil layer (m)</pre>
```

```
! Forcing data measurement heights

ZLVL = 10.0 <<-- Height of input temperature and humidity measurement/estimate

ZLVL_WIND = 10.0 <<-- Height of input wind speed measurement/estimate

IZOTLND = 0 <<-- Switch to control land thermal roughness length. Option 0 is the default, non-vegetation dependent value and option 1 introduces a vegetation dependence.

SFCDIF_OPTION = 0 <<-- Option to use the newer, option 1, or older, option 0, SFCDIF routine. The default value is 0.

UPDATE_SNOW_FROM_FORCING = .FALSE. <<-- Option to activate or deactivate updating the snowcover fields from available analyses. The default option is true.

!------ Section: Select atmospheric forcing input file format, FORC_TYP ------!
! Specification of forcing data: 1=HRLDAS-hr format,
! 2=HRLDAS-min format, 3=WRF, 4=Idealized, 5=Ideal w/ Spec.Precip.,
! 6=HRLDAS-hrl y fomat w/ Spec. Precip, 7=WRF w/ Spec. Precip
FORC_TYP = 3
```

### A4. Noah-MP namelist.hrldas File with Description of Options

https://github.com/NCAR/hrldas-release/blob/release/HRLDAS/run/README.namelist

```
&NOAHLSM OFFLINE
HRLDAS SETUP FILE = "./DOMAIN/wrfinput d01" <<-- Path to wrfinput file containing initialization data
for the LSM. This is required even for a warm start where a restart file is provided.
INDIR = "./FORCING" <<-- Path to atmospheric forcing data directory.
SPATIAL FILENAME = "./DOMAIN/soil properties.nc" <<-- Path to optional 2d/3d soil and
vegetation parameter file. If you are using this option, you must also use a binary compiled with
SPATIAL_SOIL=1. If using the traditional parameter lookup tables, compile with SPATIAL_SOIL=0 and
comment out this option.
OUTDIR = "./" <<-- Generally leave this as-is (output goes to base run directory); redirected output only
applies to LSM output files and can cause issues when running coupled to WRF-Hydro.
START YEAR = 2013 <--- Simulation start year
START MONTH = 09 <<-- Simulation start month
START DAY = 12 <--- Simulation start day
START HOUR = 04 <<-- Simulation start hour
START MIN = 00 <<-- Simulation start min
RESTART FILENAME REQUESTED = "RESTART.2013091204 DOMAIN1" <--- Path to LSM restart file if
using; this contains a "warm" model state from a previous model run. Comment if not a restart simulation.
! Specification of simulation length in days OR hours
KDAY = 1 <<-- Number of days for simulation; can specify this OR KHOUR.
!KHOUR = 8 <<-- Number of hours for simulation; can specify this OR KDAY.
! ------ Following Section: Noah-MP physics options -----!
! Physics options (see the documentation for details)
DYNAMIC VEG OPTION = 4
CANOPY STOMATAL RESISTANCE OPTION = 1
BTR OPTION = 1
RUNOFF OPTION = 3
SURFACE DRAG OPTION = 1
FROZEN SOIL OPTION = 1
SUPERCOOLED WATER OPTION = 1
RADIATIVE TRANSFER OPTION = 3
SNOW ALBEDO OPTION = 2
PCP PARTITION OPTION = 1
TBOT OPTION = 2
TEMP TIME SCHEME OPTION = 3
```

```
GLACIER OPTION = 2
SURFACE RESISTANCE OPTION = 4
! Timesteps in units of seconds
FORCING TIMESTEP = 3600 <<-- Timestep for forcing input data (in seconds)
NOAH TIMESTEP = 3600 <<-- Timestep the LSM to cycle (in seconds)
OUTPUT TIMESTEP = 86400 <<-- Timestep for LSM outputs, LDASOUT (in seconds)
! Land surface model restart file write frequency
RESTART FREQUENCY HOURS = 2 <<-- Timestep for LSM restart files to be generated (in hours). A value of
-99999 will simply output restarts on the start of each month, useful for longer model runs. Restart files are
generally quite large, so be cognizant of storage space and runtime impacts when specifying.
! Split output after split output count output times.
SPLIT OUTPUT COUNT = 1 <-- Number of timesteps to put in a single output file. This option must be 1 for
NWM output configurations.
! Soil layer specification
NSOIL=4 <<-- Number of soil layers
soil thick input(1) = 0.10 <<-- Thickness of top soil layer (m)
soil thick input(2) = 0.30 <<-- Thickness of second soil layer (m)</pre>
soil thick input(3) = 0.60 <<-- Thickness of third soil layer (m)
soil thick input(4) = 1.00 <<-- Thickness of bottom soil layer (m)
! Forcing data measurement height for winds, temp, humidity
ZLVL = 10.0 <<-- Height of input wind speed
! ------ Following Section: Restart IO file formats -----!
Options to specify whether restart files (both read in and output) should be in binary or netCDF format.
Generally recommend using netCDF format (option 0) for both. -->>
! Restart file format options
rst bi in = 0 ! 0: use netcdf input restart file
                    ! 1: use parallel io for reading multiple
                    ! restart files (1 per core)
rst bi out = 0    ! 0: use netcdf output restart file
                    ! 1: use parallel io for outputting multiple
                    ! restart files (1 per core)
&WRF HYDRO OFFLINE
! Specification of forcing data: 1=HRLDAS-hr format,
! 2=HRLDAS-min format, 3=WRF, 4=Idealized, 5=Ideal w/ Spec.Precip., ! 6=HRLDAS-hrl
y fomat w/ Spec. Precip,
! 7=WRF w/ Spec.Precip
FORC TYP = 1
```

### A5. WRF-Hydro hydro.namelist File with Description of Options

Below is an annotated hydro.namelist file. Annotations follow what is being described, indicated with <--- and blue text. Note that annotations describing options are meant to accompany the commented description in the namelist which precedes the option.

```
&HYDRO nlist
!!!! ------ SYSTEM COUPLING ----- !!!! <<-- Section
! Specify what is being coupled: 1=HRLDAS (offline Noah-LSM),
! 2=WRF, 3=NASA/LIS, 4=CLM
sys cpl = 1 <--- For offline runs, including Noah and NoahMP, this will be option 1.
!!!! ----- MODEL INPUT DATA FILES ----- !!!!! <<-- Section
! Specify land surface model gridded input data file
!(e.g.: "geo em.d01.nc")
GEO STATIC FLNM = "./DOMAIN/geo em.d01.nc" <<-- Path to the "GEOGRID" file which contains base
information on the LSM grid (this file is generally created via WPS in the model preprocessing steps).
! Specify the high-resolution routing terrain input data file (e.g.:
"Fulldom hires.nc")
GEO FINEGRID FLNM = "./DOMAIN/Fulldom hires.nc" <--- Path to the "routing stack" which contains
base information on the high-resolution routing grid. This file is generally created via the GIS pre-processing
tools.
! Specify the spatial hydro parameters file (e.g.: "hydro2dtbl.nc")
! If you specify a filename and the file does not exist, it will
!be created for you.
HYDROTBL F = "./DOMAIN/hydro2dtbl.nc" <<-- Path to the new 2d hydro parameters file. If this file does
not exist, it will be created for you based on HYDRO.TBL and the soil and land class grids found in the
GEOGRID netCDF file
! Specify spatial metadata file for land surface grid. (e.g.:
! "GEOGRID LDASOUT Spatial Metadata.nc")
LAND SPATIAL META FLNM = "./DOMAIN/GEOGRID LDASOUT Spatial Metadata.nc" <<-- Path to the
geospatial metadata file for your domain. This file is required if using any of the io_form_outputs options (i.e.,
io form outputs > 0). This file is generally created via the GIS pre-processing tools.
! Specify the name of the restart file if starting from
! restart...comment out with '!' if not...
RESTART FILE = 'HYDRO RST.2013-09-12 04:00 DOMAIN3' <-- Path to hydro restart file if using; this
contains a "warm" model state from a previous model run.
!!!! ----- MODEL SETUP OPTIONS ----- !!!! <<-- Section
! Specify the domain or nest number identifier...(integer)
IGRID = 1 <<-- Domain ID number. This comes from the WRF coupling framework and is intended to
specify which nested domain you are running. For standalone runs, this is not relevant HOWEVER this ID must
match the number specified after DOMAIN in your forcing file names (e.g., the "1" in
"2013091200.LDASIN_DOMAIN1").
! Specify the restart file write frequency... (minutes)
! A value of -99999 will output restarts on the first day
```

```
! of the month only.
rst dt = 120 <<-- Specify how often hydro restart files should be generated, in minutes. This should
generally track your LSM restart file frequency (as specified in namelist.hrldas). A value of -99999 will simply
output restarts on the start of each month, useful for longer model runs. Hydro restart files are generally quite
large, so be cognizant of storage space and runtime impacts when specifying.
! Reset the LSM soil states from the high-res routing restart
! file (1=overwrite, 0=no overwrite)
! NOTE: Only turn this option on if overland or subsurface
! routing is active!
rst typ = 1 <<-- Specify whether or not to use the soil conditions (soil moisture and ponded water) from the
high-resolution hydro restart file, if "warm" starting the model with a provided HYDRO_RST file. If this option is 0,
the LSM restart states will be used instead. IMPORTANT: If you are NOT running with terrain routing turned on,
do not set this option to 1 as it may bring in invalid values.
! Restart file format control <<-- Options to whether restart files (input and output separately) should
be in binary or netCDF format. Generally recommend using netCDF format (option 0) for both.
rst bi in = 0
                       !0: use netCDF input restart file (default)
                       !1: use parallel io for reading multiple restart
                           files, 1 per core
rst bi out = 0
                     !0: use netCDF output restart file (default)
                       !1: use parallel io for outputting multiple
                           restart files, 1 per core
! Restart switch to set restart accumulation variables to 0
! (0=no reset, 1=yes reset to 0.0)
RSTRT_SWC = 0 <<-- Specify whether or not to reset any accumulated output variables to 0 (option 1) or to
continue accumulating from the values in the hydro restart file (option 0). Note that this only applies to the
hydrologic model outputs; the LSM outputs will always continue to accumulate from the LSM restart file.
! Specify baseflow/bucket model initialization...
! (0=cold start from table, 1=restart file)
GW RESTART = 1 <<-- Specify whether to initialize the groundwater bucket states from the hydro restart file
(option 1) or "cold" start the bucket states from the parameter table, GWBUCKPARM.nc.
!!!! ----- MODEL OUTPUT CONTROL ----- !!!! <<-- Section
! Specify the output file write frequency... (minutes)
out dt = 60 <<-- Timestep for hydro model outputs, in minutes. This covers all output options listed below
(CHRTOUT, GWOUT, RTOUT, LAKEOUT, etc.) so be cognizant of impacts on disk space and runtime when
specifying.
! Specify the number of output times to be contained
! within each output history file...(integer)
! SET = 1 WHEN RUNNING CHANNEL ROUTING ONLY/CALIBRATION SIMS!!!
! SET = 1 WHEN RUNNING COUPLED TO WRF!!!
SPLIT OUTPUT COUNT = 1 <<-- Number of timesteps to put in a single output file.
! Specify the minimum stream order to output to netcdf
! point file...(integer)
! Note: lower value of stream order produces more output.
order to write = 4 <<-- Lowest stream order to include in output files. Selecting 1 gives you output for
every reach/channel cell, selecting a higher order number gives you fewer channel output elements.
```

```
! Flag to turn on/off new I/O routines:
! 0 = deprecated output routines (only use when running with the Noah LSM),
! 1 = with scale/offset/compression,
! 2 = with scale/offset/NO compression,
! 3 = compression only,
! 4 = no scale/offset/compression (default)
io form outputs = 1 <<-- Specify which output option to use (NOTE: option 0 is the only supported option
when running with the Noah LSM)
! Realtime run configuration option:
! 0=all (default), 1=analysis, 2=short-range, 3=medium-range,
! 4=long-range, 5=retrospective,
! 6=diagnostic (includes all of 1-4 outputs combined)
io config outputs = 1 <<-- Specify which configuration of output variables to generate (NOTE: not active
when io_form_outputs=0).
! Option to write output files at time 0 (restart cold start time):
! 0=no, 1=yes (default)
t00utputFlag = 1 <<-- Select whether or not to create outputs at the initial timestep.
! Options to output channel & bucket influxes. Only active
! for UDMP OPT=1.
! Nonzero choice requires that out dt above matches NOAH TIMESTEP in
! namelist.hrldas.
! 0=None (default), 1=channel influxes (qSfcLatRunoff, qBucket)
! 2=channel+bucket fluxes (qSfcLatRunoff, qBucket,
                               qBtmVertRunoff toBucket)
! 3=channel accumulations (accSfcLatRunoff, accBucket) *** NOT
! TESTED ***
output channelBucket influx = 0 <<-- Select which additional channel and groundwater bucket outputs
will be generated. These additional variables can be used to drive the channel-only model.
! Output netCDF file control <<-- Subsection: Specify which outputs to generate for the run.
CHRTOUT DOMAIN = 1
                               ! NetCDF point timeseries output at all
                               ! channel points (1d)
                               ! 0 = no output, 1 = output <<-- Channel output variables
(streamflow, velocity, head, etc.)
CHANOBS DOMAIN = 0
                               ! NetCDF point timeseries at forecast
                               ! points or gage points (defined in
                               ! Route Link.nc)
                               ! 0 = no output, 1 = output at forecast
                               ! points or gage points. <<-- Streamflow for forecast points
(gridded routing) or Route Link gages (reach routing) in netCDF format
CHRTOUT GRID = 0
                               ! NetCDF grid of channel
                               ! streamflow values (2d)
                               ! 0 = no output, 1 = output
                               ! NOTE: Not available with
                               ! reach-based routing <<-- Channel output variables on the 2D grid
(gridded channel routing only)
LSMOUT DOMAIN = 0
                               ! NetCDF grid of variables passed
                               ! between LSM and routing components
```

```
! (2d)
                                  ! 0 = no output, 1 = output
                                  ! NOTE: No scale factor/add offset
                                  ! available <<-- Variables passed between the routing code and the
LSM (generally used for diagnostics only)
RTOUT DOMAIN = 1
                                  ! NetCDF grid of terrain routing
                                  ! variables on routing grid (2d)
                                  ! 0 = no output, 1 = output <<-- Terrain variables on the
high-res grid; these files can be large
output gw = 1
                                  ! NetCDF GW output
                                  ! 0 = no output, 1 = output <<-- Groundwater bucket outputs
(level, inflow, outflow)
outlake = 1
                                  ! NetCDF grid of lake values (1d)
                                  ! 0 = no output, 1 = output <<-- Lake output variables if lakes
are included in the domain (level, inflow, outflow)
frxst pts out = 0
                                  ! ASCII text file of forecast points or
                                  ! gage points (defined in Route Link.nc)
                                  ! 0 = no output, 1 = output <<-- Streamflow for forecast points
(gridded routing) or Route Link gages (reach routing) in txt format
!!!! ---- PHYSICS OPTIONS AND RELATED SETTINGS ---- !!!! <<-- Section
! Specify the number of soil layers (integer) and the depth of the
! bottom of each layer... (meters)
! Notes: In Version 1 of WRF-Hydro these must be the same as in the
! namelist.input file.
! Future versions will permit this to be different.
NSOIL=4 <<-- Number of soil layers
ZSOIL8(1) = -0.10 <<-- Depth of bottom boundary of top soil layer in meters
ZSOIL8(2) = -0.40 <<-- Depth of bottom of second soil layer in meters (note that this is specified differently
than the namelist.hrldas: this is total depth from the surface instead of thickness)
ZSOIL8(3) = -1.00 <<-- Depth of bottom of third soil layer in meters (note that this is specified differently
than the namelist.hrldas; this is total depth from the surface instead of thickness)
ZSOIL8 (4) = -2.00 <<-- Depth of bottom of the last soil layer in meters (note that this is specified differently
than the namelist.hrldas; this is total depth from the surface instead of thickness)
! Specify the grid spacing of the terrain routing grid... (meters)
DXRT = 100.0 <<-- Resolution of the high-res routing grid
! Specify the integer multiple between the land model grid and
! the terrain routing grid...(integer)
AGGFACTRT = 10 <<-- Aggregation factor between the high-res routing grid and the LSM grid; e.g., a 100-m
routing grid resolution and a 1km LSM grid resolution would be AGGFACTRT = 10.
! Specify the channel routing model timestep...(seconds)
DTRT CH = 10 <<-- Timestep for the channel routing module to cycle, in seconds; model runtime will be
sensitive to this timestep, so choose something appropriate for your domain resolution (finer resolutions
generally require finer timesteps).
! Specify the terrain routing model timestep...(seconds)
DTRT TER = 10 <<-- Timestep for the terrain routing module to cycle, in seconds; model runtime will be
```

sensitive to this timestep, so choose something appropriate for your domain resolution (finer resolutions

generally require finer timesteps). ! Switch to activate subsurface routing...(0=no, 1=yes) SUBRTSWCRT = 1 <<-- Turn on/off subsurface routing module. ! Switch to activate surface overland flow routing...(0=no, 1=yes) OVRTSWCRT = 1 <<-- Turn on/off overland routing module. ! Specify overland flow routing option: ! 1=Seepest Descent (D8) 2=CASC2D (not active) ! NOTE: Currently subsurface flow is only steepest descent rt option = 1 <<-- For both terrain routing modules, specify whether flow should follow the steepest path (option 1) or multi-directional (option 2). Option 2 is currently unsupported. ! Switch to activate channel routing...(0=no, 1=yes) CHANRTSWCRT = 1 <<-- Turn on/off channel routing module. ! Specify channel routing option: ! 1=Muskingam-reach, 2=Musk.-Cunge-reach, 3=Diff.Wave-gridded channel option = 3 <<-- If channel routing module is active, select which physics option to use. ! Specify the reach file for reach-based routing options (e.g.: ! "Route Link.nc") !route link f = "./DOMAIN/Route Link.nc" <<-- If using one of the reach-based channel routing options (channel option = 1 or 2), specify the path to the Route Link.nc file, which provides the channel-reach parameters. ! Specify the lake parameter file (e.g.: "LAKEPARM.nc"). ! Note REQUIRED if lakes are on. route lake f = "./DOMAIN/LAKEPARM.nc" <<-- If lakes are active, specify the path to the lake parameter file, which provides the lake parameters. ! Switch to activate baseflow bucket model... ! (0=none, 1=exp. bucket, 2=pass-through) GWBASESWCRT = 1 <<-- Turn on/off the ground water bucket module. Option 1 activates the exponential bucket model, option 2 bypasses the bucket model and dumps all flow from the bottom of the soil column directly into the channel, and option 0 creates a sink at the bottom of the soil column (water draining from the bottom of the soil column leaves the system, so note that this option will not have water balance closure). ! Groundwater/baseflow 2d mask specified on land surface model grid ! (e.g.: "GWBASINS.nc" for netCDF ! Note: Only required if baseflow model is active (1 or 2) and UDMP OPT=0. qwbasmskfil = "./DOMAIN/GWBASINS.nc" <<-- For configurations where the bucket or pass-through groundwater modules are active, provide the path to the 2d ascii or netCDF file (LSM grid resolution) that maps the groundwater basin IDs. Bucket parameters will be specified through the GWBUCKPARM.nc file, whose IDs should match those in the groundwater basin mask file. ! Groundwater bucket parameter file (e.g.: "GWBUCKPARM.nc") GWBUCKPARM file = "./DOMAIN/GWBUCKPARM.nc" <<-- For configurations where the groundwater bucket model is active, specify the path to the bucket parameter file, which provides bucket parameters by catchment. ! User defined mapping, such NHDPlus: 0=no (default), 1=yes

```
UDMP OPT = 0 <<-- If 1, this tells the model to use a "user-defined mapping" scheme to translate between
terrain and groundwater flow and reaches, e.g., NHDPlus.
! If on, specify the user-defined mapping file (e.g.:
! "spatialweights.nc")
!udmap file = "./DOMAIN/spatialweights.nc" <<-- If UDMP OPT=1 (user defined mapping is active),
provide the path to the required spatial weights file, which maps between grid cells and catchments.
/ <<-- End of hydro namelist HYDRO nlist
&NUDGING nlist <--- Start of separate namelist for nudging is only used if the model is compiled with the
compile-time option WRF_HYDRO_NUDGING=1. Ignore otherwise
! Path to the "timeslice" observation files.
timeSlicePath = "./nudgingTimeSliceObs/" <<-- Path to a directory containing nuding "time slice"
observation files. There are no requirements on the existence of files in the directory
nudgingParamFile = "DOMAIN/nudgingParams.nc" <<-- Path to the require nudging parameter file.
! Nudging restart flie = "nudgingLastObsFile"
! nudgingLastObsFile defaults to '', which will look for
! nudgingLastObs.YYYY-mm-dd HH:MM:SS.nc
    **AT THE INITALIZATION TIME OF THE RUN**. Set to a missing file
! to use no restart.
!nudgingLastObsFile = '/a/nonexistent/file/gives/nudging/cold/start' <<-- Optional path
to optional nuding restart file. See comments.
!! Parallel input of nudging timeslice observation files?
readTimesliceParallel = .TRUE. <<-- Can read the observation files in parallel (on different cores) for
quicker run speeds.
! temporalPersistence defaults to true, only runs if necessary
! params present.
temporalPersistence = .FALSE. <<-- This option uses the expCoeff parameter for persisting
observations
! The total number of last (obs, modeled) pairs to save in
! nudgingLastObs for removal of bias. This is the maximum array
! length. (This option is active when persistBias=FALSE)
! (Default=960=10days @15min obs resolution, if all the obs are
! present and longer if not.)
nLastObs = 960 <<-- The maximum trailing window size for calculating bias correction.
! If using temporalPersistence the last observation persists
! by default. This option instead persists the bias after the
! last observation.
persistBias = .FALSE. <<-- Apply bias correction as observations move in to the past?
! AnA (FALSE) vs Forecast (TRUE) bias persistence.
! If persistBias: Does the window for calculating the bias end at
! model init time (=t0)?
! FALSE = window ends at model time (moving),
! TRUE = window ends at init=t0(fcst) time.
! (If commented out, Default=FALSE)
! Note: Perfect restart tests require this option to be .FALSE.
biasWindowBeforeT0 = .FALSE. <<-- Is the bias window shifting with model integration?
```

```
! If persistBias: Only use this many last (obs, modeled) pairs.
! (If Commented out, Default=-1*nLastObs)
! > 0: apply an age-based filter, units=hours.
! = 0: apply no additional filter, use all available/usable obs.
! < 0: apply an count-based filter, units=count
maxAgePairsBiasPersist = -960
! If persistBias: The minimum number of last (obs, modeled) pairs,
! with age less than maxAgePairsBiasPersist, required to apply a bias
! correction. (default=8)
minNumPairsBiasPersist = 8
! If persistBias: give more weight to observations closer in time?
! (default=FALSE)
invDistTimeWeightBias = .TRUE. <<-- The exact form of this weighting is currently hard-coded.
! If persistBias: "No constructive interference in bias correction?",
! Reduce the bias adjustment when the model and the bias adjustment
! have the same sign relative to the modeled flow at t0?
! (default=FALSE)
! Note: Perfect restart tests require this option to be .FALSE.
noConstInterfBias = .FALSE. <<-- Tactical response to phase errors.</pre>
```

### A6. Noah land surface model parameter tables

The Noah land surface model requires three parameter table files denoted by the file suffix TBL. The variables contained within these files are described in the tables below.

Please refer to the Noah land surface model documentation

(https://ral.ucar.edu/sites/default/files/public/product-tool/unified-noah-lsm/Noah\_LSM\_USERGUIDE\_ 2.7.1.pdf) for additional information.

**GENPARM.TBL** - This file contains global parameters for the Noah land surface model.

Variable name	Description
SLOPE_DATA	Linear reservoir coefficient
SBETA_DATA	Parameter used to calculate vegetation effect on soil heat
FXEXP_DAT	Soil evaporation exponent used in DEVAP
CSOIL_DATA	Soil heat capacity [J/m³/K]
SALP_DATA	Shape parameter of distribution function of snow cover
REFDK_DATA	Parameter in the surface runoff parameterization
REFKDT_DATA	Parameter in the surface runoff parameterization
FRZK_DATA	Frozen ground parameter
ZBOT_DATA	Depth of lower boundary soil temperature [m]
CZIL_DATA	Parameter used in the calculation of the roughness length for heat
SMLOW_DATA	Soil moisture wilt, soil moisture reference parameter
SMHIGH_DATA	Soil moisture wilt, soil moisture reference parameter
LVCOEF_DATA	Parameter in the snow albedo formulation

**SOILPARM.TBL** - This file contains parameters that are assigned based upon soil classification.

Variable name	Description	
All parameters are	All parameters are a function of soil class	
BB	B parameter	
DRYSMC	Dry soil moisture threshold at which direct evaporation from top soil layer ends	
F11	Soil thermal diffusivity/conductivity coefficient	
MAXSMC	Saturation soil moisture content (i.e. porosity)	
REFSMC	Reference soil moisture (field capacity), where transpiration begins to stress	
SATPSI	Saturation soil matric potential	
SATDK	Saturation soil conductivity	
SATDW	Saturation soil diffusivity	
WLTSMC	Wilting point soil moisture	
QTZ	Soil quartz content	

# **VEGPARM.TBL** - This file contains parameters that a function of land cover type.

Variable	Description
All parameters are a function of land cover type	
SHDFAC	Green vegetation fraction
NROOT	Number of soil layers (from the top) reached by vegetation roots
RS	Minimum stomatal resistance [s/m]
RGL	Parameter used in radiation stress function
HS	Parameter used in vapor pressure deficit function
SNUP	Threshold water-equivalent snow depth [m] that implies 100% snow cover
MAXALB	Upper bound on maximum albedo over deep snow [%]
LAIMIN	Minimum leaf area index through the year [dimensionless]
LAIMAX	Maximum leaf area index through the year [dimensionless]
EMISSMIN	Minimum background emissivity through the year [fraction 0.0 to 1.0]
EMISSMAX	Maximum background emissivity through the year [fraction 0.0 to 1.0]
ALBEDOMIN	Minimum background albedo through the year [fraction 0.0 to 1.0]
ALBEDOMAX	Maximum background albedo through the year [fraction 0.0 to 1.0]
Z0MIN	Minimum background roughness length through the year [m]
Z0MAX	Maximum background roughness length through the year [m]
TOPT_DATA	Optimum transpiration air temperature [K]
CMCMAX_DAT A	Maximum canopy water capacity [volumetric fraction]
CFACTR_DATA	Parameter used in the canopy interception calculation [dimensionless]
RSMAX_DATA	Maximal stomatal resistance [s/m]
BARE	The land-use category representing bare ground (used to set the vegetation fraction to zero) [land-use category index]
NATURAL	The land-use category representative of the non-urban portion of urban land-use points [land-use category index]

### A7. Noah-MP land surface model parameter tables

The Noah-MP land surface model requires three parameter table files denoted by the file suffix TBL. The variables contained within these files are described in the tables below.

As part of work conducted for the National Water Model implementation, the ability to specify a number of these land surface model parameters spatially on a two or three dimensional grid was introduced. This is done through the use of the compile time option SPATIAL\_SOIL and the specification of a netCDF format parameter file with the default filename soil\_properties.nc. A list of the variables contained in this file is included in a table below as well.

**GENPARM.TBL** - This file contains global parameters for the Noah-MP land surface model.

Variable name	Description
SLOPE_DATA	Linear reservoir coefficient
SBETA_DATA	Parameter used to calculate vegetation effect on soil heat
FXEXP_DAT	Soil evaporation exponent used in DEVAP
CSOIL_DATA	Soil heat capacity [J/m³/K]
SALP_DATA	Shape parameter of distribution function of snow cover
REFDK_DATA	Parameter in the surface runoff parameterization
REFKDT_DATA	Parameter in the surface runoff parameterization
FRZK_DATA	Frozen ground parameter
ZBOT_DATA	Depth of lower boundary soil temperature [m]
CZIL_DATA	Parameter used in the calculation of the roughness length for heat
SMLOW_DATA	Soil moisture wilt, soil moisture reference parameter
SMHIGH_DATA	Soil moisture wilt, soil moisture reference parameter
LVCOEF_DATA	Parameter in the snow albedo formulation

**SOILPARM.TBL** - This file contains parameters that are assigned based on soil classification.

Variable name	Description
BB	B parameter
DRYSMC	Dry soil moisture threshold at which direct evaporation from top soil layer ends
F11	Soil thermal diffusivity/conductivity coefficient
MAXSMC	Saturation soil moisture content (i.e. porosity)
REFSMC	Reference soil moisture (field capacity), where transpiration begins to stress
SATPSI	Saturation soil matric potential
SATDK	Saturation soil conductivity
SATDW	Saturation soil diffusivity
WLTSMC	Wilting point soil moisture
QTZ	Soil quartz content

**MPTABLE.TBL-** This file contains parameters that are a function of land cover type.

Variable	Description
VEG_DATASET_DESCRIPTION	Land cover classification dataset
NVEG	Number of land cover categories
ISURBAN	Land cover category for urban
ISWATER	Land cover category for water
ISBARREN	Land cover category for barren
ISICE	Land cover category for ice
EBLFOREST	Land cover category for evergreen broadleaf forest
Parameters below are a function of	land cover type
CH2OP	Maximum intercepted H2O per unit LAI + SAI [mm]
DLEAF	Characteristic leaf dimension [m]
Z0MVT	Momentum roughness length [m]
HVT	Top of canopy [m]
HVB	Bottom of canopy [m]
DEN	Tree density [trunks/m <sup>2</sup> ]
RC	Tree crown radius [m]
MFSNO	Snowmelt m parameter
RHOS_VIS	Monthly stem area index (SAI), one-sided
RHOS_NIR	Monthly leaf area index (LAI), one-sided
TAUL_VIS	Leaf transmittance, visible
TAUL_NIR	Leaf transmittance, near infrared
TAUS_VIS	Stem transmittance, visible
TAUS_NIR	Stem transmittance, near infrared
XL	Leaf / stem orientation index
CWPVT	Canopy wind parameter
C3PSN	Photosynthetic pathway $[c4 = 0.   c3 = 1.]$
KC25	co2 michaelis-menten constant at 25c (pa)
AKC	q10 for kc25
KO25	o2 michaelis-menten constant at 25c (pa)
AKO	q10 for ko25
AVCMX	q10 for vcmx25
AQE	q10 for qe25
LTOVRC	leaf turnover [1/s]
DILEFC	coefficient for leaf stress death [1/s]
DILEFW	coefficient for leaf stress death [1/s]

RMF25	Leaf maintenance respiration at 25C [umol CO2/m2/s]
SLA	Single-side leaf area [m2/kg]
FRAGR	Fraction of growth respiration
TMIN	Minimum temperature for photosynthesis []
VCMX25	maximum rate of carboxylation at 25c (umol co2/m**2/s)
TDLEF	Characteristic temperature for leaf freezing [K]
BP	Minimum leaf conductance [umol/m2/s]
MP	Slope of conductance to photosynthesis relationship
QE25	Quantum efficiency at 25C [umol CO2 / umol photon]
RMS25	stem maintenance respiration at 25c (umol co2/kg bio/s)
RMR25	root maintenance respiration at 25c (umol co2/kg bio/s)
ARM	q10 for maintenance respiration
FOLNMX	foliage nitrogen concentration when f(n)=1 (%)
WRRAT	wood to non-wood ratio
MRP	microbial respiration parameter (umol co2 /kg c/ s)
NROOT	number of soil layers with root present
RGL	Parameter used in radiation stress function
RS	Stomatal resistance [s/m]
HS	Parameter used in vapor pressure deficit function
TOPT	Optimum transpiration air temperature [K]
RSMAX	Maximal stomatal resistance [s m-1]
SAI	Steam area index
LAI	Leaf area index
SLAREA	(not used in Noah-MP as configured in WRF-Hydro)
EPS1	(not used in Noah-MP as configured in WRF-Hydro)
EPS2	(not used in Noah-MP as configured in WRF-Hydro)
EPS3	(not used in Noah-MP as configured in WRF-Hydro)
EPS4	(not used in Noah-MP as configured in WRF-Hydro)
EPS5	(not used in Noah-MP as configured in WRF-Hydro)
Parameters below are a function of	soil color index
ALBSAT_VIS	saturated soil albedos for visible
ALBSAT_NIR	saturated soil albedos for near infrared
ALBDRY_VIS	dry soil albedos for visible
ALBDRY_NIR	dry soil albedos for near infrared
Parameters below are global	
ALBICE	albedo land ice (visible and near infrared)
ALBLAK	albedo frozen lakes (visible and near infrared)
OMEGAS	two-stream parameter for snow
BETADS	two-stream parameter for snow

BETAIS	two-stream parameter for snow
EG	emissivity soil surface (soil and lake)
CO2	CO2 partial pressure
O2	O2 partial pressure
TIMEAN	gridcell mean topographic index [global mean]
FSATMX	maximum surface saturated fraction [global mean]
Z0SNO	snow surface roughness length [m]
SSI	liquid water holding capacity for snowpack [m3/m3]
SWEMX	new snow mass to fully cover old snow [mm]
RSURF_SNOW	surface resistance for snow [s/m]

# soil\_properties.nc [optional]

Variable name	Description
bexp	Beta parameter
cwpvt	Empirical canopy wind parameter
dksat	Saturated soil hydraulic conductivity
dwsat	Saturated soil hydraulic diffusivity
hvt	Top of vegetation canopy [m]
mfsno	Snowmelt m parameter
mp	Slope of conductance to photosynthesis relationship
psisat	Saturated soil matric potential
quartz	Soil quartz content
refdk	Parameter in the surface runoff parameterization
refkdt	Parameter in the surface runoff parameterization
slope	Slope index
smcdry	Dry soil moisture threshold where direction evaporation from the top layer ends
smcmax	Saturated value of soil moisture [volumetric]
smcref	Reference soil moisture (field capacity) [volumetric]
smcwlt	Wilting point soil moisture [volumetric]
vcmx25	Maximum rate of carboxylation at 25 C [umol CO <sub>2</sub> /m²/s]

# A8. Terrain routing parameter files

Parameters for the lateral routing component of WRF-Hydro are specified via either the HYDRO.TBL file or the hydro2dtbl.nc file. Variables within these files are described in the tables below.

#### **HYDRO.TBL**

Variable name	Description	
The parameter below is a function of land cover type		
SFC_ROUGH	Overland flow roughness coefficient	
The parameters below are a function of soil class		
SATDK	Saturated soil hydraulic conductivity [m/s]	
MAXSMC	Maximum volumetric soil moisture [m³/m³]	
REFSMC	Reference volumetric soil moisture [m³/m³]	
WLTSMC	Wilting point volumetric soil moisture [m³/m³]	
QTZ	Quartz fraction of the soil	

### hydro2dtbl.nc

Variable name	Description
SMCMAX1	Maximum volumetric soil moisture [m³/m³]
SMCREF1	Reference volumetric soil moisture [m³/m³]
SMCWLT1	Wilting point volumetric soil moisture [m³/m³]
OV_ROUGH2D	Overland flow roughness coefficient
LKSAT	Lateral saturated soil hydraulic conductivity [m/s]

## A9. Channel routing parameter tables (CHANPARM.TBL and Route\_Link.nc)

Variables of the the channel routing parameter tables are described in the tables below.

### **CHANPARM.TBL**

Variable name	Description	
All parameters are a function of Strahler stream order		
Bw	Channel bottom width [m]	
HLINK	Initial depth of water in the channel [m]	
ChSSlp	Channel side slope [m/m]	
MannN	Manning's roughness coefficient	

### Route\_Link.nc

Variable name	Description		
All parameters are specified per stream segment (i.e. link)			
BtmWdth	Channel bottom width [m]		
ChSlp	Channel side slope [m/m]		
Kchan	Channel conductivity [mm/hr]		
Length	Stream segment length [m]		
MusK	Muskingum routing time [s]		
MusX	Muskingum weighting coefficient		
NHDWaterbodyComID	ComID of an associated water body if any		
Qi	Initial flow in link [m³/s]		
So	Slope [m/m]		
alt	Elevation from the NAD88 datum at start node [m]		
ascendingIndex	Index to user for sorting IDs - only in NWM files		
from	From Link ID		
gages	Identifier for stream gage at this location		
lat	Latitude of the segment midpoint [degrees north]		
link	Link ID		
lon	Longitude of the segment midpoint [degrees east]		
n	Manning's roughness		
order	Strahler stream order		
to	To Link ID		
time	Time of measurement		

# A10. Groundwater input and parameter files

The contents of the groundwater input and parameter files are described in the tables below.

#### **GWBASINS.nc**

Variable name	Description
у	projection y coordinate
X	projection x coordinate
crs	coordinate reference system definition
BASIN	groundwater basin ID

### GWBUCKPARM.nc

Variable name	Description
Basin	Basin monotonic ID (1n)
Coeff	Coefficient
Expon	Exponent
Zmax	Zmax
Zinit	Zinit
Area_sqkm	Basin area in square kilometers
ComID	NHDCatchment FEATUREID (NHDFlowline
	ComID)

# A11. Spatial weights input file variable description

The contents of the spatialweights.nc file is described in the table below.

### spatialweights.nc

Variable name	Description	Dimension
polyid	ID of polygon	polyid
IDmask	Polygon ID (polyid) associated with each record)	data
overlaps	Number of intersecting polygons	polyid
weight	Fraction of intersecting polygon(polyid) intersected by poly2	data
regridweight	Fraction of intersecting polyid(overlapper) intersected by polygon(polyid)	data
i_index	Index in the x dimension of the raster grid (starting with 1,1 in the LL corner)	data
j_index	Index in the y dimension of the raster grid (starting with 1,1 in the LL corner)	data

# A12. Lake and reservoir parameter tables (LAKEPARM.nc)

Variables within the LAKEPARM.nc file are described in the table below.

#### LAKEPARM.nc

Variable name	Description
lake_id	Lake index (consecutively from 1 to n # of lakes)
LkArea	Area [m <sup>2</sup> ]
LkMxE	Elevation of maximum lake height [m AMSL]
WeirC	Weir coefficient
WeirL	Weir length [m]
OrificeC	Orifice coefficient
OrificeA	Orifice area [m <sup>2</sup> ]
OrificeE	Orifice elevation [m AMSL]
lat	Latitude [decimal degrees]
lon	Longitude [decimal degrees]
time	time
WeirE	weir elevation [m AMSL]
ascendingIndex	Index to use for sorting IDs (ascending)
ifd	Initial fraction water depth
crs	CRS definition

#### A13. Restart File Overview

#### WRF-Hydro Physics Components - Restart Files

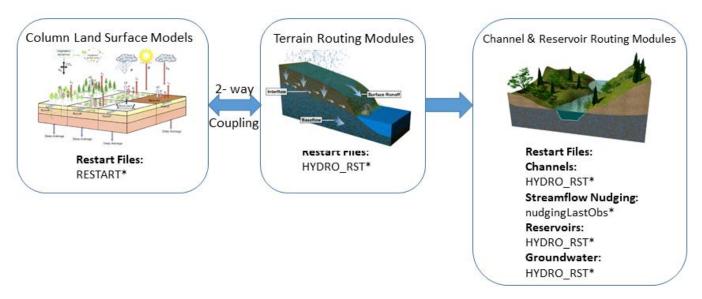


Figure A13. Overview of restart files for the various model physics components.

### A13.1 RESTART\_MP File Variable Table

Noah-MP restarts are made in "subroutine lsm restart()" in

trunk/NDHMS/Land\_models/NoahMP/IO\_code/module\_NoahMP\_hrldas\_driver.F Noah-MP variables are defined in "SUBROUTINE noahmplsm" in

trunk/NDHMS/Land models/NoahMP/Noah/module sf noahmpdrv.f

**RESTART** MP file variable descriptions

Variable	Description	Units
ACMELT	accumulated melting water out of snow bottom	mm
ACSNOW	accumulated snow fall on grid	mm
ALBOLD	snow albedo at last time step (-)	
AREAXY	(in the file but not used by the model)	
CANICE	Canopy ice water content / canopy-intercepted ice	mm
CANLIQ	Canopy liquid water content / canopy-intercepted liquid water	mm
СН	Sensible heat exchange coefficient	
СМ	Momentum drag coefficient	
DEEPRECHXY	soil moisture below the bottom of the column	m3m-3
EAH	canopy air vapor pressure	Pa
EQZWT	(in the file but not used by the model)	
FASTCP	short-lived carbon in shallow soil	g/m2
FDEPTHXY	(in the file but not used by the model)	

FWET	Wetted or snowed fraction of canopy	fraction
GVFMAX	annual maximum in vegetation fraction	
GVFMIN	annual minimum in vegetation fraction	
ISNOW	Number of snow layers	count
LAI	leaf area index	
LFMASS	Leaf mass	g m{-2}
PEXPXY	(in the file but not used by the model)	
QRFSXY	Stem mass	g m{-2}
QRFXY	(in the file but not used by the model)	
QSFC	bulk surface specific humidity	
QSLATXY	Stable carbon in deep soil	g m{-2}
QSNOW	snowfall rate on the ground	mm/s
QSPRINGSXY	Mass of wood and woody roots	g m{-2}
QSPRINGXY	(in the file by not used by the model)	
RECHXY	recharge to the water table (diagnostic)	m3/m3
RIVERBEDXY	(in the file but not used by the model)	
RIVERCONDXY	(in the file but not used by the model)	
RTMASS	mass of fine roots	g/m2
SAI	stem area index	-
SFCRUNOFF	Accumulatetd surface runoff	mm
SH2O	volumetric liquid soil moisture	m3/m3
SMC	Volumetric Soil Moisture	m3 m-3
SMCWTDXY	soil moisture below the bottom of the column	m3m-3
SMOISEQ	volumetric soil moisture	m3/m3
SNEQV	Snow water equivalent	kg m{-2}
SNEQVO	snow mass at last time step	mm h2o
SNICE	snow layer ice	mm
SNLIQ	Snow layer liquid water	mm
SNOWH	Snow depth	m
SNOW_T	snow temperature	K
SOIL_T	Soil Temperature on NSOIL layers	K
STBLCP	Stable carbon in deep soil	g m{-2}
STMASS	stem mass	g/m2
ТАН	Canopy Air Temperature	K
TAUSS		
111022	snow age factor	

TV	Canopy Temperature	K
UDRUNOFF	Accumulated underground runoff"	mm
WA	Water in aquifer relative to reference level	kg m{-2}
WOOD	Mass of wood and woody roots	g m{-2}
WSLAKE	lake water storage	mm
WT	Water in aquifer and saturated soil	kg m{-2}
ZSNSO	Snow layer depths from snow surface	m
ZWT	water table depth	m
VEGFRA	Vegetation fraction	
ACCPRCP	Accumulated precipitation	mm
ACCECAN	Accumulated canopy evaporation	mm
ACCEDIR	Accumulated direct soil evaporation	mm
ACCETRAN	Accumulated transpiration	mm
SMOISEQ	volumetric soil moisture	m3/m3

# A14. HYDRO\_RST File Variable Table

The variables are written to the <code>HYDRO\_RST</code> file in the subroutine of <code>RESTART\_OUT\_nc</code> in the <code>Routing/module\_HYDRO\_io.F.</code> The tables below contain all the information on the dimensions and variables in the <code>Hydro RESTART</code> file (<code>HYDRO RST</code>).

Dimension	Description	It is written
depth	Number of soil layers	
ix	Number of columns in the coarse grid (LSM)	
iy	Number of rows in the coarse grid (LSM)	
ixrt	Number of columns in the fine grid (hydro)	
iyrt	Number of rows in the fine grid (hydro)	
links	Number of links/reaches	
basns	Number of basins for the groundwater/baseflow modeling	Only if GWBASESWCRT=1 in the hydro.namelist
lakes	Number of lakes	Only if the lake routing is turned on

Variable	Description	# Dimensions (not including time)	Resolution	Units
	Description	, , , , , , , , , , , , , , , , , , ,		
cvol	volume of stream in cell		fine/link	m3
hlink	stream stage	1	fine/link	m
infxsrt	infiltration excess water	2	coarse	mm
infxswgt	weights for disaggregation of infxsrt	2	fine	-
qbdryrt	accumulated value of the boundary flux	2	fine	mm
qlink1	stream flow in to cell/reach	1	fine/link	m3/s
qlink2	stream flow out of cell/reach	1	fine/link	m3/s
qstrmvolrt	Accumulated depth of stream channel inflow	2	fine	mm
sfcheadrt	surface head on the coarse grid	2	coarse	mm
sfcheadsubrt	surface head on the routing grid	2	fine	mm
sh2owgt	weights for disaggregation of total soil moisture (smc)	3	fine	-
sh2ox	liquid soil moisture	3	coarse	m3/m3
smc	total liq+ice soil moisture.	3	coarse	m3/m3
soldrain	soil drainage	2	coarse	mm
stc	soil temperature	3	coarse	K
lake_inflort	lake inflow	2	fine	mm
resht	water surface elevation	1	link	m
qlakeo	outflow from lake used in diffusion scheme	1	link	m3/s
z_gwsubbas	depth in ground water bucket	1	link	m

#### A15. Streamflow Nudging

**Figure A15.1** Below is an an example netCDF header nudging time slice observation file containing 2 gages. The command nedump -h was used to produce this header information.

```
netcdf \2013-06-01 21\:45\:00.15min.usgsTimeSlice {
dimensions:
     stationIdStrLen = 15 ;
     stationIdInd = UNLIMITED ; // (2 currently)
     timeStrLen = 19;
variables:
     char stationId(stationIdInd, stationIdStrLen) ;
          stationId:long name =
               "USGS station identifier of length 15";
     char time(stationIdInd, timeStrLen) ;
          time:units = "UTC" ;
          time:long name =
               "YYYY-MM-DD HH:mm:ss UTC";
     float discharge(stationIdInd);
          discharge:units = m^3/s;
          discharge:long name =
               "Discharge.cubic meters per second" ;
     short discharge quality(stationIdInd) ;
          discharge quality:units = "-";
          discharge quality:long name =
               "Discharge quality 0 to 100 to be scaled by 100.";
     float queryTime(stationIdInd) ;
          queryTime:units =
               "seconds since 1970-01-01 00:00:00 local TZ";
// global attributes:
           :fileUpdateTimeUTC = "2017-08-25 17:24:22";
           :sliceCenterTimeUTC = "2013-06-01 21:45:00";
           :sliceTimeResolutionMinutes = "15";
```

Figure A15.2: Below is an example nudgingParams.nc file containing parameters for 3 gages. The command ncdump -h was used to create this header information.

```
netcdf nudgingParams {
dimensions:
     stationIdInd = UNLIMITED ; // (3 currently)
     monthInd = 12;
     threshCatInd = 2 ;
     threshInd = 1;
     stationIdStrLen = 15 ;
variables:
     float G(stationIdInd) ;
          G:units = "-";
          G:long name = "Amplitude of nudging";
     float R(stationIdInd) ;
          R:units = "meters" ;
          R:long name = "Radius of influence in meters" ;
     float expCoeff(stationIdInd, monthInd, threshCatInd) ;
          expCoeff:units = "minutes" ;
          expCoeff:long name = "Coefficient b in denominator e^(-dt/b)"
;
     float qThresh(stationIdInd, monthInd, threshInd) ;
          qThresh:units = "m^3/s";
          qThresh:long name = "Discharge threshold category";
     char stationId(stationIdInd, stationIdStrLen);
          stationId:units = "-";
          stationId:long name = "USGS station identifer";
     float tau(stationIdInd) ;
          tau:units = "minutes" ;
          tau:long name = "Time tapering parameter half window size in
minutes";
}
```

#### A16. National Water Model (NWM) Configuration

It is important to note here that the community WRF-Hydro modeling system is currently the actual modeling architecture that is used in the NOAA National Water Model. This means that the community WRF-Hydro model code is configurable into the National Water Model configurations that run in operations at the National Center for Environmental Prediction (NCEP).

"The NWM is an hourly cycling uncoupled analysis and forecast system that provides streamflow for 2.7 million river reaches and other hydrologic information on 1km and 250m grids. The model provides complementary hydrologic guidance at current NWS River Forecast Center river forecast locations and significantly expanded guidance coverage and type in underserved locations.

The NWM ingests forcing from a variety of sources including Multi-Radar Multi-Sensor (MRMS) radar-gauge observed precipitation data and High-Resolution Rapid Refresh (HRRR), Rapid Refresh (RAP), Global Forecast System (GFS) and Climate Forecast System (CFS) Numerical Weather Prediction (NWP) forecast data. USGS real-time streamflow observations are assimilated and all NWM configurations benefit from the inclusion of ~1,500 reservoirs. The core of the NWM system is the National Center for Atmospheric Research (NCAR)-supported community Weather Research and Forecasting (WRF)-Hydro hydrologic model. WRF-Hydro is configured to use the Noah Multi-Parameterization (Noah-MP) Land Surface Model (LSM) to simulate land surface processes. Separate water routing modules perform diffusive wave surface routing and saturated subsurface flow routing on a 250m grid, and Muskingum-Cunge channel routing down NHDPlusV2 stream reaches. River analyses forecasts are provided across a domain encompassing the continental U.S. and and hydrologically-contributing areas, while land surface output is available on a larger domain that extends beyond the continental U.S. into Canada and Mexico (roughly from latitude 19N to 58N). In addition, NWM forcing datasets are provided on this domain at a resolution of 1km."

Excerpt from NOUS41 KWBC 061735 PNSWSH NWS Office of Science and Technology Integration

For more information regarding the operational configuration, input, and output data of the National Water Model see the Office of Water Prediction website: <a href="http://water.noaa.gov/about/nwm">http://water.noaa.gov/about/nwm</a> and the Open Commons Consortium Environmental Data Commons website: <a href="http://edc.occ-data.org/nwm/">http://edc.occ-data.org/nwm/</a>.

The NWM/WRF-Hydro modeling system suite of tools for data preparation, evaluation, and calibration is continually under development and will be rolled out to the community as each tool becomes finalized with supporting documentation for public usage. To be notified when tools become available please subscribe to the WRF-Hydro email list <a href="https://ral.ucar.edu/projects/wrf\_hydro/subscribe">https://ral.ucar.edu/projects/wrf\_hydro/subscribe</a>.

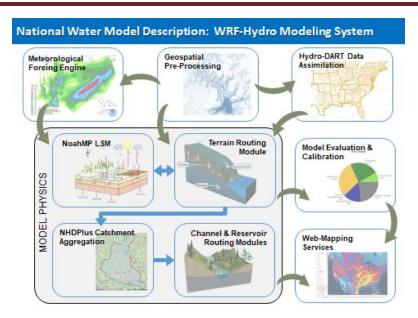
The figures below illustrate the physics permutations available in the WRF-Hydro framework and the Noah-MP land surface model as well as the current National Water Model configuration as of March 2018, the NWM ecosystem and suite of tools and sample NWM configuration namelists.

	WRF-Hydro Options	Current NWM Configuratio
Column Land Surface Model	3 Up-to-date Column Land Models: Noah, NoahMP (w/ built-in multi-physics option Sac-HTET	s) NoahMP
Overland Flow Module	3 Surface routing schemes: Diffusive wave Kinematic wave Direct basin aggregation	Diffusive wave
Shallow Lateral Subsurface Flow Module	1 Subsurface routing scheme: Boussinesq shallow saturated flow	Boussinesq shallow saturated flow
Deeper Groundwater Flow	3 Groundwater schemes: 2d aquifer model Direct aggregation storage-release: pass-through or exponential model	Exponential model
Channel Routing/ Hydraulics	5 Channel flow schemes: Diffusive wave, Kinematic wave, RAPID, Custom-network Muskingum Muskingum-Cunge	Custom-network (NHDPlus) Muskingum-Cunge model
Lake/Reservoir Management	1 Lake routing scheme: Level-pool management	Level-pool management

**Figure A16.1.** Illustration of WRF-Hydro physics permutations and those used in the current configuration of the National Water Model (NWM).

NoahMP Physics Permutations				
Process	NoahMP Options	Current NWM Configuration		
Dynamic vegetation	Dynamic carbon-cycling model; lookup table by veg type (climatology); 2-D time series specifications	LAI/SAI from lookup table; max vegfrac from climatology		
Canopy stomatal resistance	Ball-Berry; Jarvis	Ball-Berry		
Soil moisture factor for stomatal resistance	Noah (soil moisture); CLM (matric potential); SSiB (matric potential)	Noah		
Surface layer drag coefficient	M-O; original Noah (Chen, 1997)	M-O		
Supercooled liquid water	No iteration (Niu and Yang, 2006 JHM); Koren's iteration	Niu & Yang 2006		
Frozen soil permeability	Linear effects, more permeable (Niu and Yang, 2006, JHM); nonlinear effects, less permeable	Niu & Yang 2006		
Radiation transfer	Modified two-stream (gap = F(solar angle, 3D structure)<1-FVEG); two-stream applied to grid-cell (gap = 0); two-stream applied to vegetated fraction (gap=1-FVEG)	Two-stream applied to vegetated fraction		
Ground snow surface albedo	BATS; CLASS	CLASS		
Precipitation partitioning	Jordan, 1991; BATS (when SFCTMP <tfrz+2.2; <="" microphysics<="" sfctmp="" td="" tfrz);="" wrf=""><td>Jordan 1991</td></tfrz+2.2;>	Jordan 1991		
Lower boundary condition of soil temperature	Zero heat flux from bottom; bottom temperature at 8m input (original Noah)	Bottom temperature from climatology		
Snow/soil temperature time scheme	Semi-implicit with flux top boundary condition; full implicit (original Noah) with temperature top boundary condition; same as 1, but split by snow fraction	Semi-implicit with FSNO		
Surface resistance to evap/sublimation	Sakaguchi and Zeng, 2009; Sellers, 1992; adjusted Sellers to decrease resistance for wet soil; option 1 for non-snow and separate snow resistance for snow fraction	Snow/non-snow split		
Glacier treatment	Include phase change of ice; slab treatment (original Noah); multi-layer CROCUS-adaptation for non-flowing glaciers	Slab		

**Figure A16.2.** Illustration of Noah-MP physics permutations and those used in the current configuration of the National Water Model (NWM) as of March 2018.



**Figure A16.3** National Water Model/WRF-Hydro Modeling System Ecosystem and Suite of Tools.

Figure A16.4 Below are sample NWM configuration namelists

```
namelist.hrldas (sample NWM configuration)
&NOAHLSM OFFLINE
HRLDAS SETUP FILE = "./DOMAIN/wrfinput d01"
INDIR = "./forcing"
SPATIAL FILENAME = "./DOMAIN/soil properties.nc"
OUTDIR = "./"
START YEAR = 2016
START MONTH = 09
START DAY = 01
START HOUR = 00
START MIN = 00
RESTART FILENAME REQUESTED = "RESTART.2016090100 DOMAIN1"
! KDAY = 1
KHOUR = 18
DYNAMIC VEG OPTION
CANOPY STOMATAL RESISTANCE OPTION = 1
BTR OPTION
RUNOFF OPTION
                                  = 3
SURFACE DRAG OPTION
FROZEN SOIL OPTION
                                  = 1
SUPERCOOLED WATER OPTION
RADIATIVE TRANSFER OPTION
                                  = 3
SNOW ALBEDO OPTION
PCP PARTITION OPTION
                                  = 1
TBOT OPTION
                                  = 2
TEMP TIME SCHEME OPTION
                                  = 3
```

```
GLACIER OPTION
                                  = 2
SURFACE RESISTANCE OPTION
                                  = 4
FORCING TIMESTEP = 3600
NOAH TIMESTEP
               = 3600
OUTPUT TIMESTEP = 3600
RESTART FREQUENCY HOURS = 600
! Split output after split_output_count output times.
SPLIT OUTPUT COUNT = 1
! XSTART = 1
! XEND = 1
! YSTART = 1
! YEND
       = 1
NSOIL=4
soil thick input(1) = 0.10
soil thick input(2) = 0.30
soil_thick_input(3) = 0.60
soil thick input(4) = 1.00
ZLVL = 10.0
rst bi in = 0
                   !0: use netcdf input restart file
                   !1: use parallel io for reading multiple restart files (1 per
core)
rst bi out = 0
                 !O: use netcdf output restart file
                   !1: use parallel io for outputting multiple restart files (1 per
core)
&WRF HYDRO OFFLINE
! Specification of forcing data: 1=HRLDAS-hr format, 2=HRLDAS-min format, 3=WRF,
    4=Idealized, 5=Ideal w/ Spec.Precip., 6=HRLDAS-hrl y fomat w/ Spec. Precip,
    9=Channel-only forcing, see hydro.namelist output channelBucket influxes
   10=Channel+Bucket only forcing, see hydro.namelist
!output channelBucket influxes
FORC TYP = 2
! Initial conditions and parameters from: 0=wrfinput, 1=forcing
HRLDAS ini typ = 1
! Specify geogrid file for extract greenfrac
GEO STATIC FLNM = "./DOMAIN/geo em.d01.nc"
! Flag to turn on/off new I/O routines: 0 = old (default), 1 = new (with
!scale/offset/compression),
! 2 = new (with scale/offset/NO compression)
! NOTE this option is also in hydro.namelist.
nwmIo = 2
```

```
hydro.namelist (sample NWM configuration)
&HYDRO nlist
! Specify what is being coupled: 1=HRLDAS (offline Noah-LSM), 2=WRF, 3=NASA/LIS,
! 4=CLM
sys cpl = 1
!!!! ------ MODEL INPUT DATA FILES ----- !!!!
! Specify land surface model gridded input data file (e.g.: "geo em.d01.nc")
GEO STATIC FLNM = "./DOMAIN/geo em.d01.nc"
! Specify the high-resolution routing terrain input data file (e.g.:
! "Fulldom hires.nc")
GEO FINEGRID FLNM = "./DOMAIN/Fulldom hires.nc"
! Specify the spatial hydro parameters file (e.g.: "HYDRO TBL 2D.nc")
! If you specify a filename and the file does not exist, it will be created for
HYDROTBL F = "./DOMAIN/hydro2dtbl.nc"
! Specify spatial metadata file for land surface grid. (e.g.:
! "geospatial data template land GIS.nc")
LAND SPATIAL META FLNM = "./DOMAIN/GEOGRID LDASOUT Spatial Metadata.nc"
! Specify the name of the restart file if starting from restart...comment out
! with '!' if not...
RESTART FILE = 'HYDRO RST.2016-09-01 00:00 DOMAIN1'
!!!! ------ MODEL SETUP OPTIONS ----- !!!!
! Specify the domain or nest number identifier...(integer)
IGRID = 1
! Specify the restart file write frequency...(minutes)
! A value of -99999 will output restarts on the first day of the month only.
rst dt = 999990
! Reset the LSM soil states from the high-res routing restart file (1=overwrite,
! NOTE: Only turn this option on if overland or subsurface rotuing is active!
rst typ = 1
! Restart file format control
                 !0: use netcdf input restart file (default)
rst bi in = 0
                  !1: use parallel io for reading multiple restart files,
! 1 per core
rst bi out = 0     !0: use netcdf output restart file (default)
                  !1: use parallel io for outputting multiple restart files,
! 1 per core
! Restart switch to set restart accumulation variables to 0 (0=no reset, 1=yes
! reset to 0.0)
RSTRT SWC = 1
```

```
! Specify baseflow/bucket model initialization...(0=cold start from table, !1=restart
file)
GW RESTART = 1
!!!! ------ MODEL OUTPUT CONTROL -----!!!!
! Specify the output file write frequency...(minutes)
out dt = 60
! Specify the number of output times to be contained within each output history
!file...(integer)
  SET = 1 WHEN RUNNING CHANNEL ROUTING ONLY/CALIBRATION SIMS!!!
  SET = 1 WHEN RUNNING COUPLED TO WRF!!!
SPLIT OUTPUT COUNT = 1
! Specify the minimum stream order to output to netcdf point file...(integer)
! Note: lower value of stream order produces more output.
order to write = 1
! Flag to turn on/off new I/O routines: 0 = old (default), 1 = new (with
!scale/offset/compression),
! 2 = new (with scale/offset/NO compression)
! NOTE this option is also in namelist.hrldas.
nwmIo = 2
! Realtime run configuration option:
! 0=diagnostic (default), 1=analysis, 2=short-range, 3=medium-range, 4=long-range,
5=retrospective
iocflag = 2
! Option to write output files at time 0 (restart cold start time): 0=no, 1=yes
! (default)
t0OutputFlag = 1
! Options to output channel & bucket influxes to drive FORCE TYPE 9.
! Nonzero choice requires that out dt above matches NOAH TIMESTEP in
!namelist.hrldas.
! 0=None (default), 1=channel influxes (qSfcLatRunoff, qBucket)
! 2=channel+bucket fluxes (qSfcLatRunoff, qBucket, qBtmVertRunoff toBucket)
! 3=channel accumulations (accSfcLatRunoff, accBucket) *** NOT TESTED ***
output channelBucket influx = 2
! Output netcdf file control
CHRTOUT DOMAIN = 2
                            ! Netcdf point timeseries output at all channel points
(1d)
                                   0 = no output, 1 = full output, 2 = fast (limited
variables) output
CHRTOUT GRID = 0
                            ! Netcdf grid of channel streamflow values (2d)
LSMOUT DOMAIN = 0
                            ! Netcdf grid of variables passed between LSM and
routing components (2d)
RTOUT DOMAIN = 1
                           ! Netcdf grid of terrain routing variables on routing
grid (2d)
output gw = 0
                            ! Netcdf GW output
                            ! 0 = no output, 1 = coupled gw model output
!(2d, future feature),
                                   2 = standard gw bucket output (1d)
```

```
outlake = 2
                            ! Netcdf grid of lake values (1d)
                                   0 = no output, 1 = full point netcdf,
!2 = with ComID
!!!! ----- PHYSICS OPTIONS AND RELATED SETTINGS -----!!!!
!Switch for terrain adjustment of incoming solar radiation: 0=no, 1=yes
!Note: This option is not yet active in Verion 1.0...
!WRF has this capability so be careful not to double apply the correction!!!
TERADJ SOLAR = 0
!Specify the number of soil layers (integer) and the depth of the bottom of each
layer... (meters)
!Notes: In Version 1 of WRF-Hydro these must be the same as in the
namelist.input !file.
!Future versions will permit this to be different.
NSOIL=4
ZSOIL8(1) = -0.10
ZSOIL8(2) = -0.40
ZSOIL8(3) = -1.00
ZSOIL8(4) = -2.00
!Specify the grid spacing of the terrain routing grid...(meters)
DXRT = 250.0
!Specify the integer multiple between the land model grid and the terrain routing
!grid...(integer)
AGGFACTRT = 4
!Specify the channel routing model timestep...(seconds)
!Specify the terrain routing model timestep...(seconds)
DTRT TER = 10
!Switch to activate subsurface routing...(0=no, 1=yes)
SUBRTSWCRT = 1
!Switch to activate surface overland flow routing...(0=no, 1=yes)
OVRTSWCRT = 1
!Specify overland & subsurface flow routing option: 1=Seepest Descent(D8) 2=CASC2D
rt option = 1
!Switch to activate channel routing...(0=no, 1=yes)
CHANRTSWCRT = 1
!Specify channel routing option: 1=Muskingam-reach, 2=Musk.-Cunge-reach,
!3=Diff.Wave-gridded
channel option = 2
!Specify the reach file for reach-based routing options (e.g.: "Route Link.nc")
route link f = "./DOMAIN/Route Link.nc"
!Specify the lake parameter file (e.g.: "LAKEPARM.nc")
route lake f = "./DOMAIN/LAKEPARM.nc"
```

```
! Switch to activate baseflow bucket model...(0=none, 1=exp. bucket, !2=pass-through)
GWBASESWCRT = 1
! Groundwater/baseflow mask specified on land surface model grid (e.g.:
!"gw basns.txt")
! Note: Only required if baseflow bucket model is active. Currently ascii grid
!format
! or netcdf for user-defined mapping option only.
!gwbasmskfil = "./DOMAIN/gw basns.txt"
GWBUCKPARM file = "./DOMAIN/GWBUCKPARM.nc"
! User defined mapping, such NHDPlus: 0=no (default), 1=yes
!UDMP OPT = 1
! If on, specify the user-defined mapping file (e.g.: "spatialweights.nc")
udmap file = "./DOMAIN/spatialweights.nc"
&NUDGING nlist
nudgingParamFile = "./DOMAIN/nudgingParams.nc"
!! Parallel input of nudging timeslice observation
files?readTimesliceParallel = .TRUE.
! temporalPersistence defaults to true, only runs if necessary params present.
temporalPersistence
                     = .TRUE.
! nudgingLastObsFile defaults to '', which will look for
nudgingLastObs.YYYY-mm-dd HH:MM:SS.nc
! **AT THE INITIALIZATION TIME OF THE RUN**. Set to a missing file to use no
restart.
! nudgingLastObsFile = 'notAFile.junk'
! The total number of last (obs, modeled) pairs to save in nudgingLastObs for
! removal of bias. This is the maximum array length. (This option is active when
! persistBias=FALSE)
! (Default=960=10days @15min obs resolution, if all the obs are present and longer
! if not.)
nLastObs = 480
! If using temporalPersistence the last observation persists by default.
! This option instead persists the bias in some period before the last
observation. persistBias = .TRUE.
! If persistBias: Does the window for calculating the bias end at model init
time !(=t0)?
! FALSE = window ends at model time (moving), TRUE = window ends at init=t0(fcst)
! (If commented out, Default=FALSE)
biasWindowBeforeT0 = .TRUE.
! If persistBias: Only use this many last (obs, modeled) pairs. (If Commented out,
! Default=-1*nLastObs)
! > 0: apply an age-based filter, units=hours.
! = 0: apply no additional filter, use all available/usable obs.
```

```
! < 0: apply an count-based filter, units=count
maxAgePairsBiasPersist = 24
! If persistBias: The minimum number of last (obs, modeled) pairs, with age less
!than
! maxAgePairsBiasPersist, required to apply a bias correction. (Default=8)
minNumPairsBiasPersist = 1
! If persistBias: give more weight to observations closer in time? (default=FALSE)
invDistTimeWeightBias = .TRUE.
! If persistBias: "No constructive interference in bias correction?", Reduce the
!bias adjustment
! when the model and the bias adjustment have the same sign relative to the modeled
!flow at to?
! (default=FALSE)
noConstInterfBias = .TRUE.
//</pre>
```